

# ESTIMATES ON INITIAL COEFFICIENTS OF CERTAIN SUBCLASSES OF BI-UNIVALENT FUNCTIONS ASSOCIATED WITH THE HOHLOV OPERATOR

Amol B. Patil and Uday H. Naik

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**Abstract.** In the present investigation, we introduce certain subclasses of the function class  $\Sigma$  of bi-univalent functions defined in the open unit disk  $\mathbb{U}$ , which are associated with the Hohlov operator. Also we obtain estimates on the initial coefficients  $|a_2|$  and  $|a_3|$  for the functions in these subclasses and pointed out several consequences of these results.

## 1 Introduction

Let  $\mathcal{A}$  denote the class of all normalized analytic functions  $f(z)$  of the form:

$$f(z) = z + \sum_{k=2}^{\infty} a_k z^k \tag{1.1}$$

defined in the open unit disk  $\mathbb{U} = \{z : z \in \mathbb{C}, |z| < 1\}$ , where  $\mathbb{C}$  being the set of complex numbers. Further, the subclass of  $\mathcal{A}$  consisting of all functions which are also univalent in  $\mathbb{U}$  is denoted by  $\mathcal{S}$  (for details, see [4]).

Due to the well known Koebe one quarter theorem (see [4]) it is clear that every function  $f \in \mathcal{S}$  has an inverse  $f^{-1}$ , defined by:

$$f^{-1}(f(z)) = z, \quad (z \in \mathbb{U})$$

and

$$f(f^{-1}(w)) = w, \quad \left( |w| < r_0(f), r_0(f) \geq \frac{1}{4} \right).$$

In fact, some computations using (1.1) gives:

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots \tag{1.2}$$

A function  $f \in \mathcal{A}$  is said to be bi-univalent in  $\mathbb{U}$  if both  $f$  and  $f^{-1}$  are univalent in  $\mathbb{U}$ . Let the class of all bi-univalent functions  $f$  in  $\mathbb{U}$  given by (1.1) is denoted by  $\Sigma$ .

If the functions  $\phi$  and  $\psi$  are analytic in  $\mathbb{U}$ , then  $\phi$  is said to be subordinate to  $\psi$ , written as  $\phi(z) \prec \psi(z)$ ,  $z \in \mathbb{U}$  if there exists a Schwarz function  $w(z)$ , analytic in  $\mathbb{U}$ , with  $w(0) = 0$  and  $|w(z)| < 1$ , such that  $\phi(z) = \psi(w(z))$ ,  $z \in \mathbb{U}$ .

For the functions  $f, g \in \mathcal{A}$ , where  $f(z)$  is given by (1.1) and  $g(z) = z + \sum_{k=2}^{\infty} b_k z^k$ , the Hadamard product or convolution is denoted by  $f * g$  and is defined by:

$$(f * g)(z) = z + \sum_{k=2}^{\infty} a_k b_k z^k \tag{1.3}$$

and the Gaussian hypergeometric function  ${}_2F_1(a, b, c; z)$  for the complex parameters  $a, b$  and  $c$  with  $c \neq 0, -1, -2, -3, \dots$ , is defined by:

$$\begin{aligned}
 {}_2F_1(a, b, c; z) &= \sum_{k=0}^{\infty} \frac{(a)_k (b)_k}{(c)_k} \frac{z^k}{k!} \\
 &= 1 + \sum_{k=2}^{\infty} \frac{(a)_{k-1} (b)_{k-1}}{(c)_{k-1}} \frac{z^{k-1}}{(k-1)!} \quad (z \in \mathbb{U}),
 \end{aligned}
 \tag{1.4}$$

where  $(l)_k$  denotes the Pochhammer symbol (the shifted factorial) defined by:

$$(l)_k = \frac{\Gamma(l+k)}{\Gamma(l)} = \begin{cases} 1, & \text{if } k = 0, l \in \mathbb{C} \setminus \{0\} \\ l(l+1)(l+2) \cdots (l+k-1), & \text{if } k = 1, 2, 3, \dots \end{cases}
 \tag{1.5}$$

Hohlov [8, 9] introduced a convolution operator  $\mathcal{I}_{a,b;c}$  by using the Gaussian hypergeometric function  ${}_2F_1(a, b, c; z)$  given by (1.4) as follows:

$$\begin{aligned}
 \mathcal{I}_{a,b;c}f(z) &= z {}_2F_1(a, b, c; z) * f(z) \\
 &= z + \sum_{k=2}^{\infty} y_k a_k z^k, \quad (z \in \mathbb{U}),
 \end{aligned}
 \tag{1.6}$$

where

$$y_k = \frac{(a)_{k-1} (b)_{k-1}}{(c)_{k-1} (k-1)!}.
 \tag{1.7}$$

Observe that, if  $b = 1$  in (1.6), then the Hohlov operator  $\mathcal{I}_{a,b;c}$  reduces to the Carlson-Shaffer operator. Also it can be easily seen that the Hohlov operator is a generalization of the Ruscheweyh derivative operator and the Bernardi-Libera-Livingston operator.

For functions in the class  $\Sigma$ , Lewin [10] proved that  $|a_2| < 1.51$ , Brannan and Clunie [2] conjectured that  $|a_2| \leq \sqrt{2}$  and Netanyahu [12] proved that  $\max_{f \in \Sigma} |a_2| = 4/3$ . However the coefficient estimate problem for each  $|a_n|$ , ( $n = 3, 4, \dots$ ) is still an open problem. Brannan and Taha [3] (see also [22]) introduced certain subclasses of the bi-univalent function class  $\Sigma$  such as  $\mathcal{S}_{\Sigma}^*(\alpha)$  where  $0 < \alpha \leq 1$ , the class of strongly bi-starlike functions of order  $\alpha$  and  $\mathcal{S}_{\Sigma}^*(\beta)$  where  $0 \leq \beta < 1$ , the class of bi-starlike functions of order  $\beta$ .

Following Brannan and Taha [3], Srivastava et al. [20] and many other researchers (viz. [5, 7, 10, 11, 13, 14, 16, 17, 18, 19, 20, 21, 23, 24, 25] etc.) have investigated several subclasses of the bi-univalent function class  $\Sigma$  and found the estimate on the initial coefficients  $|a_2|$  and  $|a_3|$ . The purpose of the present investigation is to introduce certain subclasses of the function class  $\Sigma$ , which are associated with the Hohlov operator and to find the estimate on the initial coefficients  $|a_2|$  and  $|a_3|$  for functions in these subclasses.

Let  $\phi$  be an analytic function with positive real part in  $\mathbb{U}$  such that  $\phi(0) = 1, \phi'(0) > 0$  and  $\phi(\mathbb{U})$  is symmetric with respect to the real axis. Hence we have,

$$\phi(z) = 1 + B_1 z + B_2 z^2 + B_3 z^3 + \dots, \quad (B_1 > 0).
 \tag{1.8}$$

In order to prove our main results, we shall need the following Lemma .

**Lemma 1.1.** (see [4], [6], [15]) *If  $h(z) \in \mathcal{P}$ , the class of functions analytic in  $\mathbb{U}$  with*

$$\Re(h(z)) > 0,$$

*then  $|c_n| \leq 2$  for each  $n \in \mathbb{N}$ , where*

$$h(z) = 1 + c_1 z + c_2 z^2 + c_3 z^3 + \dots, \quad (z \in \mathbb{U}).
 \tag{1.9}$$

### 2 Coefficient Estimates for the Function Class $\mathcal{J}_\Sigma^{a,b;c}(\alpha, \phi)$

**Definition 2.1.** A function  $f(z) \in \Sigma$  given by (1.1) is said to be in the class  $\mathcal{J}_\Sigma^{a,b;c}(\alpha, \phi)$  if the following conditions are satisfied:

$$\left[ \frac{z(\mathcal{I}_{a,b;c}f(z))'}{\mathcal{I}_{a,b;c}f(z)} \right] \left[ \frac{\mathcal{I}_{a,b;c}f(z)}{z} \right]^\alpha \prec \phi(z)$$

and

$$\left[ \frac{w(\mathcal{I}_{a,b;c}g(w))'}{\mathcal{I}_{a,b;c}g(w)} \right] \left[ \frac{\mathcal{I}_{a,b;c}g(w)}{w} \right]^\alpha \prec \phi(w)$$

where  $z, w \in \mathbb{U}, \alpha \geq 0$  and the functions  $g \equiv f^{-1}$  and  $\phi$  are given by (1.2) and (1.8) respectively.

**Theorem 2.2.** Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\mathcal{J}_\Sigma^{a,b;c}(\alpha, \phi)$ . Then,

$$|a_2| \leq \min \left\{ \frac{B_1}{(\alpha + 1)y_2}, \sqrt{\frac{2(B_1 + |B_2 - B_1|)}{(\alpha + 2)|2y_3 + (\alpha - 1)y_2^2}}, \frac{B_1\sqrt{2B_1}}{\sqrt{|(\alpha + 2)[2y_3 + (\alpha - 1)y_2^2]B_1^2 + 2(\alpha + 1)^2y_2^2(B_1 - B_2)|}} \right\} \tag{2.1}$$

and

$$|a_3| \leq \min \left\{ \frac{B_1}{(\alpha + 2)y_3} + \frac{B_1^2}{(\alpha + 1)^2y_2^2}, \frac{2(B_1 + |B_2 - B_1|)}{(\alpha + 2)|2y_3 + (\alpha - 1)y_2^2} \right\}. \tag{2.2}$$

*Proof.* Since  $f \in \mathcal{J}_\Sigma^{a,b;c}(\alpha, \phi)$ , there exist two analytic functions  $u, v : \mathbb{U} \rightarrow \mathbb{U}$ , with  $u(0) = v(0) = 0$ , such that:

$$\left[ \frac{z(\mathcal{I}_{a,b;c}f(z))'}{\mathcal{I}_{a,b;c}f(z)} \right] \left[ \frac{\mathcal{I}_{a,b;c}f(z)}{z} \right]^\alpha = \phi(u(z)) \tag{2.3}$$

and

$$\left[ \frac{w(\mathcal{I}_{a,b;c}g(w))'}{\mathcal{I}_{a,b;c}g(w)} \right] \left[ \frac{\mathcal{I}_{a,b;c}g(w)}{w} \right]^\alpha = \phi(v(w)) \tag{2.4}$$

where  $z, w \in \mathbb{U}$ . Define the functions  $s$  and  $t$  as:

$$s(z) = \frac{1 + u(z)}{1 - u(z)} = 1 + c_1z + c_2z^2 + c_3z^3 + \dots$$

and

$$t(w) = \frac{1 + v(w)}{1 - v(w)} = 1 + d_1w + d_2w^2 + d_3w^3 + \dots$$

Clearly  $s$  and  $t$  are analytic in  $\mathbb{U}$  and  $s(0) = t(0) = 1$ . Since  $u, v : \mathbb{U} \rightarrow \mathbb{U}$ , the functions  $s$  and  $t$  have positive real part in  $\mathbb{U}$ . Hence by Lemma 1.1,

$$|c_n| \leq 2, \quad |d_n| \leq 2, \quad (n \in \mathbb{N}). \tag{2.5}$$

Solving for  $u(z)$  and  $v(w)$ , we get:

$$u(z) = \frac{1}{2} \left[ c_1z + \left( c_2 - \frac{c_1^2}{2} \right) z^2 + \dots \right], \quad (z \in \mathbb{U})$$

and

$$v(w) = \frac{1}{2} \left[ d_1w + \left( d_2 - \frac{d_1^2}{2} \right) w^2 + \dots \right], \quad (w \in \mathbb{U}).$$

Using these expansions in (1.8), we obtain:

$$\phi(u(z)) = 1 + \frac{1}{2}B_1c_1z + \left[ \frac{1}{2}B_1 \left( c_2 - \frac{c_1^2}{2} \right) + \frac{1}{4}B_2c_1^2 \right] z^2 + \dots \tag{2.6}$$

and

$$\phi(v(w)) = 1 + \frac{1}{2}B_1d_1w + \left[ \frac{1}{2}B_1 \left( d_2 - \frac{d_1^2}{2} \right) + \frac{1}{4}B_2d_1^2 \right] w^2 + \dots \tag{2.7}$$

Expanding the LHS of (2.3) and (2.4) and then equating the coefficients of  $z, z^2, w, w^2$ ; we get:

$$(\alpha + 1)y_2a_2 = \frac{B_1c_1}{2}, \tag{2.8}$$

$$(\alpha + 2)y_3a_3 + \frac{1}{2}(\alpha - 1)(\alpha + 2)y_2^2a_2^2 = \frac{1}{2}B_1 \left( c_2 - \frac{c_1^2}{2} \right) + \frac{1}{4}B_2c_1^2, \tag{2.9}$$

$$-(\alpha + 1)y_2a_2 = \frac{B_1d_1}{2}, \tag{2.10}$$

$$(\alpha + 2)y_3(2a_2^2 - a_3) + \frac{1}{2}(\alpha - 1)(\alpha + 2)y_2^2a_2^2 = \frac{1}{2}B_1 \left( d_2 - \frac{d_1^2}{2} \right) + \frac{1}{4}B_2d_1^2. \tag{2.11}$$

From (2.8) and (2.10), we get:

$$c_1 = -d_1 \tag{2.12}$$

and

$$8(\alpha + 1)^2y_2^2a_2^2 = B_1^2(c_1^2 + d_1^2). \tag{2.13}$$

Adding (2.9) and (2.11), we obtain:

$$4(\alpha + 2) [2y_3 + (\alpha - 1)y_2^2] a_2^2 = 2B_1(c_2 + d_2) + (B_2 - B_1)(c_1^2 + d_1^2). \tag{2.14}$$

This on using (2.13) gives:

$$a_2^2 = \frac{B_1^3(c_2 + d_2)}{2(\alpha + 2)[2y_3 + (\alpha - 1)y_2^2]B_1^2 + 4(\alpha + 1)^2y_2^2(B_1 - B_2)}. \tag{2.15}$$

Clearly (2.13), (2.14) and (2.15) in light of (2.5) gives us the desired estimate on  $|a_2|$  as asserted in (2.1).

Next, to find the estimate on  $|a_3|$ , subtracting (2.11) from (2.9), we get:

$$2(\alpha + 2)y_3 [a_3 - a_2^2] = \frac{2B_1(c_2 - d_2) + (B_2 - B_1)(c_1^2 - d_1^2)}{4},$$

which on using (2.12), gives:

$$a_3 = a_2^2 + \frac{B_1(c_2 - d_2)}{4(\alpha + 2)y_3}. \tag{2.16}$$

Using (2.13) in (2.16), we get:

$$a_3 = \frac{B_1^2(c_1^2 + d_1^2)}{8(\alpha + 1)^2y_2^2} + \frac{B_1(c_2 - d_2)}{4(\alpha + 2)y_3}. \tag{2.17}$$

Similarly, using (2.14) in (2.16), we get:

$$a_3 = \frac{2B_1(c_2 + d_2) + (B_2 - B_1)(c_1^2 + d_1^2)}{4(\alpha + 2) [2y_3 + (\alpha - 1)y_2^2]} + \frac{B_1(c_2 - d_2)}{4(\alpha + 2)y_3}$$

or

$$a_3 = \frac{[2B_1(c_2 + d_2) + (B_2 - B_1)(c_1^2 + d_1^2)] y_3 + B_1(c_2 - d_2) [2y_3 + (\alpha - 1)y_2^2]}{4(\alpha + 2)y_3 [2y_3 + (\alpha - 1)y_2^2]}.$$

Which, on separating the coefficients of  $c_2$  and  $d_2$ , gives:

$$a_3 = \frac{[(4y_3 + (\alpha - 1)y_2^2)c_2 - (\alpha - 1)y_2^2d_2] B_1 + y_3(c_1^2 + d_1^2)(B_2 - B_1)}{4(\alpha + 2)y_3 [2y_3 + (\alpha - 1)y_2^2]}. \tag{2.18}$$

Clearly (2.17) and (2.18) in light of (2.5) gives us the desired estimate on  $a_3$  as asserted in (2.2). This completes the proof of Theorem 2.2.  $\square$

Taking  $a = c$  and  $b = 1$  in Theorem 2.2, we get the class  $\mathcal{J}_\alpha(\phi)$ , ( $\alpha \geq 0$ ) (generalized class is  $\mathcal{J}_\alpha^q(\phi)$  which is associated with quasi-subordination, defined and studied by Goyal et al. [7]). Hence we have the following Corollary.

**Corollary 2.3.** *Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\mathcal{J}_\alpha(\phi)$ . Then,*

$$|a_2| \leq \min \left\{ \frac{B_1}{(\alpha + 1)}, \sqrt{\frac{2(B_1 + |B_2 - B_1|)}{(\alpha + 1)(\alpha + 2)}}, \frac{B_1\sqrt{2B_1}}{\sqrt{(\alpha + 1)|(\alpha + 2)B_1^2 + 2(\alpha + 1)(B_1 - B_2)|}} \right\}$$

and

$$|a_3| \leq \min \left\{ \frac{B_1}{(\alpha + 2)} + \frac{B_1^2}{(\alpha + 1)^2}, \frac{2(B_1 + |B_2 - B_1|)}{(\alpha + 1)(\alpha + 2)} \right\}.$$

Putting  $\alpha = 0$  in Corollary 2.3, we get the class  $\mathcal{S}_\Sigma^*(1; \phi)$  (a branch of the class  $\mathcal{S}_\Sigma^*(\gamma; \phi)$  whose generalization is the class  $\mathcal{S}_\Sigma(\lambda, \gamma; \phi)$  defined and studied by Erhan Deniz [5] or the class  $\mathcal{S}_\Sigma^*(\phi)$  defined and studied by Brannan and Taha [3]. Also, see Corollary 2.4 given by Tang et al. [23]. Hence we have the following Corollary.

**Corollary 2.4.** *Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\mathcal{S}_\Sigma^*(\phi)$ . Then,*

$$|a_2| \leq \min \left\{ B_1, \sqrt{B_1 + |B_2 - B_1|}, \frac{B_1\sqrt{B_1}}{\sqrt{|B_1^2 + B_1 - B_2|}} \right\}$$

and

$$|a_3| \leq \min \left\{ \frac{B_1}{2} + B_1^2, B_1 + |B_2 - B_1| \right\}.$$

Putting  $\alpha = 1$  in Corollary 2.3, we get the class  $\mathcal{H}_\sigma(\phi)$  defined and studied by Ali et al [1]. Similarly, we get the class  $\Sigma(1, 0, \phi)$  (whose generalization is the class  $\Sigma(\tau, \gamma, \phi)$ , defined and studied by Srivastava and Bansal [16]). Also, see Corollary 2.2 given by Tang et al. [23]. Hence we have the following Corollary as an improvement in Theorem 2.1 given by Ali et al. [1].

**Corollary 2.5.** *Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\mathcal{H}_\sigma(\phi)$ . Then,*

$$|a_2| \leq \min \left\{ \frac{B_1}{2}, \sqrt{\frac{B_1 + |B_2 - B_1|}{3}}, \frac{B_1\sqrt{B_1}}{\sqrt{|3B_1^2 + 4(B_1 - B_2)|}} \right\}$$

and

$$|a_3| \leq \min \left\{ \frac{B_1}{3} + \frac{B_1^2}{4}, \frac{B_1 + |B_2 - B_1|}{3} \right\}.$$

### 3 Coefficient Estimates for the Function Class $\mathcal{K}_\Sigma^{a,b;c}(\beta, \gamma, \phi)$

**Definition 3.1.** A function  $f(z) \in \Sigma$  given by (1.1) is said to be in the class  $\mathcal{K}_\Sigma^{a,b;c}(\beta, \gamma, \phi)$  if the following conditions are satisfied:

$$1 + \frac{1}{\gamma} \left[ (\mathcal{I}_{a,b;c}f(z))' + \beta z (\mathcal{I}_{a,b;c}f(z))'' - 1 \right] \prec \phi(z)$$

and

$$1 + \frac{1}{\gamma} \left[ (\mathcal{I}_{a,b;c}g(w))' + \beta w (\mathcal{I}_{a,b;c}g(w))'' - 1 \right] \prec \phi(w)$$

where  $z, w \in \mathbb{U}, 0 \leq \beta < 1, \gamma \in \mathbb{C} \setminus \{0\}$  and the functions  $g \equiv f^{-1}$  and  $\phi$  are given by (1.2) and (1.8) respectively.

**Theorem 3.2.** Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\mathcal{K}_\Sigma^{a,b;c}(\beta, \gamma, \phi)$ . Then,

$$|a_2| \leq \min \left\{ \frac{|\gamma|B_1}{2(1+\beta)y_2}, \sqrt{\frac{|\gamma|(B_1 + |B_2 - B_1|)}{3(1+2\beta)y_3}}, \frac{|\gamma|B_1\sqrt{B_1}}{\sqrt{|3\gamma(1+2\beta)y_3B_1^2 + 4(1+\beta)^2y_2^2(B_1 - B_2)|}} \right\} \tag{3.1}$$

and

$$|a_3| \leq \min \left\{ \frac{|\gamma|B_1}{3(1+2\beta)y_3} + \frac{\gamma^2B_1^2}{4(1+\beta)^2y_2^2}, \frac{|\gamma|(B_1 + |B_2 - B_1|)}{3(1+2\beta)y_3} \right\}. \tag{3.2}$$

*Proof.* Since  $f \in \mathcal{K}_\Sigma^{a,b;c}(\beta, \gamma, \phi)$ , there exist two analytic functions  $u, v : \mathbb{U} \rightarrow \mathbb{U}$ , with  $u(0) = v(0) = 0$ , such that:

$$1 + \frac{1}{\gamma} \left[ (\mathcal{I}_{a,b;c}f(z))' + \beta z (\mathcal{I}_{a,b;c}f(z))'' - 1 \right] = \phi(u(z)) \tag{3.3}$$

and

$$1 + \frac{1}{\gamma} \left[ (\mathcal{I}_{a,b;c}g(w))' + \beta w (\mathcal{I}_{a,b;c}g(w))'' - 1 \right] = \phi(v(w)), \tag{3.4}$$

where  $z, w \in \mathbb{U}$ . Define the functions  $s$  and  $t$  as in Theorem 2.2 and then proceed similarly up to (2.7).

Expanding the LHS of (3.3) and (3.4), we obtain:

$$\begin{aligned} & 1 + \frac{1}{\gamma} \left[ (\mathcal{I}_{a,b;c}f(z))' + \beta z (\mathcal{I}_{a,b;c}f(z))'' - 1 \right] \\ &= 1 + \frac{1}{\gamma} [2(1+\beta)y_2a_2z + 3(1+2\beta)y_3a_3z^2 + \dots] \end{aligned} \tag{3.5}$$

and

$$\begin{aligned} & 1 + \frac{1}{\gamma} \left[ (\mathcal{I}_{a,b;c}g(w))' + \beta w (\mathcal{I}_{a,b;c}g(w))'' - 1 \right] \\ &= 1 + \frac{1}{\gamma} [-2(1+\beta)y_2a_2w + 3(1+2\beta)y_3(2a_2^2 - a_3)z^2 + \dots]. \end{aligned} \tag{3.6}$$

Now, using (2.6), (2.7), (3.5), (3.6) in (3.3) and (3.4) and then equating the coefficients of  $z, z^2, w, w^2$ ; we get:

$$2(1+\beta)y_2a_2 = \frac{\gamma B_1 c_1}{2}, \tag{3.7}$$

$$3(1+2\beta)y_3a_3 = \gamma \left[ \frac{1}{2}B_1 \left( c_2 - \frac{c_1^2}{2} \right) + \frac{1}{4}B_2c_1^2 \right], \tag{3.8}$$

$$-2(1 + \beta)y_2a_2 = \frac{\gamma B_1 d_1}{2}, \tag{3.9}$$

$$3(1 + 2\beta)y_3(2a_2^2 - a_3) = \gamma \left[ \frac{1}{2}B_1 \left( d_2 - \frac{d_1^2}{2} \right) + \frac{1}{4}B_2 d_1^2 \right]. \tag{3.10}$$

From (3.7) and (3.9), we get:

$$c_1 = -d_1 \tag{3.11}$$

and

$$32(1 + \beta)^2 y_2^2 a_2^2 = \gamma^2 B_1^2 (c_1^2 + d_1^2). \tag{3.12}$$

Adding (3.8) and (3.10), we obtain:

$$24(1 + 2\beta)y_3 a_2^2 = \gamma [2B_1(c_2 + d_2) + (B_2 - B_1)(c_1^2 + d_1^2)]. \tag{3.13}$$

Also, using (3.12) in (3.13), we get:

$$a_2^2 = \frac{\gamma^2 B_1^3 (c_2 + d_2)}{[12\gamma(1 + 2\beta)y_3 B_1^2 + 16(1 + \beta)^2 y_2^2 (B_1 - B_2)]}. \tag{3.14}$$

Clearly (3.12), (3.13) and (3.14) in light of (2.5) gives us the desired estimate on  $|a_2|$  as asserted in (3.1).

Next, to find the estimate on  $|a_3|$ , subtracting (3.10) from (3.8) and then using (3.11), we get:

$$a_3 = a_2^2 + \frac{\gamma B_1 (c_2 - d_2)}{12(1 + 2\beta)y_3}. \tag{3.15}$$

Using (3.12) in (3.15), we get:

$$a_3 = \frac{\gamma^2 B_1^2 (c_1^2 + d_1^2)}{32(1 + \beta)^2 y_2^2} + \frac{\gamma B_1 (c_2 - d_2)}{12(1 + 2\beta)y_3}. \tag{3.16}$$

Similarly, using (3.13) in (3.15), we get:

$$a_3 = \frac{\gamma [2B_1(c_2 + d_2) + (B_2 - B_1)(c_1^2 + d_1^2)]}{24(1 + 2\beta)y_3} + \frac{\gamma B_1 (c_2 - d_2)}{12(1 + 2\beta)y_3}.$$

Which, on simplification, yields:

$$a_3 = \frac{\gamma [4c_2 B_1 + (B_2 - B_1)(c_1^2 + d_1^2)]}{24(1 + 2\beta)y_3}. \tag{3.17}$$

Clearly (3.16) and (3.17) in light of (2.5) gives us the desired estimate on  $|a_3|$  as asserted in (3.2). This completes the proof of Theorem 3.2.  $\square$

Taking  $a = c$  and  $b = 1$  in Theorem 3.2, we get the class  $\Sigma(\gamma, \beta, \phi)$ ,  $0 \leq \beta < 1, \gamma \in \mathbb{C} \setminus \{0\}$  defined and studied by Srivastava and Bansal [16]. Hence we get the following Corollary as an improvement in Theorem 1 given by Srivastava and Bansal [16].

**Corollary 3.3.** *Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\Sigma(\gamma, \beta, \phi)$ . Then,*

$$|a_2| \leq \min \left\{ \frac{|\gamma| B_1}{2(1 + \beta)}, \sqrt{\frac{|\gamma|(B_1 + |B_2 - B_1|)}{3(1 + 2\beta)}}, \frac{|\gamma| B_1 \sqrt{B_1}}{\sqrt{|3\gamma(1 + 2\beta)B_1^2 + 4(1 + \beta)^2(B_1 - B_2)|}} \right\}$$

and

$$|a_3| \leq \min \left\{ \frac{|\gamma| B_1}{3(1 + 2\beta)} + \frac{\gamma^2 B_1^2}{4(1 + \beta)^2}, \frac{|\gamma|(B_1 + |B_2 - B_1|)}{3(1 + 2\beta)} \right\}.$$

Putting  $\gamma = 1$  and  $\beta = 0$  in Corollary 3.3, we get the class  $\Sigma(1, 0, \phi) \equiv \mathcal{H}_\sigma(\phi)$  defined and studied by Ali et al [1]. Also, see Corollary 2.2 given by Tang et al. [23]. Hence we have Corollary 2.5 as an improvement in the Theorem 2.1 given by Ali et al. [1].

### 4 Coefficient Estimates for the Function Class $\mathcal{S}_\Sigma^{a,b;c}(\lambda, \gamma, \phi)$

**Definition 4.1.** A function  $f(z) \in \Sigma$  given by (1.1) is said to be in the class  $\mathcal{S}_\Sigma^{a,b;c}(\lambda, \gamma, \phi)$  if the following conditions are satisfied:

$$1 + \frac{1}{\gamma} \left[ \frac{z (\mathcal{I}_{a,b;c}f(z))' + \lambda z^2 (\mathcal{I}_{a,b;c}f(z))''}{\lambda z (\mathcal{I}_{a,b;c}f(z))' + (1 - \lambda) (\mathcal{I}_{a,b;c}f(z))} - 1 \right] \prec \phi(z)$$

and

$$1 + \frac{1}{\gamma} \left[ \frac{w (\mathcal{I}_{a,b;c}g(w))' + \lambda w^2 (\mathcal{I}_{a,b;c}g(w))''}{\lambda w (\mathcal{I}_{a,b;c}g(w))' + (1 - \lambda) (\mathcal{I}_{a,b;c}g(w))} - 1 \right] \prec \phi(w)$$

where  $z, w \in \mathbb{U}, 0 \leq \lambda \leq 1, \gamma \in \mathbb{C} \setminus \{0\}$  and the functions  $g \equiv f^{-1}$  and  $\phi$  are given by (1.2) and (1.8) respectively.

**Theorem 4.2.** Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\mathcal{S}_\Sigma^{a,b;c}(\lambda, \gamma, \phi)$ . Then,

$$|a_2| \leq \min \left\{ \frac{|\gamma|B_1}{(1 + \lambda)y_2}, \sqrt{\frac{|\gamma|(B_1 + |B_2 - B_1|)}{|2(1 + 2\lambda)y_3 - (1 + \lambda)^2y_2^2|}}, \frac{|\gamma|B_1\sqrt{B_1}}{\sqrt{|[2(1 + 2\lambda)y_3 - (1 + \lambda)^2y_2^2] \gamma B_1^2 + (1 + \lambda)^2y_2^2(B_1 - B_2)|}} \right\} \tag{4.1}$$

and

$$|a_3| \leq \min \left\{ \frac{|\gamma|B_1}{2(1 + 2\lambda)y_3} + \frac{\gamma^2 B_1^2}{(1 + \lambda)^2 y_2^2}, \frac{|\gamma|(B_1 + |B_2 - B_1|)}{|2(1 + 2\lambda)y_3 - (1 + \lambda)^2 y_2^2|} \right\}. \tag{4.2}$$

*Proof.* Since  $\mathcal{S}_\Sigma^{a,b;c}(\lambda, \gamma, \phi)$ , there exist two analytic functions  $u, v : \mathbb{U} \rightarrow \mathbb{U}$ , with  $u(0) = v(0) = 0$ , such that:

$$1 + \frac{1}{\gamma} \left[ \frac{z (\mathcal{I}_{a,b;c}f(z))' + \lambda z^2 (\mathcal{I}_{a,b;c}f(z))''}{\lambda z (\mathcal{I}_{a,b;c}f(z))' + (1 - \lambda) (\mathcal{I}_{a,b;c}f(z))} - 1 \right] = \phi(u(z)) \tag{4.3}$$

and

$$1 + \frac{1}{\gamma} \left[ \frac{w (\mathcal{I}_{a,b;c}g(w))' + \lambda w^2 (\mathcal{I}_{a,b;c}g(w))''}{\lambda w (\mathcal{I}_{a,b;c}g(w))' + (1 - \lambda) (\mathcal{I}_{a,b;c}g(w))} - 1 \right] = \phi(v(w)), \tag{4.4}$$

where  $z, w \in \mathbb{U}$ . Define the functions  $s$  and  $t$  as in Theorem 2.2 and then proceed similarly up to (2.7).

Expanding the LHS of (4.3) and (4.4), we obtain:

$$1 + \frac{1}{\gamma} \left[ \frac{z (\mathcal{I}_{a,b;c}f(z))' + \lambda z^2 (\mathcal{I}_{a,b;c}f(z))''}{\lambda z (\mathcal{I}_{a,b;c}f(z))' + (1 - \lambda) (\mathcal{I}_{a,b;c}f(z))} - 1 \right] = 1 + \frac{1}{\gamma} [(1 + \lambda)y_2 a_2 z + [2(1 + 2\lambda)y_3 a_3 - (1 + \lambda)^2 y_2^2 a_2^2] z^2 + \dots] \tag{4.5}$$

and

$$1 + \frac{1}{\gamma} \left[ \frac{w (\mathcal{I}_{a,b;c}g(w))' + \lambda w^2 (\mathcal{I}_{a,b;c}g(w))''}{\lambda w (\mathcal{I}_{a,b;c}g(w))' + (1 - \lambda) (\mathcal{I}_{a,b;c}g(w))} - 1 \right] = 1 + \frac{1}{\gamma} [-(1 + \lambda)y_2 a_2 w + [2(1 + 2\lambda)y_3 (2a_2^2 - a_3) - (1 + \lambda)^2 y_2^2 a_2^2] w^2 + \dots]. \tag{4.6}$$

Now, using (2.6), (2.7), (4.5), (4.6) in (4.3) and (4.4) and then equating the coefficients of  $z, z^2, w, w^2$ ; we get:

$$(1 + \lambda)y_2 a_2 = \frac{\gamma B_1 c_1}{2}, \tag{4.7}$$



$$[2(1 + 2\lambda)y_3a_3 - (1 + \lambda)^2y_2^2a_2^2] = \gamma \left[ \frac{1}{2}B_1 \left( c_2 - \frac{c_1^2}{2} \right) + \frac{1}{4}B_2c_1^2 \right], \tag{4.8}$$

$$-(1 + \lambda)y_2a_2 = \frac{\gamma B_1d_1}{2}, \tag{4.9}$$

$$[2(1 + 2\lambda)y_3(2a_2^2 - a_3) - (1 + \lambda)^2y_2^2a_2^2] = \gamma \left[ \frac{1}{2}B_1 \left( d_2 - \frac{d_1^2}{2} \right) + \frac{1}{4}B_2d_1^2 \right]. \tag{4.10}$$

From (4.7) and (4.9), we get:

$$c_1 = -d_1 \tag{4.11}$$

and

$$8(1 + \lambda)^2y_2^2a_2^2 = \gamma^2B_1^2(c_1^2 + d_1^2) = 2\gamma^2c_1^2B_1^2. \tag{4.12}$$

Adding (4.8) and (4.10), we obtain:

$$[2(1 + 2\lambda)y_3 - (1 + \lambda)^2y_2^2] a_2^2 = \frac{1}{4}\gamma [B_1(c_2 + d_2) + (B_2 - B_1)c_1^2]. \tag{4.13}$$

Which, on using (4.12), yields:

$$a_2^2 = \frac{\gamma^2B_1^3(c_2 + d_2)}{4 [2(1 + 2\lambda)y_3 - (1 + \lambda)^2y_2^2] \gamma B_1^2 + 4(1 + \lambda)^2y_2^2(B_1 - B_2)}. \tag{4.14}$$

Clearly (4.12), (4.13) and (4.14) in light of (2.5) gives us the desired estimate on  $|a_2|$  as asserted in (4.1).

Next, to find the estimate on  $|a_3|$ , subtracting (4.10) from (4.8) and then using (4.11), we get:

$$a_3 = a_2^2 + \frac{\gamma B_1(c_2 - d_2)}{8(1 + 2\lambda)y_3}. \tag{4.15}$$

Using (4.12) in (4.15), we get:

$$a_3 = \frac{\gamma^2c_1^2B_1^2}{4(1 + \lambda)^2y_2^2} + \frac{\gamma B_1(c_2 - d_2)}{8(1 + 2\lambda)y_3}. \tag{4.16}$$

Similarly, using (4.13) in (4.15), we get:

$$a_3 = \frac{\gamma [B_1(c_2 + d_2) + (B_2 - B_1)c_1^2]}{4 [2(1 + 2\lambda)y_3 - (1 + \lambda)^2y_2^2]} + \frac{\gamma B_1(c_2 - d_2)}{8(1 + 2\lambda)y_3}.$$

Which, on simplification, yields:

$$a_3 = \frac{\gamma B_1 [c_2 (4(1 + 2\lambda)y_3 - (1 + \lambda)^2y_2^2) + d_2 ((1 + \lambda)^2y_2^2)]}{8(1 + 2\lambda)y_3 [2(1 + 2\lambda)y_3 - (1 + \lambda)^2y_2^2]} + \frac{\gamma c_1^2(B_2 - B_1)}{4 [2(1 + 2\lambda)y_3 - (1 + \lambda)^2y_2^2]}. \tag{4.17}$$

Clearly (4.16) and (4.17) in light of (2.5) gives us the desired estimate on  $|a_3|$  as asserted in (4.2). This completes the proof of Theorem 4.2.  $\square$

Taking  $a = c$  and  $b = 1$  in Theorem 4.2, we get the class  $\mathcal{S}_\Sigma(\lambda, \gamma; \phi)$ ,  $0 \leq \lambda \leq 1, \gamma \in \mathbb{C} \setminus \{0\}$  defined and studied by Erhan Deniz [5]. Hence we get the following Corollary as an improvement in Theorem 2.1 given by Erhan Deniz [5].

**Corollary 4.3.** Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\mathcal{S}_\Sigma(\lambda, \gamma; \phi)$ . Then,

$$|a_2| \leq \min \left\{ \frac{|\gamma|B_1}{(1+\lambda)}, \sqrt{\frac{|\gamma|(B_1 + |B_2 - B_1|)}{1 + 2\lambda - \lambda^2}}, \frac{|\gamma|B_1\sqrt{B_1}}{\sqrt{|\gamma|(1 + 2\lambda - \lambda^2)B_1^2 + (1 + \lambda)^2(B_1 - B_2)|}} \right\}$$

and

$$|a_3| \leq \min \left\{ \frac{|\gamma|B_1}{2(1+2\lambda)} + \frac{\gamma^2 B_1^2}{(1+\lambda)^2}, \frac{|\gamma|(B_1 + |B_2 - B_1|)}{1 + 2\lambda - \lambda^2} \right\}.$$

Observe that for  $\lambda = 0$  and  $\gamma = 1$ , we have the class  $\mathcal{S}_\Sigma(0, 1; \phi) \equiv \mathcal{S}_\Sigma^*(1; \phi)$  and the Corollary 4.3 reduces to the Corollary 2.4. Also for  $\lambda = 1$  and  $\gamma = 1$  we have the class  $\mathcal{S}_\Sigma(1, 1; \phi) \equiv \mathcal{C}_\Sigma(1; \phi)$  and the Corollary 4.3 reduces to the following Corollary.

**Corollary 4.4.** (see [5]) Let  $f(z) \in \Sigma$  given by (1.1) be in the class  $\mathcal{C}_\Sigma(1; \phi)$ . Then,

$$|a_2| \leq \min \left\{ \frac{B_1}{2}, \sqrt{\frac{B_1 + |B_2 - B_1|}{2}}, \frac{B_1\sqrt{B_1}}{\sqrt{2|B_1^2 + 2(B_1 - B_2)|}} \right\}$$

and

$$|a_3| \leq \min \left\{ \frac{B_1}{6} + \frac{B_1^2}{4}, \frac{B_1 + |B_2 - B_1|}{2} \right\}.$$

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### Author information

Amol B. Patil, Department of First Year Engineering, AISSMS's, College of Engineering, Pune 411001, Maharashtra, India.

E-mail: amol223patil@yahoo.co.in

Uday H. Naik, Department of Mathematics, Willingdon College, Sangli 416415, Maharashtra,, India.

E-mail: naikpawan@yahoo.com

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