# CONVOLUTION IDENTITIES FOR TRIBONACCI-TYPE NUMBERS WITH ARBITRARY INITIAL VALUES

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Abstract. Tribonacci numbers have been widely studied in relation with Fibonacci numbers and their generalizations. Tribonacci-type numbers  $T_n^{(T_0,T_1,T_2)}$  are defined by the recurrence relation  $T_n^{(T_0,T_1,T_2)} = T_{n-1}^{(T_0,T_1,T_2)} + T_{n-2}^{(T_0,T_1,T_2)} + T_{n-3}^{(T_0,T_1,T_2)}$  ( $n \ge 3$ ) with given initial values  $T_0^{(T_0,T_1,T_2)} = T_0$ ,  $T_1^{(T_0,T_1,T_2)} = T_1$  and  $T_2^{(T_0,T_1,T_2)} = T_2$ . When  $T_0 = 0$  and  $T_1 = T_2 = 1$ ,  $T_n = T_n^{(0,1,1)}$  are ordinary Tribonacci numbers, which sequence is given by  $\{T_n\}_{n\ge 0} = 0, 1, 1, 2, 4, 7, 13, 24, 44, 81, 149, \ldots$ 

In this paper, we give some convolution identities for Tribonacci-type numbers with binomial (multinomial) coefficients.

### **1** Introduction

Convolution identities for various kinds of numbers (or polynomials) have been studied, with or without binomial (or multinomial) coefficients, including Bernoulli, Euler, Genocchi, Cauchy, Stirling, and Fibonacci numbers ([1, 2, 3, 6, 7, 10, 13]). One typical formula is due to Euler, given by

$$\sum_{k=0}^{n} \binom{n}{k} \mathcal{B}_k \mathcal{B}_{n-k} = -n \mathcal{B}_{n-1} - (n-1) \mathcal{B}_n \quad (n \ge 0) ,$$

where  $\mathcal{B}_n$  are Bernoulli numbers, defined by

$$\frac{x}{e^x - 1} = \sum_{n=0}^{\infty} \mathcal{B}_n \frac{x^n}{n!} \quad (|x| < 2\pi).$$

In [11], Panda et al. several kinds of the sums of product of two balancing numbers are given. As an application, the sums of the products of two Fibonacci (and Lucas) numbers

$$\sum_{m=0}^{n} F_{km+r} F_{k(n-m)+r} \text{ and } \sum_{m=0}^{n} L_{km+r} L_{k(n-m)+r},$$

where k and r are fixed integers with  $k > r \ge 0$ , are given. In [12], Ray et al. consider the higher-order convolution identities for balancing numbers. In addition, let  $u_n$  and  $v_n$  satisfy the three-term recurrence relations  $u_n = au_{n-1} + bu_{n-2}$   $(n \ge 2)$  with  $u_0 = 0$  and  $u_1 = 1$  and  $v_n = av_{n-1} + bv_{n-2}$   $(n \ge 2)$  with  $v_0 = 2$  and  $v_1 = a$ , respectively. Then, explicit formulae for general Fibonacci numbers  $u_n$  and Lucas numbers  $v_n$ , namely,

$$\sum_{\substack{k_1+\cdots+k_r=n\\k_1,\ldots,k_r\geq 0}} \binom{n}{k_1,\ldots,k_r} u_{k_1}\cdots u_{k_r} \quad \text{and} \quad \sum_{\substack{k_1+\cdots+k_r=n\\k_1,\ldots,k_r\geq 0}} \binom{n}{k_1,\ldots,k_r} v_{k_1}\cdots v_{k_r}$$

are given, where

$$\binom{n}{k_1,\ldots,k_r} = \frac{n!}{k_1!\cdots k_r!}$$

is the multinomial coefficient.

In [8, 9], we studied the convolution identities for the original Tribonacci numbers. In the case of Fibonacci or Lucas numbers, the convolution identities can be expressed in terms of Fibonacci or Lucas numbers only. In the case of balancing or Lucas-balancing numbers, the convolution identities can be expressed in terms of balancing or Lucas-balancing numbers only. However, the convolution identities for Tribonacci numbers need other Tribonacci-type numbers with different initial values. In this paper, we give some convolution identities with binomial (or multinomial) coefficients for Tribonacci-type numbers, generalizing the previous results.

### 2 Main results

For convenience, we shall introduce Tribonacci-type numbers  $T_n^{(T_0,T_1,T_2)}$ , satisfying the recurrence relation

$$T_n^{(T_0,T_1,T_2)} = T_{n-1}^{(T_0,T_1,T_2)} + T_{n-2}^{(T_0,T_1,T_2)} + T_{n-3}^{(T_0,T_1,T_2)} \quad (n \ge 3)$$

with given initial values  $T_0^{(T_0,T_1,T_2)} = T_0$ ,  $T_1^{(T_0,T_1,T_2)} = T_1$  and  $T_2^{(T_0,T_1,T_2)} = T_2$ . Hence,  $T_n = T_n^{(0,1,1)}$  are ordinary Tribonacci numbers, which sequence is given by

$${T_n}_{n>0} = 0, 1, 1, 2, 4, 7, 13, 24, 44, 81, 149, \dots$$

([14, A000073]).

The generating function with binomial coefficients is given by

$$t(x) := c_1 e^{\alpha x} + c_2 e^{\beta x} + c_3 e^{\gamma x} = \sum_{n=0}^{\infty} T_n^{(s_0, s_1, s_2)} \frac{x^n}{n!}, \qquad (2.1)$$

where  $\alpha$ ,  $\beta$  and  $\gamma$  are the roots of  $x^3 - x^2 - x - 1 = 0$  and given by

$$\alpha = \frac{\sqrt[3]{19 + 3\sqrt{33}} + \sqrt[3]{19 - 3\sqrt{33}} + 1}{3} = 1.839286755,$$
  
$$\beta, \gamma = \frac{2 - (1 \pm \sqrt{-3})\sqrt[3]{19 - 3\sqrt{33}} - (1 \mp \sqrt{-3})\sqrt[3]{19 + 3\sqrt{33}}}{6}$$
  
$$= -0.4196433776 \pm 0.6062907292\sqrt{-1},$$

satisfying

$$\alpha + \beta + \gamma = 1, \ \alpha\beta + \beta\gamma + \gamma\alpha = -1, \ \alpha\beta\gamma = 1.$$
(2.2)

Since  $c_1 + c_2 + c_3 = T_0$ ,  $c_1 \alpha + c_2 \beta + c_3 \gamma = T_1$  and  $c_1 \alpha^2 + c_2 \beta^2 + c_3 \gamma^2 = T_2$ , we have

$$c_1 = \frac{T_0 \beta \gamma - T_1(\beta + \gamma) + T_2}{(\alpha - \beta)(\alpha - \gamma)},$$
  

$$c_2 = \frac{T_0 \gamma \alpha - T_1(\gamma + \alpha) + T_2}{(\beta - \alpha)(\beta - \gamma)},$$
  

$$c_3 = \frac{T_0 \alpha \beta - T_1(\alpha + \beta) + T_2}{(\gamma - \alpha)(\gamma - \beta)}$$

(see e.g., [5]),

First, we shall prove the following two lemmas.

Lemma 2.1. We have

$$c_1^2 e^{\alpha x} + c_2^2 e^{\beta x} + c_3^2 e^{\gamma x} = \frac{1}{22} \sum_{n=0}^{\infty} T_n^{(T_0^*, T_1^*, T_2^*)} \frac{x^n}{n!} \,,$$

where

$$T_0^* = 2(4T_0^2 - 6T_1^2 - 2T_2^2 - 3T_0T_1 + 9T_1T_2 + T_2T_0),$$

$$T_1^* = T_0^2 + 4T_1^2 + 5T_2^2 + 2T_0T_1 - 6T_1T_2 - 8T_2T_0,$$
  

$$T_2^* = 2(-2T_0^2 + 3T_1^2 + T_2^2 + 7T_0T_1 + T_1T_2 + 5T_2T_0)$$

**Remark 2.2.** If  $T_0 = 0$  and  $T_1 = T_2 = 1$ , then  $T_0^* = 2$ ,  $T_1^* = 3$  and  $T_2^* = 10$ .

*Proof.* For Tribonacci-type numbers  $s_n$ , satisfying the recurrence relation  $s_n = s_{n-1} + s_{n-2} + s_{n-3}$   $(n \ge 3)$  with given initial values  $s_0$ ,  $s_1$  and  $s_2$ , we have

$$d_1 e^{\alpha x} + d_2 e^{\beta x} + d_3 e^{\gamma x} = \sum_{n=0}^{\infty} T_n^{(s_0, s_1, s_2)} \frac{x^n}{n!} \,. \tag{2.3}$$

Since  $d_1$ ,  $d_2$  and  $d_3$  satisfy the system of the equations

$$d_1 + d_2 + d_3 = s_0, \ d_1\alpha + d_2\beta + d_3\gamma = s_1, \ d_1\alpha^2 + d_2\beta^2 + d_3\gamma^2 = s_2,$$

we have

$$d_1 = \frac{-s_0\beta\gamma + s_1(\beta + \gamma) - s_2}{(\alpha - \beta)(\gamma - \alpha)},$$
  

$$d_2 = \frac{-s_0\gamma\alpha + s_1(\gamma + \alpha) - s_2}{(\alpha - \beta)(\beta - \gamma)},$$
  

$$d_3 = \frac{-s_0\alpha\beta + s_1(\alpha + \beta) - s_2}{(\beta - \gamma)(\gamma - \alpha)}.$$

Since  $d_1 = 22c_1^2$ , we have

$$\frac{-s_0\beta\gamma + s_1(\beta+\gamma) - s_2}{(\alpha-\beta)(\gamma-\alpha)} = 22\left(\frac{T_0\beta\gamma - T_1(\beta+\gamma) + T_2}{(\alpha-\beta)(\alpha-\gamma)}\right)^2.$$

By using the relations (2.2), we have

$$\begin{aligned} \alpha^2 &= 2 - (\beta + \gamma) + \beta \gamma \,, \\ (\beta + \gamma)^2 &= 1 + (\beta + \gamma) + \beta \gamma \,, \\ \beta^2 \gamma^2 &= -(\beta + \gamma) - \beta \gamma \,, \\ \beta \gamma (\beta + \gamma) &= \beta \gamma - 1 \,. \end{aligned}$$

Thus,

$$(-s_0\beta\gamma + s_1(\beta + \gamma) - s_2)(\alpha - \beta)(\gamma - \alpha) = s_0(-2(\beta + \gamma) + \beta^2\gamma^2 + 1) + s_1(-2(\beta + \gamma) - 2\beta\gamma(\beta + \gamma) + 2) + s_2(-(\beta + \gamma) + 3\beta\gamma + 3) = (-s_0 - 2s_1 + 3s_2)\beta\gamma + (-3s_0 - 2s_1 - s_2)(\beta + \gamma) + (s_0 + 4s_1 + 3s_2)$$

Similarly,

$$(T_0\beta\gamma - T_1(\beta + \gamma) + T_2)^2 = (2T_0T_1 + 2T_0T_2 - T_0^2 + T_1^2)\beta\gamma + (-2T_1T_2 - T_0^2 + T_1^2)(\beta + \gamma) + (2T_0T_1 + T_1^2 + T_2^2).$$

By solving the system

$$-s_0 - 2s_1 + 3s_2 = 2T_0T_1 + 2T_0T_2 - T_0^2 + T_1^2,$$

$$\begin{aligned} -3s_0 - 2s_1 - s_2 &= -2T_1T_2 - T_0^2 + T_1^2, \\ s_0 + 4s_1 + 3s_2 &= 2T_0T_1 + T_1^2 + T_2^2, \end{aligned}$$

we obtain that

$$s_{0} = \frac{4T_{0}^{2} - 6T_{1}^{2} - 2T_{2}^{2} - 3T_{0}T_{1} + 9T_{1}T_{2} + T_{2}T_{0}}{11},$$
  

$$s_{1} = \frac{T_{0}^{2} + 4T_{1}^{2} + 5T_{2}^{2} + 2T_{0}T_{1} - 6T_{1}T_{2} - 8T_{2}T_{0}}{22},$$
  

$$s_{2} = \frac{-2T_{0}^{2} + 3T_{1}^{2} + T_{2}^{2} + 7T_{0}T_{1} + T_{1}T_{2} + 5T_{2}T_{0}}{11}$$

By replacing  $s_0$ ,  $s_1$  and  $s_2$  by  $s_0/22$ ,  $s_1/22$  and  $s_2/22$ , respectively, we get the result. It is similar for  $d_2 = 22c_2^2$  and  $d_3 = 22c_3^2$ .

Lemma 2.3. We have

$$c_2 c_3 e^{\alpha x} + c_3 c_1 e^{\beta x} + c_1 c_2 e^{\gamma x} = \frac{1}{22} \sum_{n=0}^{\infty} T_n^{(\hat{T}_0, \hat{T}_1, \hat{T}_2)} \frac{x^n}{n!} \,,$$

where

$$\begin{split} \hat{T}_0 &= 7T_0^2 + 6T_1^2 + 2T_2^2 + 5T_0T_1 - T_0T_2 - 9T_1T_2 \,, \\ \hat{T}_1 &= 8T_0^2 + 10T_1^2 + 7T_2^2 + T_0T_1 - 9T_0T_2 - 15T_1T_2 \,, \\ \hat{T}_2 &= 17T_0^2 + 24T_1^2 + 8T_2^2 + 9T_0T_1 - 15T_0T_2 - 25T_1T_2 \,. \end{split}$$

**Remark 2.4.** If  $T_0 = \text{and } T_1 = T_2 = 1$ , then  $\hat{T}_0 = -1$ ,  $\hat{T}_1 = 2$  and  $\hat{T}_2 = 7$ .

*Proof.* Similarly to the proof of Lemma 2.1, we need to have  $d_1 = 22c_2c_3$ . In this case, we can obtain that  $d_2 = 22c_3c_1$  and  $d_3 = 22c_1c_2$ .

By using Lemma 2.1 and Lemma 2.3, we get the sum of the products of two Tribonacci-type numbers with initial values  $T_0$ ,  $T_1$  and  $T_2$  with the binomial coefficient.

**Theorem 2.5.** For  $n \ge 0$ ,

$$\sum_{k=0}^{n} \binom{n}{k} T_{k}^{(T_{0},T_{1},T_{2})} T_{n-k}^{(T_{0},T_{1},T_{2})} = \frac{1}{22} \left( 2^{n} T_{n}^{(T_{0}^{*},T_{1}^{*},T_{2}^{*}0)} + 2 \sum_{k=0}^{n} \binom{n}{k} (-1)^{k} T_{k}^{(\hat{T}_{0},\hat{T}_{1},\hat{T}_{2})} \right).$$

Proof. First, by Lemmas 2.1 and 2.3,

$$\begin{split} &(c_1 e^{\alpha x} + c_2 e^{\beta x} + c_3 e^{\gamma x})^2 \\ &= (c_1^2 e^{2\alpha x} + c_2^2 e^{2\beta x} + c_3^2 e^{2\gamma x}) + 2(c_1 c_2 e^{(\alpha + \beta)x} + c_2 c_3 e^{(\beta + \gamma)x} + c_3 c_1 e^{(\gamma + \alpha)x}) \\ &= (c_1^2 e^{2\alpha x} + c_2^2 e^{2\beta x} + c_3^2 e^{2\gamma x}) + 2(c_1 c_2 e^{(1 - \gamma)x} + c_2 c_3 e^{(1 - \alpha)x} + c_3 c_1 e^{(1 - \beta)x}) \\ &= \frac{1}{22} \sum_{n=0}^{\infty} T_n^{(T_0^*, T_1^*, T_2^*)} \frac{(2x)^n}{n!} + 2 \sum_{i=0}^n \frac{x^i}{i!} \frac{1}{22} \sum_{k=0}^{\infty} T_k^{(\hat{T}_0, \hat{T}_1, \hat{T}_2)} \frac{(-x)^k}{k!} \\ &= \frac{1}{22} \sum_{n=0}^{\infty} T_n^{(T_0^*, T_1^*, T_2^*)} \frac{(2x)^n}{n!} + \sum_{n=0}^{\infty} \frac{2}{22} \sum_{k=0}^n \binom{n}{k} (-1)^k T_k^{(\hat{T}_0, \hat{T}_1, \hat{T}_2)} \frac{x^n}{n!} \,. \end{split}$$

On the other hand,

$$\left(\sum_{n=0}^{\infty} T_n^{(T_0,T_1,T_2)} \frac{x^n}{n!}\right)^2 = \sum_{n=0}^{\infty} \sum_{k=0}^{n} \binom{n}{k} T_k^{(T_0,T_1,T_2)} T_{n-k}^{(T_0,T_1,T_2)} \frac{x^n}{n!} \,.$$

Comparing the coefficients on both sides, we get the desired result.

It would be possible to obtain higher-order convolution identities, but the forms seem to become more complicated. We present the results for the sum of the products of three and four Tribonacci-type numbers with multinomial coefficients.

**Theorem 2.6.** For  $n \ge 0$ ,

$$\begin{split} &\sum_{\substack{k_1+k_2+k_3=n\\k_1,k_2,k_3\geq 0}} \binom{n}{k_1,k_2,k_3} T_{k_1}^{(T_0,T_1,T_2)} T_{k_2}^{(T_0,T_1,T_2)} T_{k_3}^{(T_0,T_1,T_2)} \\ &= \frac{1}{22} \left( 3\sum_{k=0}^n \binom{n}{k} 2^{n-k} T_{n-k}^{(T_0^*,T_1^*,T_2^*)} T_k^{(T_0,T_1,T_2)} - 3^n T_n^{(\check{T}_0,\check{T}_1,\check{T}_2)} + 3\tilde{T} \right) \end{split}$$

**Theorem 2.7.** For  $n \ge 0$ ,

$$\begin{split} &\sum_{\substack{k_1+k_2+k_3+k_4=n\\k_1,k_2,k_3,k_4\geq 1}} \binom{n}{k_1,k_2,k_3,k_4} T_{k_1}^{(T_0,T_1,T_2)} T_{k_2}^{(T_0,T_1,T_2)} T_{k_3}^{(T_0,T_1,T_2)} T_{k_4}^{(T_0,T_1,T_2)} \\ &= \frac{1}{484} \left( 44 \sum_{k=0}^n \binom{n}{k} 3^{n-k} T_{n-k}^{(\check{T}_0,\check{T}_1,\check{T}_2)} T_k - 3 \cdot 4^n T_n^{(\check{T}_0,\check{T}_1,\check{T}_2)} \right. \\ &\left. + 6 \sum_{k=0}^n \binom{n}{k} \left( \sum_{i=0}^k \binom{k}{i} (-1)^i T_i^{(\hat{T}_0,\hat{T}_1,\hat{T}_2)} \right) \left( \sum_{j=0}^{n-k} \binom{n-k}{j} (-1)^j T_j^{(\hat{T}_0,\hat{T}_1,\hat{T}_2)} \right) \right) \end{split}$$

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