

# Generalized derivations acting as homomorphisms or anti-homomorphisms on Lie ideals

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**Abstract** Let  $R$  be a 2-torsion free semiprime ring with center  $Z(R)$  and  $L$  be a non-zero square closed Lie ideal of  $R$ . A mapping  $F : R \rightarrow R$  is said to be a generalized derivation of  $R$  if for all  $u, v \in R$ ,  $F(u + v) = F(u) + F(v)$  and  $F(uv) = F(u)v + ud(v)$ , where  $d$  is a derivation of  $R$ . In this note, we prove that if  $F$  acts as a homomorphism or as an anti-homomorphism on  $L$ , then  $d$  maps  $R$  into  $Z(R)$ . Also, we study the prime ring case in more general settings and consequently extend a theorem of Rehman [18].

## 1 Introduction

All through this paper,  $R$  denotes an associative ring with  $\text{char}(R) \neq 2$  and center  $Z(R)$ . Recall that a ring  $R$  in which  $0$  is a prime ideal is called a prime ring and if  $R$  has no non-zero nilpotent ideal then it is called a semiprime ring. For any  $x, y \in R$ , we denote the commutator  $xy - yx$  by  $[x, y]$ . By a Lie ideal of  $R$ , we mean an additive subgroup  $L$  of  $R$  such that  $[L, R] \subseteq L$ . Evidently, every ideal of  $R$  is a Lie ideal but converse is not true. A Lie ideal  $L$  is said to be square closed if  $u^2 \in L$  for all  $u \in L$ . An additive mapping  $d : R \rightarrow R$  is called a derivation of  $R$  if  $d(xy) = d(x)y + xd(y)$  for all  $x, y \in R$ . For a fixed  $a \in R$ , the function  $\phi_a : x \mapsto [a, x]$  is called an inner derivation associated with  $a$ , which is a well-known example of a derivation. For some  $a, b \in R$ ,  $\psi : x \mapsto ax + xb$  is said to be a generalized inner derivation of  $R$ . Now we see that  $\psi(xy) = \psi(x)y + x\phi_b(y)$ , where  $\phi_b$  is the inner derivation of  $R$  associated with  $b$ . Brešar [7] observed these computations and thereafter introduced the notion of the generalized derivation. Let  $F : R \rightarrow R$  be an additive mapping such that  $F(xy) = F(x)y + xd(y)$  for all  $x, y \in R$ . Then  $F$  is called a generalized derivation  $R$  associated with a derivation  $d$ . In [14], Hvala developed a remarkable algebraic theory of generalized derivations.

Next, we consider a generalized derivation  $F : R \rightarrow R$  such that  $F(xy) = F(x)F(y)$  or  $F(xy) = F(y)F(x)$  for all  $x, y \in R$ . Then  $F$  is said to be a generalized derivation acts as a homomorphism or as an anti-homomorphism on  $R$ . Bell and Kappe [6] studied these type of derivations very first time on prime rings. Precisely, they proved the following theorem:

*Let  $R$  be a prime ring and  $U$  a nonzero right ideal of  $R$ . If  $d$  is a derivation of  $R$ , which acts as a homomorphism or as an anti-homomorphism on  $U$ , then  $d = 0$ .*

Many authors extended this result in several ways, for up-to-date discussions we refer the reader to [1], [2], [3], [4], [5], [9], [17], [18], [21] and references therein. In this note, we shall prove the following theorems:

**Theorem 1.1.** *Let  $R$  be a 2-torsion free semiprime ring,  $L$  a nonzero square-closed Lie ideal of  $R$ . Suppose that  $R$  admits a generalized derivation  $(F, d)$ .*

- (i) *If  $F$  acts as a homomorphism on  $L$ , then  $d(R) \subseteq Z(R)$ .*
- (ii) *If  $F$  acts as an anti-homomorphism on  $L$ , then  $d(R) \subseteq Z(R)$ .*

**Theorem 1.2.** *Let  $R$  be a 2-torsion free prime ring,  $L$  a nonzero square-closed Lie ideal of  $R$  and  $m, n \geq 1$  are fixed integers. Suppose  $R$  admits a generalized derivation  $(F, d)$ .*

- (i) *If  $F(x^m y^n) = F(x^m)F(y^n)$  for all  $x, y \in L$ , then  $d = 0$  or  $L \subseteq Z(R)$ .*
- (ii) *If  $F(x^m y^n) = F(y^n)F(x^m)$  for all  $x, y \in L$ , then  $d = 0$  or  $L \subseteq Z(R)$ .*

## 2 Preliminaries Results

The the commutator identities:  $[x, yz] = y[x, z] + [x, y]z$ ,  $[xy, z] = x[y, z] + [x, z]y$  and the following facts are useful in the main section:

**Lemma 2.1.** [ [13], COROLLARY 2.1] *Let  $R$  be a 2-torsion free semiprime ring,  $L$  a Lie ideal of  $R$  such that  $L \not\subseteq Z(R)$  and let  $a, b \in L$ . (i) If  $aLa = (0)$ , then  $a = 0$ . (ii) If  $aL = (0)$  (or  $La = (0)$ ), then  $a = 0$ . (iii) If  $L$  is square-closed and  $aLb = (0)$ , then  $ab = 0$  and  $ba = 0$ .*

**Lemma 2.2.** [[20], LEMMA 2.5] *Let  $R$  be a 2-torsion free semiprime ring,  $L$  a Lie ideal of  $R$  such that  $L \not\subseteq Z(R)$ . If  $L$  is square-closed then there exist a nonzero ideal  $M = R[L, L]R$  of  $R$  such that  $2M \subseteq L$ .*

**Lemma 2.3.** [[16], REMARK 2.1] *Let  $R$  be a ring,  $L$  a square-closed Lie ideal of  $R$ . Then  $2R[L, L] \subseteq L$  and  $2[L, L]R \subseteq L$ .*

**Lemma 2.4.** *Let  $R$  be a 2-torsion free semiprime ring and  $L$  be a nonzero Lie ideal of  $R$ . Then  $C_R(L) = Z(R)$ .*

*Proof.* Clearly,  $Z(R) \subseteq C_R(L)$ . It is easy to see that  $C_R(L)$  is both a Lie ideal and a subring of  $R$ . Since  $C_R(L)$  can not contain a nonzero ideal of  $R$ , in light of Herstein [[12], Lemma 1.3]  $C_R(L) \subseteq Z(R)$ . Hence,  $C_R(L) = Z(R)$ .  $\square$

**Lemma 2.5.** [[19], THEOREM 3.1] *Let  $d$  is a derivation of a 2-torsion free semiprime ring  $R$  and  $L$  be a square-closed Lie ideals of  $R$ . If  $d$  is centralizing on  $L$ , then  $d$  maps  $R$  into  $Z(R)$ .*

## 3 Main Results

The following propositions can be considered as independent results in themselves.

**Proposition 3.1.** *Let  $R$  be a 2-torsion free semiprime ring and  $L$  be a non-zero square-closed Lie ideal of  $R$ . If  $R$  admits a generalized derivation  $(F, d)$  which is centralizing on  $L$ , then  $d(R) \subseteq Z(R)$ .*

*Proof.* By hypothesis, we have  $[u, F(u)] \in Z(R)$  for all  $u \in L$ . Linearizing this relation w.r.t.  $u$ , we get  $[u, F(v)] + [v, F(u)] \in Z(R)$  where  $u, v \in L$ . For some  $r \in R$ , we substitute  $[v, r]$  for  $u$  and get  $[[v, r], F(v)] + [v, [F(v), r]] + [v, [v, d(r)]] \in Z(R)$ . That is,

$$[v, [F(v), r]] + [F(v), [r, v]] + [v, [v, d(r)]] \in Z(R). \quad (3.1)$$

By Jacobi's identity we must have

$$[v, [F(v), r]] + [F(v), [r, v]] + [r, [v, F(v)]] = 0. \quad (3.2)$$

Combining Eq. (3.1) and (3.2) and using our hypothesis, we get  $[[d(r), v], v] \in Z(R)$  for each  $v \in L$  and  $r \in R$ . It can be written as  $[\phi_{d(r)}(v), v] \in Z(R)$ , where  $\phi_{d(r)} : R \rightarrow R$  stands for the inner derivation of  $R$  associated with element  $d(r)$ . In view of Lemma 2.5, we find that  $\phi_{d(r)}(R) \subseteq Z(R)$  i.e.;  $[d(r), s] \in Z(R)$  for all  $r, s \in R$ . By simple substitutions, we obtain  $d(R) \subseteq Z(R)$ , as desired.  $\square$

**Proposition 3.2.** *Let  $R$  be a 2-torsion free semiprime ring and  $L$  a non-zero Lie ideal of  $R$ . If  $R$  admits a derivation  $d$  such that  $d(L) = (0)$ , then  $d(R) \subseteq Z(R)$ .*

*Proof.* By assumption,  $d(u) = 0$  for all  $u \in L$ . Replacing  $u$  by  $[u, r]$ , where  $r \in R$ , we get  $d([u, r]) = [u, d(r)] = 0$ . Replacing  $r$  by  $rs$  in the last expression, we get  $d(r)[u, s] + [u, r]d(s) = 0$ . In particular, we get

$$d(R)[L, L] = (0) \quad (3.3)$$

That means,  $d(r)[u, v] = 0$  for all  $u, v \in L$  and  $r \in R$ . Replacing  $r$  by  $ru$ , we obtain  $d(r)u[u, v] = 0$ . By Filippis et al. [[10], Corollary 1.4], we get  $d(R)[L, R] = (0)$  and  $[d(R), L] = (0)$ . In view of Lemma 2.4, we get the conclusion.  $\square$

**3.1 Proof of Theorem 1.1**

(i) If possible, let us assume that  $L \not\subseteq Z(R)$ . By hypothesis, we have  $F(xy) = F(x)F(y)$  for any  $x, y \in L$ . Substitute  $2yz$  for  $y$ , where  $z \in L$ , we find

$$F(xyz) = F(x)F(yz) = F(x)F(y)z + F(x)y d(z) \tag{3.4}$$

On the other hand, we have

$$F(xyz) = F(xy)z + xy d(z) \tag{3.5}$$

Comparing (3.4), (3.5) and using our hypothesis, we get

$$(F(x) - x)y d(z) = 0 \tag{3.6}$$

Replace  $x$  by  $2xz$  in (3.6), we get  $(F(xz) - xz)y d(z) = 0$  where  $x, y, z \in L$ . On expanding the relation, we get

$$x d(z)y d(z) + (F(x) - x)z y d(z) = 0 \tag{3.7}$$

Replace  $y$  by  $2zy$  in (3.6) and we have

$$(F(x) - x)z y d(z) = 0 \tag{3.8}$$

On subtraction (3.8) from (3.7), we obtain

$$x d(z)y d(z) = 0 \tag{3.9}$$

for all  $x, y, z \in L$ . Replace  $x$  by  $2xz$  and  $y$  by  $2yz$  in (3.9), we obtain

$$x z d(z)y z d(z) = 0. \tag{3.10}$$

Again, we substitute  $2zy$  for  $y$  in (3.9) and right multiply it by  $z$ , we find

$$x d(z)z y d(z)z = 0 \tag{3.11}$$

Subtracting (3.10) from (3.11), we get

$$x[d(z), z]y[d(z), z] = 0 \tag{3.12}$$

for all  $x, y, z \in L$ . Replace  $y$  by  $4yx$  in (3.12), we get  $2x[d(z), z]L2x[d(z), z] = (0)$  for all  $x, z \in L$ . In light of Lemma 2.1, we obtain  $x[d(z), z] = 0$  for all  $x, z \in L$ . Again utilizing Lemma 2.1, we find  $[d(z), z] = 0$  for all  $z \in L$ . Hence, Lemma 2.5 completes the proof.

(ii) If possible assume that  $L \not\subseteq Z(R)$ . By hypothesis,

$$F(xy) = F(y)F(x) \text{ for all } x, y \in L. \tag{3.13}$$

Replace  $x$  by  $2xy$  in (3.13), we obtain  $2F(xy^2) = 2F(y)F(xy)$ . Using 2-torsion freeness of  $R$ , we get

$$F(xy^2) = F(y)F(xy) \\ F(xy)y + xy d(y) = F(y)F(x)y + F(y)x d(y)$$

Using (3.13), we get  $(xy - F(y)x)d(y) = 0$  for all  $x, y \in L$ . By Lemma 2.2, we have  $2M \subseteq L$ . Putting  $x = 2m$ , we obtain

$$(my - F(y)m)d(y) = 0 \tag{3.14}$$

Replace  $m$  by  $F(z)m$  in (3.14), where  $z \in L$ , we get

$$(F(z)my - F(y)F(z)m)d(y) = 0 \tag{3.15}$$

On multiplying (3.14) by  $F(z)$  from left side, we get

$$(F(z)my - F(z)F(y)m)d(y) = 0 \tag{3.16}$$

Subtracting (3.15) from (3.16), we obtain  $(F(z)F(y) - F(y)F(z))md(y) = 0$  for all  $y, z \in L$  and  $m \in M$ . Again using our hypothesis, we get

$$F([y, z])md(y) = 0 \quad (3.17)$$

Replace  $z$  by  $2zy$  in (3.17), we get  $F([y, zy])md(y) = 0$  for any  $y, z \in L$  and  $m \in M$ .

$$F([y, z])ymd(y) + [y, z]d(y)md(y) = 0 \quad \text{for all } y, z \in L \text{ and } m \in M. \quad (3.18)$$

Replace  $m$  by  $ym$  in (3.17) and subtract from (3.18), we obtain  $[y, z]d(y)md(y) = 0$ . Since  $R[L, L] \subseteq M$  so we substitute  $r[y, z]$  instead of  $m$ , where  $r \in R$  and  $y, z \in L$ , we get  $[y, z]d(y)r[y, z]d(y) = 0$ . That is,  $[y, z]d(y)R[y, z]d(y) = (0)$  where  $y, z \in L$ . Semiprimeness of  $R$  yields that

$$[y, z]d(y) = 0 \quad \text{for all } y, z \in L. \quad (3.19)$$

Linearizing the above relation we get

$$[x, z]d(y) = -[y, z]d(x) \quad (3.20)$$

Replace  $z$  by  $2zu$  in (3.19), where  $u \in L$ , we get  $2[y, zu]d(y) = 0$  for all  $y, z, u \in L$ . Since  $R$  is 2-torsion free so we left with  $[y, z]ud(y) = 0$ . By Lemma 2.3, we substitute  $2r[x, z]$  in place of  $u$ , where  $r \in R$  and  $x, z \in L$  in the last expression and obtain  $[y, z]r[x, z]d(y) = 0$ . Using (3.20), we obtain that  $[y, z]r[y, z]d(x) = 0$ . Replacing  $r$  by  $rd(x)$ , we get  $[y, z]d(x)R[y, z]d(x) = (0)$ . Since  $R$  is semiprime ring, so we get  $[y, z]d(x) = 0$ . Again application of Lemma 2.2 implies that  $[m, m_1]d(x) = 0$ , where  $x \in L$  and  $m, m_1 \in M$ . Substituting  $d(x)m$  for  $m$  in the last expression and using it we get  $[d(x), m_1]md(x) = 0$ . From this, it easily follows that  $[d(x), m_1]M[d(x), m_1] = (0)$  for each  $x \in L$  and  $m_1 \in M$ . Since every ideal of a semiprime ring is a semiprime ring itself, we get  $[d(x), m_1] = 0$  for all  $x \in L$  and  $m_1 \in M$ . Now, as  $R[L, L] \subseteq M$  so we put  $m = r[y, z]$  in the last relation, where  $r \in R$  and  $y, z \in L$ , we find  $[d(x), r[y, z]] = 0$ . On expanding this expression and using the fact that  $[L, L] \subseteq M$  we obtain  $[d(x), r][y, z] = 0$  for all  $x, y, z \in L$  and  $r \in R$ . Now, replace  $y$  by  $y^2$  in the last equation, we get  $[d(x), r]y[y, z] = 0$  for all  $x, y, z \in L$  and  $r \in R$ . In view of corollary 1.4 in [10], we find

$$[d(x), r][y, s] = 0 \quad \text{for all } x, y \in L, r, s \in R. \quad (3.21)$$

For any  $p \in R$ , replace  $s$  by  $sp$  in (3.21), we obtain  $[d(x), r]s[y, p] = 0$  for all  $x, y \in L$  and  $r, s, p \in L$ . In particular, we have  $[d(x), x]R[d(x), x] = (0)$  for all  $x \in L$ . Since  $R$  is semiprime ring, we find  $[d(x), x] = 0$  for all  $x \in L$ . Hence the conclusion follows from Lemma 2.5.

**Corollary 3.3.** *Let  $R$  be a 2-torsion free prime ring and  $L$  a nonzero square-closed Lie ideal of  $R$ . Suppose  $F : R \rightarrow R$  be a generalized derivation associated with a derivation  $d$ .*

(i) *If  $F$  acts as a homomorphism on  $L$ , then either  $d = 0$  or  $L \subseteq Z(R)$ .*

(ii) *If  $F$  acts as an anti-homomorphism on  $L$ , then either  $d = 0$  or  $L \subseteq Z(R)$ .*

*Proof.* By Theorem 1.1, we obtain  $d(R)[L, R] = (0)$  i.e.;  $d(r)[x, s] = 0$  for any  $r, s \in R$  and  $x \in L$ . Replacing  $r$  by  $r_1r$ , where  $r_1 \in R$ , we get  $d(r_1)R[x, r] = (0)$ . By primeness of  $R$  we have either  $d(r_1) = 0$  or  $[x, r] = 0$ . We set  $A = \{r \in R : d(r) = 0\}$  and  $B = \{r \in R : [x, r] = 0\}$ , where  $x \in L$ . It is easy to see that both  $A$  and  $B$  are subgroups of  $(R, +)$  and  $R = A \cup B$ . By Brauer's trick, we have either  $A = R$  or  $B = R$ . Therefore, either  $d = 0$  or  $L \subseteq Z(R)$ . But  $L \not\subseteq Z(R)$ , so we must have  $d = 0$ .  $\square$

### 3.2 Proof of Theorem 1.2

If possible, assume that  $L \not\subseteq Z(R)$ . Let us consider first  $F(x^m y^n) = F(x^m)F(y^n)$  for all  $x, y \in L$ . By Lemma 2.2, every noncentral Lie ideal  $L$  of  $R$  contains a nonzero ideal  $I = 2R[L, L]R$  of  $R$ . And therefore  $L$  contains a nonzero ideal say  $I = 2R[L, L]R$  of  $R$ . With this, our assumption yields  $F(x^m y^n) = F(x^m)F(y^n)$  for all  $x, y \in I$ . Let the set  $A_1 = \{x^m : x \in I\}$  and  $G_1$  be the additive subgroup of  $R$  generated by  $A_1$  and  $G_2$  be the additive subgroup generated by the set  $A_2 = \{y^n : x \in I\}$ . By hypothesis, we have

$$F(uv) - F(u)F(v) = 0 \quad \text{for all } u \in G_1, v \in G_2.$$

By Chuang [8], either  $G_1 \subseteq Z(R)$  or  $\text{char}(R) = 2$  and  $R$  satisfies  $s_4$  identity, unless  $G_1$  contains a noncentral Lie ideal  $L_1$  of  $R$ . As we assumed  $R$  is of characteristic different from 2 and if  $G_1 \subseteq Z(R)$  i.e.;  $x^m \in Z(R)$  for all  $x \in I$ . By Lee [15],  $I_1, I_2, R$  and  $U$  satisfies the same differential identities, thus we find  $x^m \in Z(R)$  for all  $x \in R$ . Then a well-known result of Herstein [11] forces  $R$  to be commutative, a contradiction to our assumption.

Therefore,  $G_1$  contains a noncentral ideal  $L_1$  of  $R$ . Then, we have

$$F(uv) - F(u)F(v) = 0 \text{ for all } u \in L_1, v \in G_2.$$

Similarly, there exists a noncentral Lie ideal  $L_2$  of  $G_2$  such that

$$F(uv) - F(u)F(v) = 0 \text{ for all } u \in L_1, v \in L_2.$$

In view of Herstein [[12], pg. 4-5], there exists a nonzero ideal  $I_1$  such that  $0 \neq [I_1, R] \subseteq L_1$ . Similarly, there exists a nonzero ideal  $I_2$  such that  $0 \neq [I_2, R] \subseteq L_2$ . Thus, we obtain  $F(uv) - F(u)F(v) = 0$  for all  $u \in [I_1, I_1]$  and  $v \in [I_2, I_2]$ . In light of Lee [15],  $I_1, I_2, R$  and  $U$  satisfies the same differential identities. So, we find  $F(uv) - F(u)F(v) = 0$  for all  $u \in [R, R]$ . Clearly,  $[R, R]$  is a nonzero Lie ideal of  $R$ . Therefore, by case 1 of Theorem 1.2 in [17], we get either  $d = 0$  or  $[R, R] \subseteq Z(R)$ . The latter case implies commutativity of  $R$ , which is not possible. Hence,  $d = 0$ .

In case,  $F(x^m y^n) = F(y^n)F(x^m)$  for all  $x, y \in L$ . Analogously as above, we can obtain the same conclusions.

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