Bounds on Neutral Phase Speed for the Stratified Shear Flows

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MSC 2010 Classifications: 76E05

keywords: Shear flows, incompressible, stratified, inviscid fluids, β plane.

Abstract. We consider Taylor Goldstein problem in β - plane under Boussineq approximation, which deals with incompressible, inviscid stratified shear flows. In this paper, first we obtained upper and lower bounds of neutral phase speed. Second , we obtained the bound for complex phase speed which depends on parameter like vorticity function, minimum and maximum of velocity profile and stratification parameter. Also, we obtained a criterion for stability and illustrated with examples.

1 Introduction :

The stability analysis of stratified shear flows under normal mode approach has been studied extensively (see [15], [1] & [11]). Parallel shear flow problem is a classical hydrodynamic stability problem and attracts many researchers. [6] considered inviscid homogeneous parallel shear flow in β - plane, which is the standard homogeneous shear flow problem. [6] derived Rayleigh inflexion point theorem. [3] derived upper bound for the growth rate. [9] proved the phase velocity lies inside the upper half of the semi circle which is the extension of [4] semi circle.

For stratified shear flows known as Taylor-Goldstein problem, [4] derived semi- circle theorem and [8] derived sufficient condition for stability. [5] extended works of [4] and derived semiellipse theorem depends on stratification parameter. [2] derived parabolic instability region depending on certain condition. [12] proved Howard's conjecture. [14] extended semiellipse theorem to extended Taylor-Goldstein problem. [14] proved that neutral waves are bounded. [13] derived instability region for extended Taylor-Goldstein problem. [10] obtained sharper estimate for growth rate and derived long wave stability criterion.

In this paper, we consider incompressible, inviscid, density varying fluid streaming in the horizontal direction in β - plane under Boussineq approximation known as Taylor-Goldstein problem in β - plane. For this problem, we derived upper and lower bound for neutral waves and obtained and semielliptical instability region where major axis depends on stratification parameter, vorticity function and curvature . Also, we derived a condition for stability.

2 Bounds on Neutral Phase speed:

The Taylor Goldstein problem in β - plane is given by

$$D^{2}(\phi) + \left[\frac{N^{2}}{\left(U-c\right)^{2}} - \frac{D^{2}(U) - \beta}{U-c} - k^{2}\right]\phi = 0,$$
(2.1)

with boundary conditions

$$\phi(z_1) = 0 = \phi(z_2). \tag{2.2}$$

where U is the basic velocity profile, ϕ is the eigen function, $c = c_r + ic_i$ phase velocity, k > 0 is the wave number, β coriolis parameter given by $\beta = \left(\frac{2\Omega}{a}\right) \cos\theta$, where a is the radius of earth, Ω is the earth's rotation rate, θ is the latitude [7], [9].

Theorem 2.1. The upper and lower bound for neutral waves $c = c_r$ with $c_i = 0$ is given by

$$U_{\min}(z_{s}) + \left[\frac{\left(D^{2}\left(U\right) - \beta\right)\left(z_{s}\right)}{2k^{2}}\right]_{\min} - \left[\frac{\sqrt{\left[\left(D^{2}\left(U\right) - \beta\right)\left(z_{s}\right)\right]^{2} + 4k^{2}N^{2}}}{2k^{2}}\right]_{\max} \le c \le U_{\max}(z_{s}) + \left[\frac{\left(D^{2}\left(U\right) - \beta\right)\left(z_{s}\right)}{2k^{2}}\right]_{\min} + \left[\frac{\sqrt{\left[\left(D^{2}\left(U\right) - \beta\right)\left(z_{s}\right)\right]^{2} + 4k^{2}N^{2}}}{2k^{2}}\right]_{\max}$$

Proof. Multiplying (2.1) by conjugate of ϕ , integrating over $[z_1, z_2]$ and using (2.2), we get

$$\int_{z_1}^{z_2} |D(\phi)|^2 dz + k^2 \int_{z_1}^{z_2} |\phi|^2 dz + \int_{z_1}^{z_2} \frac{(D^2(U) - \beta)}{(U - c)} |\phi|^2 dz - \int_{z_1}^{z_2} \frac{N^2}{(U - c)^2} |\phi|^2 dz = 0.$$
(2.3)

Since first term is positive, dropping the term, we get

$$\int_{z_1}^{z_2} \left[k^2 + \frac{\left(D^2\left(U\right) - \beta\right)}{\left(U - c\right)} - \frac{N^2}{\left(U - c\right)^2} \right] \left|\phi\right|^2 dz \le 0;$$

i.e.,
$$\int_{z_1}^{z_2} \left[k^2 \left(U - c\right)^2 + \left(D^2\left(U\right) - \beta\right) \left(U - c\right) - N^2 \right] \frac{\left|\phi\right|^2}{\left(U - c\right)^2} dz \le 0.$$

There exist a point $z = z_s \in [z_1, z_2]$ such that

$$k^{2} (U(z_{s}) - c)^{2} + (D^{2} (U) - \beta) (z_{s}) (U(z_{s}) - c) - N^{2} \leq 0;$$

$$k^{2}c^{2} - [2k^{2}U(z_{s}) + (D^{2} (U) - \beta) (z_{s})] c$$

$$+ [k^{2}U^{2} (z_{s}) + (D^{2} (U) - \beta) (z_{s}) U(z_{s}) - N^{2}] \leq 0.$$

Solving for c, we get

$$U_{\min}(z_{s}) + \left[\frac{(D^{2}(U)-\beta)(z_{s})}{2k^{2}}\right]_{\min} - \left[\frac{\sqrt{[(D^{2}(U)-\beta)(z_{s})]^{2}+4k^{2}N^{2}}}{2k^{2}}\right]_{\max} \le c \le U_{\max}(z_{s}) + \left[\frac{(D^{2}(U)-\beta)(z_{s})}{2k^{2}}\right]_{\min} + \left[\frac{\sqrt{[(D^{2}(U)-\beta)(z_{s})]^{2}+4k^{2}N^{2}}}{2k^{2}}\right]_{\max} \le c \le U_{\max}(z_{s}) + \left[\frac{(D^{2}(U)-\beta)(z_{s})}{2k^{2}}\right]_{\max} \le U_{\max}(z_{s}) + \left[\frac{(D^{2}(U)-\beta)(z_{s})}{2k^{$$

3 Semi Elliptical Region

Theorem 3.1. If $|D(U)|_{\min}^2 \neq 0$ then the range of complex phase speed $c = c_r + ic_i$ is

$$\left[c_{r} - \frac{U_{\min} + U_{\max}}{2}\right]^{2} + c_{i}^{2} + \frac{J_{0}}{\left(1 + A_{1}\right)^{2}}c_{i}^{2} \leq \left[\frac{U_{\max} - U_{\min}}{2}\right]^{2},$$

where

$$A_{1}^{2} = \frac{\left|N^{2}\right|_{\max} - \left|N^{2}\right|_{\min} + \left|D^{2}\left(U\right) - \beta\right|_{\max}\left|U_{\max} - U_{\min}\right|}{\left|D\left(U\right)\right|_{\min}^{2}}$$

Proof. Equating real parts of (2.3), we get

$$\begin{split} \int_{z_1}^{z_2} |D\left(\phi\right)|^2 dz + k^2 \int_{z_1}^{z_2} |\phi|^2 dz + \int_{z_1}^{z_2} \frac{\left(D^2\left(U\right) - \beta\right)\left(U - c_r\right)}{|U - c|^2} |\phi|^2 dz \\ - \int_{z_1}^{z_2} \frac{N^2 \left[\left(U - c_r\right)^2 - c_i^2\right]}{|U - c|^4} \left|\phi\right|^2 dz = 0. \end{split}$$

Applying triangular inequality, we get

$$\begin{split} & \int_{z_1}^{z_2} |D(\phi)|^2 \, dz + k^2 \int_{z_1}^{z_2} |\phi|^2 \, dz \\ \leq \left| \int_{z_1}^{z_2} \frac{N^2 \left[(U - c_r)^2 - c_i^2 \right]}{|U - c|^4} \left| \phi \right|^2 \, dz - \int_{z_1}^{z_2} \frac{\left(D^2 \left(U \right) - \beta \right) \left(U - c_r \right)}{|U - c|^2} \left| \phi \right|^2 \, dz \right|; \\ & \int_{z_1}^{z_2} |D(\phi)|^2 \, dz + k^2 \int_{z_1}^{z_2} |\phi|^2 \, dz \leq \int_{z_1}^{z_2} \frac{N^2 \left[(U - c_r)^2 - c_i^2 \right]}{|U - c|^4} \left| \phi \right|^2 \, dz \\ & + \int_{z_1}^{z_2} \frac{\left(D^2 \left(U \right) - \beta \right) \left(U - c_r \right)}{|U - c|^2} \left| \phi \right|^2 \, dz. \end{split}$$

Using the inequalities,

$$(U - c_r)^2 \le c_i^2 + (U - c_r)^2 = |U - c|^2, |U - c_r| \le |U_{max} - U_{min}| and \frac{c_i^2}{|U - c|^2} \le 1,$$

we have

$$\int_{z_{1}}^{z_{2}} |D(\phi)|^{2} dz + k^{2} \int_{z_{1}}^{z_{2}} |\phi|^{2} dz$$

$$\leq \left[\left| N^{2} \right|_{\max} - \left| N^{2} \right|_{\min} + \left(D^{2}(U) - \beta \right)_{\max} \left| U_{\max} - U_{\min} \right| \right] \int_{z_{1}}^{z_{2}} \frac{|\phi|^{2}}{\left| U - c \right|^{2}} dz.$$
(3.1)

Applying $\phi = (U - c) \varphi$, we get

$$|D(\phi)|^{2} \ge |U-c|^{2} |D(\varphi)|^{2} - 2 |U-c| |D(U)| |\varphi| |D(\varphi)| + |D(U)|^{2} |\varphi|^{2}.$$
(3.2)

Applying Cauchy-Schwartz inequality, we get

$$\int_{z_{1}}^{z_{2}} |U-c| |D(U)| |\varphi| |D(\varphi)| dz \leq \left[\int_{z_{1}}^{z_{2}} |D(U)|^{2} |\varphi|^{2} dz \right]^{\frac{1}{2}} \left[\int_{z_{1}}^{z_{2}} |U-c|^{2} |D(\varphi)|^{2} dz \right]^{\frac{1}{2}}.$$
Let

$$B^{2} = \int_{z_{1}}^{z_{2}} |D(U)|^{2} |\varphi|^{2} dz; \qquad (3.3)$$

$$C^{2} = \int_{z_{1}}^{z_{2}} |U - c|^{2} \left[|D(\varphi)|^{2} + k^{2} |\varphi|^{2} \right] dz.$$
(3.4)

Then

$$\int_{z_{1}}^{z_{2}} |U - c| |D(U)| |\varphi| |D(\varphi)| dz \le BC.$$
(3.5)

Using (3.3),(3.4),(3.5) in (3.2), we get

$$\int_{z_1}^{z_2} \left[\left| D\left(\phi\right) \right|^2 + k^2 \left|\phi\right|^2 \right] dz \ge \left[C - B \right]^2.$$
(3.6)

Substituting (3.6) in (3.1) we get

$$[C-B]^{2} \leq \left[\left| N^{2} \right|_{\max} - \left| N^{2} \right|_{\min} + \left| \left(D^{2} \left(U \right) - \beta \right|_{\max} \left| U_{\max} - U_{\min} \right| \right] \int_{z_{1}}^{z_{2}} \left| \varphi \right|^{2} dz.$$
(3.7)

From (3.3), we have

$$B^{2} \ge |D(U)|_{\min}^{2} \int_{z_{1}}^{z_{2}} |\varphi|^{2} dz;$$

i.e.,

where

$$\frac{B^2}{|D(U)|_{\min}^2} \ge \int_{z_1}^{z_2} |\varphi|^2 \, dz.$$
(3.8)

Substituting (3.8) in (3.7), we have

$$\begin{split} \left[C-B\right]^{2} &\leq \left[\left|N^{2}\right|_{\max} - \left|N^{2}\right|_{\min} + \left|D^{2}\left(U\right) - \beta\right|_{\max}\left|U_{\max} - U_{\min}\right|\right] \frac{B^{2}}{\left|D\left(U\right)\right|_{\min}^{2}}.\\ B^{2}\left[\frac{C}{B} - 1\right]^{2} &\leq A_{1}^{2}B^{2},\\ A_{1}^{2} &= \frac{\left|N^{2}\right|_{\max} - \left|N^{2}\right|_{\min} + \left|D^{2}\left(U\right) - \beta\right|_{\max}\left|U_{\max} - U_{\min}\right|}{\left|D\left(U\right)\right|_{\min}^{2}}.\\ \left[\frac{C}{B} - 1\right] &\leq A_{1}; \end{split}$$

$$i.e., B^2 \ge \frac{C^2}{\left[1+A_1\right]^2}.$$
 (3.9)

$$\int_{z_1}^{z_2} N^2 |\varphi|^2 dz \ge \left[\frac{N^2}{(D(U))^2} \right]_{\min} \int_{z_1}^{z_2} |D(U)|^2 |\varphi|^2 dz;$$

i.e., $\int_{z_1}^{z_2} N^2 |\varphi|^2 dz \ge J_0 B^2,$ (3.10)

where $J_0 = \left[\frac{N^2}{(D(U))^2}\right]_{\min}$, substituting (3.9) in (3.10), we get

$$\int_{z_1}^{z_2} N^2 \left|\varphi\right|^2 dz \ge \frac{J_0 C^2}{\left[1 + A_1\right]^2}.$$
(3.11)

Using the inequality $|U - c|^2 \ge c_i^2$ in (3.3), we have

$$C^{2} \ge c_{i}^{2} \int_{z_{1}}^{z_{2}} \left[\left| D\left(\varphi\right) \right|^{2} + k^{2} \left|\varphi\right|^{2} \right] dz.$$
(3.12)

Substituting (3.12) in (3.11), we have

$$\int_{z_1}^{z_2} N^2 |\varphi|^2 dz \ge \frac{J_0 c_i^2}{\left[1 + A_1\right]^2} \int_{z_1}^{z_2} \left[|D(\varphi)|^2 + k^2 |\varphi|^2 \right] dz.$$
(3.13)

From [4], we have the equation

$$\left[\left[c_{r} - \frac{U_{\min} + U_{\max}}{2}\right]^{2} + c_{i}^{2} - \left[\frac{U_{\max} - U_{\min}}{2}\right]^{2}\right] \int_{z_{1}}^{z_{2}} \left[\left|D\left(\varphi\right)\right|^{2} + k^{2} \left|\varphi\right|^{2}\right] dz + \int_{z_{1}}^{z_{2}} N^{2} \left|\varphi\right|^{2} dz \le 0.$$
(3.14)

Substituting (3.13) in (3.14), we get

$$\begin{bmatrix} \left[c_r - \frac{U_{\min} + U_{\max}}{2}\right]^2 + c_i^2 - \left[\frac{U_{\max} - U_{\min}}{2}\right]^2 \end{bmatrix} \int_{z_1}^{z_2} \left[|D(\varphi)|^2 + k^2 |\varphi|^2 \right] dz + \frac{J_0 c_i^2}{\left[1 + A_1\right]^2} \int_{z_1}^{z_2} \left[|D(\varphi)|^2 + k^2 |\varphi|^2 \right] dz \le 0;$$

i.e.,

$$\left[c_{r} - \frac{U_{\min} + U_{\max}}{2}\right]^{2} + c_{i}^{2} + \frac{J_{0}}{\left(1 + A_{1}\right)^{2}}c_{i}^{2} \leq \left[\frac{U_{\max} - U_{\min}}{2}\right]^{2}.$$

Theorem 3.2. If $k \le k_c$, critical wave number $k_c > 0$ then the flow is stable. *Proof.* Using the inequality $|U - c|^2 \ge c_i^2$ in (3.4), we get

$$C^{2} \ge c_{i}^{2} \int_{z_{1}}^{z_{2}} \left[\left| D(\varphi) \right|^{2} + k^{2} \left| \varphi \right|^{2} \right] dz.$$

The first term inside the integral is positive, hence dropping the term, we get

$$\int_{z_1}^{z_2} |\varphi|^2 \, dz \le \frac{C^2}{k^2 c_i^2} \tag{3.15}$$

Substituting (3.15) in (3.7), we get

$$[C-B]^{2} \leq [|N^{2}|_{\max} - |N^{2}|_{\min} + |D^{2}(U) - \beta|_{\max} |U_{\max} - U_{\min}|] \frac{C^{2}}{k^{2}c_{i}^{2}};$$

i.e., $\left[1 - \frac{B}{C}\right]^{2} \leq \frac{A_{2}^{2}}{c_{i}^{2}},$

where

$$A_{2}^{2} = \frac{\left|N^{2}\right|_{\max} - \left|N^{2}\right|_{\min} + \left|D^{2}\left(U\right) - \beta\right|_{\max}\left|U_{\max} - U_{\min}\right|}{k^{2}}$$

Now, we have

$$C^2 \leq \frac{B^2}{\left[1 - \frac{A_2}{c_i}\right]^2}$$

Since $C^2 \leq \frac{B^2}{\left[1+\frac{A_2}{c_i}\right]^2} \leq \frac{B^2}{\left[1-\frac{A_2}{c_i}\right]^2}$, we have

$$C^2 \left[1 + \frac{A_2}{c_i} \right]^2 \le B^2,$$
 (3.16)

Substituting (3.16) in (3.10), we

$$\int_{z_1}^{z_2} N^2 \left|\varphi\right|^2 dz \ge J_0 C^2 \left[1 + \frac{A_2}{c_i}\right]^2.$$
(3.17)

Substituting (3.17) in (3.14), we get

$$\left[c_{r} - \frac{U_{\max} + U_{\min}}{2}\right]^{2} + c_{i}^{2} + J_{0}\left(c_{i}^{2} + 2A_{2}c_{i} + A_{2}^{2}\right) \leq \left[\frac{U_{\max} - U_{\min}}{2}\right]^{2};$$

i.e.,
$$\left[c_{r} - \frac{U_{\max} + U_{\min}}{2}\right]^{2} + c_{i}^{2}\left(1 + J_{0}\right) + 2A_{2}J_{0}c_{i} \leq \left[\frac{U_{\max} - U_{\min}}{2}\right]^{2} - J_{0}A_{2}^{2}.$$

From the above equation, we get

$$J_0 A_2^2 \ge \left[\frac{U_{\max} - U_{\min}}{2}\right]^2$$

implies stability;

i.e., $k \leq k_c$,

where

$$k_{c} \leq \frac{\sqrt{J_{0}\left[|N^{2}|_{\max} - |N^{2}|_{\min} + |D^{2}(U) - \beta|_{\max} |U_{\max} - U_{\min}|\right]}}{\left(\frac{U_{\max} - U_{\min}}{2}\right)}$$

implies stability.

Let us consider the standard examples plane Poiseuille flow and Coutte flow as basic velocity profiles.

Examples:

- (i) $U = 1 z^2$, $z \in [0, 1]$, $N^2 = \beta = z$. for the above flow, $k \le 1.732$ implies stability.
- (ii) $U = 1 z^2, z \in [0, 1], N^2 = z, \beta = \text{constant.}$ for the above flow, $k \le 2$ implies stability.
- (iii) $U = 1 z^2, z \in [0, 1], N^2 = \text{constant}, \beta = z.$ for the above flow, $k \le 1.4142$ implies stability.
- (iv) $U = 1 z^2$, $z \in [0, 1]$, $N^2 = \beta$ = constant. for the above flow, $k \le 1.732$ implies stability.
- (v) $U = z, z \in [0, 1], N^2 = \beta = z.$ for the above flow, $k \le 2$ implies stability.
- (vi) $U = z, z \in [0, 1], N^2 = z, \beta = \text{constant.}$ for the above flow, $k \le 2.828$ implies stability.

4 Concluding Remarks:

We consider Taylor- Goldstein problem in β - plane for this problem. First we derived upper and lower bound for neutral phase speed. Second, we obtained a semielliptical instability where major axis depends on stratification parameter, curvature and basic velocity profile. Also, we obtained a criterion for stability and illustrated with examples.

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