On integral bases and monogenity of certain pure number fields defined by $x^{p^r} - a$

Omar Kchit

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Abstract Let $K = \mathbb{Q}(\alpha)$ be a pure number field generated by a root α of a monic irreducible polynomial $x^{p^r} - a \in \mathbb{Z}[x]$, where p is a rational prime and r is a positive integer. Let \mathbb{Z}_K be the ring of integers of K. In this paper, we calculate an integral basis of \mathbb{Z}_K and study the monogenity of K in some particular cases.

1 Introduction

Let $K = \mathbb{Q}(\alpha)$ be a number field generated by a root α of a monic irreducible polynomial $F(x) \in \mathbb{Z}[x], \mathbb{Z}_K$ its ring of integers, $\Delta(F)$ the discriminant of F(x), and d_K the absolute discriminant of K. It is well known that the ring \mathbb{Z}_K is a free \mathbb{Z} -module of rank $n = [K : \mathbb{Q}]$, and so the Abelian group $\mathbb{Z}_K/\mathbb{Z}[\alpha]$ is finite. Its cardinal order is called the index of $\mathbb{Z}[\alpha]$ and denoted $(\mathbb{Z}_K : \mathbb{Z}[\alpha])$ or ind (α) . A well known formula linking $\Delta(F)$, d_K , and ind (α) says that for every rational prime p, $\nu_p(\Delta(F)) = \nu_p(d_K) + 2\nu_p(\operatorname{ind}(\alpha))$. If $\mathbb{Z}_K = \omega_1 \mathbb{Z} + \omega_2 \mathbb{Z} + \cdots + \omega_n \mathbb{Z}$ for some $(\omega_1, \omega_2, \dots, \omega_n) \in \mathbb{Z}_K^n$, then $\{\omega_1, \omega_2, \dots, \omega_n\}$ is said to be an integral basis of \mathbb{Z}_K . If \mathbb{Z}_K has an integral basis of the form $(1, \theta, \dots, \theta^{n-1})$ for some $\theta \in \mathbb{Z}_K$, then \mathbb{Z}_K is said to have a power integral basis and the field K is said to be monogenic. Otherwise, the field Kis said to be not monogenic. In 1871, Dedekind was the first who gave an example of a nonmonogenic number field ([6, § 5, page 30]). He considered the cubic field K generated by a root of $x^3 - x^2 - 2x - 8$ and showed that the rational prime 2 splits completely in K. So, if we suppose that K is monogenic, then we would be able to find a cubic polynomial generating K, that splits completely into distinct polynomials of degree 1 in $\mathbb{F}_2[x]$. Since there are only two distinct polynomials of degree 1 in $\mathbb{F}_2[x]$, this is impossible. Based on these ideas and using Kronecker's theory of algebraic number fields, Hensel gave necessary and sufficient condition on the so-called "index divisors of K" for any rational prime p to be a prime common index divisor [17]. The problem of determining an integral basis of \mathbb{Z}_K and studying the monogenity of a number field K has been studied by several authors. Namely, Westlund calculated an integral basis of pure prime number fields of degree p (see [28]). In [9], Funakura, calculated integral bases and studied the monogenity of pure quartic number fields. In [14], Hameed and Nakahara showed that if $m \equiv 2,3 \pmod{4}$, then the octic number field generated by $m^{\frac{1}{8}}$ is monogenic. Also, in [15], Hameed et al. proved that if $m \equiv 1 \pmod{4}$, then the octic number field generated by $m^{\frac{1}{8}}$ is not monogenic. In [10], by applying the explicit form of the index, Gaál and Remete obtained new results on monogenity of the number fields generated by $m^{\frac{1}{n}}$, where $3 \le n \le 9$. In [16], Hameed et al. studied the monogenity of pure number fields of degree 2^r . In [18], Jakhar reshowed Westlund's results. In [19], Jakhar et al. gave an integral bases of pure number fields in some particular cases. In [3], Ben Yakkou and El Fadil studied the monogenity of pure number fields of degree p^r with the square-free parameter. In [4] Ben Yakou and Kchit showed that if $m \not\equiv \pm 1 \pmod{9}$, then the number fields defined by $x^{3^r} - m$ are monogenic, but these fields are not monogenic if $r \ge 3$ and $m \equiv \pm 1 \pmod{81}$. In [7], El Fadil and Gaál gave integral bases and studied the monogenity of pure octic number fields. In [26], under the regularity of polynomials, Remete gave explicitly an integral basis of the field $\mathbb{Q}(\sqrt[n]{m})$, where $m \neq \pm 1$ is square-free and $n \ge 2$. In [20], we studied the monogenity of pure number fields defined by $x^{p^r} - a$ in some particular cases. The main goal of this paper is to calculate an integral basis of any pure number field generated by a root α of a monic irreducible polynomial $F(x) = x^{p^r} - a$, with p a rational prime, r a positive integer and $a \in \mathbb{Z}$, and to study the monogenity of these number fields in some particular cases. In particular, our results generalize the previously given in [3, 4, 7, 9, 14, 15, 18, 19, 28].

2 Main results

Let $K = \mathbb{Q}(\alpha)$ be a pure number field generated by a root α of a monic irreducible polynomial $F(x) = x^{p^r} - a \in \mathbb{Z}[x]$, with p a fixed rational prime and r a positive integer. It is well known that up to replace α by $\frac{\alpha}{q^s}$, and so a by $\frac{a}{q^s}$, where s is the quotient of the Euclidean algorithm of $\nu_q(a)$ by p^r , we can assume that $\nu_q(a) < p^r$ for every rational prime q. In such a way, without loss of generality, we can assume that $a = \prod_{j=1}^{p^r-1} a_j^j$, with a_1, \ldots, a_{p^r-1} are square-free

pairwise coprime integers. Let \mathbb{Z}_K be the ring of integers of K and $C_i = \prod_{j=1}^{p^r-1} a_j^{\lfloor i \frac{j}{p^r} \rfloor}$ for $i = 1, \ldots, p^r - 1$.

In Theorems 2.1 and 2.2, we give an integral basis of any number field defined by $F(x) = x^{p^r} - a \in \mathbb{Z}[x]$, and their proofs are slightly simpler than the proofs given by Jakhar et al. ([19]).

Theorem 2.1. $\mathcal{B}_1 = \left(1, \alpha, \frac{\alpha^2}{C_2}, \dots, \frac{\alpha^{p^r-1}}{C_{p^r-1}}\right)$ is a \mathbb{Z} -integral basis of \mathbb{Z}_K if and only if p divides a and p does not divide $\nu_p(a)$ or p does not divide a and $\nu_p(a^{p-1}-1) = 1$.

Theorem 2.2. If p does not divide a and $\nu_p(a^{p-1}-1) \ge 2$, then

$$\mathcal{B}_2 = \left(1, \frac{q_i(\alpha)}{p^{\lfloor y_i \rfloor} C_{p^r-i}}, 1 \le i \le p^r - 1\right)$$

is a \mathbb{Z} -basis of \mathbb{Z}_K , where for every $0 \le i \le p^r$, $q_i(x)$ is the quotient upon to the Euclidean division of F(x) by $\phi(x)^i = (x-a)^i$ and

(i) if $\nu_p(a^{p-1}-1) = v \ge r+2$, then

$$\lfloor y_i \rfloor = r - t_i - 1,$$

(ii) if $\nu_p(a^{p^r} - a) = v \le r + 1$, then

$$\lfloor y_i \rfloor = \begin{cases} v-1 & \text{if } i \le p^{r-v+1}, \\ r-t_i-1 & \text{if } i \ge p^{r-v+1}. \end{cases}$$

Where $t_i \in \{0, \ldots, r-1\}$ is the smallest positive integer such that $i - p^{t_i+1} \leq 0$ for every $i = 0, \ldots, p^r$.

The following corollary characterizes when does $\mathbb{Z}_K = \mathbb{Z}[\alpha]$; that is when \mathbb{Z}_K is generated by α and K is monogenic, unlike Gassert's results [11, Theorem 1.1], which give just one meaning and requires more details to reach our result.

Corollary 2.3. $\mathbb{Z}_K = \mathbb{Z}[\alpha]$ if and only if *a* is a square-free integer and $\nu_p(a^p - a) = 1$.

The following theorem generalizes the result given in [3, Theorem 2.2], where a is a square-free rational integer was previously considered.

Theorem 2.4. If p does not divide a and one of the following conditions holds:

- (*i*) $p \neq 2, r \geq p$ and $\nu_p(a^p a) \geq p + 1$,
- (*ii*) $p = 2, r = 2 \text{ and } \nu_2(a 1) \ge 4$,
- (iii) $p = 2, r \ge 3$ and $\nu_2(a 1) \ge 5$,

then K is not monogenic.

3 Preliminaries

Newton polygon techniques is a standard method which is rather technical but very efficient to apply. We briefly describe the use of these techniques, which makes our proofs understandable. For more details, we refer to [8] and [12].

Let $K = \mathbb{Q}(\alpha)$ be a number field generated by a root α of a monic irreducible polynomial $F(x) \in \mathbb{Z}[x]$. We shall use Dedekind's theorem [24, Chapter I, Proposition 8.3] relating the prime ideal factorization of $p\mathbb{Z}_K$ and the factorization of F(x) modulo p (for rational primes p not dividing $(\mathbb{Z}_K : \mathbb{Z}[\alpha])$). Also, we shall need Dedekind's criterion [5, Theorem 6.1.4] on the divisibility of $(\mathbb{Z}_K : \mathbb{Z}[\alpha])$ by rational primes.

For any rational prime p, let ν_p be the p-adic valuation of \mathbb{Q} , \mathbb{Q}_p its p-adic completion, and \mathbb{Z}_p the ring of p-adic integers. We keep the same notation for the Gauss's extension of ν_p to $\mathbb{Q}_p(x)$, which is defined on $\mathbb{Q}_p[x]$ by $\nu_p(\sum_{i=0}^n a_i x^i) = \min_i \{\nu_p(a_i)\}, a_i \in \mathbb{Q}_p$. Also, for nonzero polynomials, $P, Q \in \mathbb{Q}_p[x]$, we extend this valuation to $\nu_p(P/Q) = \nu_p(P) - \nu_p(Q)$. Let $\phi \in$ $\mathbb{Z}_p[x]$ be a monic lift to an irreducible factor of F(x) modulo p. Upon to the Euclidean division by successive powers of ϕ , there is a unique ϕ -expansion of F(x); that is $F(x) = a_0(x) + b_0(x)$ $a_1(x)\phi(x)+\cdots+a_l(x)\phi(x)^l$, where $a_i(x)\in\mathbb{Z}_p[x]$ and $\deg(a_i)<\deg(\phi)$. For every $i=0,\ldots,l$, let $u_i = \nu_p(a_i(x))$. The ϕ -Newton polygon of F(x) with respect to p, is the lower boundary convex envelope of the set of points $\{(i, u_i), a_i(x) \neq 0\}$ in the Euclidean plane, which we denote by $N_{\phi}(F)$. It is the process of joining the obtained edges S_1, \ldots, S_t ordered by increasing slopes, which can be expressed as $N_{\phi}(F) = S_1 + \cdots + S_t$. For every side S_i of $N_{\phi}(F)$, its length $l(S_i)$ is the length of its projection to the x-axis and its height $h(S_i)$ is the length of its projection to the y-axis. We call $d(S_i) = \gcd(l(S_i), h(S_i))$ the degree of S_i . The polygon determined by the sides of the ϕ -Newton polygon with negative slopes is called the principal ϕ -Newton polygon of F(x), and it is denoted by $N_{\phi}^{+}(F)$. As defined in [8, Def. 1.3], the ϕ -index of F(x), denoted ind $\phi(F)$, is $deg(\phi)$ multiplied by the number of points with natural integer coordinates that lie below or on the polygon $N^+_{\phi}(F)$, strictly above the horizontal axis, and strictly beyond the vertical axis. Let \mathbb{F}_{ϕ} be the field $\mathbb{F}_p[x]/(\overline{\phi})$, then to every side S of $N_{\phi}^+(F)$, with initial point (s, u_s) , and every $i = 0, \ldots, l = l(S)$, let the residue coefficient $c_i \in \mathbb{F}_{\phi}$ be defined as

$$c_i = \left\{ \begin{array}{ll} 0, & \text{if } (s+i, u_{s+i}) \text{ lies strictly above } S, \\ \left(\frac{a_{s+i}(x)}{p^{u_{s+i}}} \right) \ \operatorname{mod}(p, \phi(x)), & \text{if } (s+i, u_{s+i}) \text{ lies on } S. \end{array} \right.$$

where $(p, \phi(x))$ is the maximal ideal of $\mathbb{Z}_p[x]$ generated by p and ϕ . Let $\lambda = -h/e$ be the slope of S, where h and e are two positive coprime integers and l = l(S). Then d = l/e is the degree of S. Since the points with integer coordinates lying on S are exactly $(s, u_s), (s+e, u_s-h), \ldots,$ $(s+de, u_s-dh)$. Thus if i is not a multiple of e, then $(s+i, u_{s+i})$ does not lie on S, and so $c_i = 0$. Let $R_\lambda(F)(y) = t_d y^d + t_{d-1} y^{d-1} + \cdots + t_1 y + t_0 \in \mathbb{F}_\phi[y]$, called the residual polynomial of F(x)associated to the side S, where for every $i = 0, \ldots, d$, $t_i = c_{s+ie}$. If $R_\lambda(F)(y)$ is square-free for each side of the polygon $N_{\phi}^+(F)$, then we say that F(x) is ϕ -regular. Let $\overline{F(x)} = \prod_{i=1}^r \overline{\phi_i}^{l_i}$ be the factorization of F(x) into powers of monic irreducible coprime polynomials over \mathbb{F}_p , we say that the polynomial F(x) is p-regular if F(x) is a ϕ_i -regular polynomial with respect to p for every $i = 1, \ldots, r$. Let $N_{\phi_i}^+(F) = S_{i1} + \cdots + S_{ir_i}$ be the ϕ_i -principal Newton polygon of F(x)with respect to p. For every $j = 1, \ldots, r_i$, let $R_{\lambda_{ij}}(F)(y) = \prod_{s=1}^{s_{ij}} \psi_{ijs}^{a_{ijs}}(y)$ be the factorization of $R_{\lambda_{ij}}(F)(y)$ in $\mathbb{F}_{\phi_i}[y]$. Then we have the following theorem of index of Ore:

Theorem 3.1. (*Theorem of Ore*)

Under the above hypothesis, we have the following:

(i)

$$\nu_p((\mathbb{Z}_K : \mathbb{Z}[\alpha])) \ge \sum_{i=1}^r ind_{\phi_i}(F).$$

The equality holds if F(x) is p-regular.

(ii) If F(x) is p-regular, then

$$p\mathbb{Z}_K = \prod_{i=1}^r \prod_{j=1}^{r_i} \prod_{s=1}^{s_{ij}} \mathfrak{p}_{ijs}^{e_{ij}}$$

is the factorization of $p\mathbb{Z}_K$ into powers of prime ideals of \mathbb{Z}_K , where e_{ij} is the smallest positive integer satisfying $e_{ij}\lambda_{ij} \in \mathbb{Z}$ and the residue degree of \mathfrak{p}_{ijs} over p is given by $f_{ijs} = \deg(\phi_i) \cdot \deg(\psi_{ijs})$ for every (i, j, s).

Corollary 3.2. Under the hypothesis above (Theorem 3.1), if for every $i = 1, ..., r, l_i = 1$ or $N_{\phi_i}^+(F) = S_i$ has a single side of height 1, then $\nu_p((\mathbb{Z}_K : \mathbb{Z}[\alpha])) = 0$.

An alternative proof of the index theorem of Ore is proposed in [8]. The main advantage of that proposed proof is it gives an efficient method to calculate *p*-integral bases of \mathbb{Z}_K . We recall here how one can do it. Assume that $F(x) \equiv \prod_{i=1}^t \overline{\phi_i}^{l_i} \pmod{p}$ for some monic polynomials $\phi_i \in \mathbb{Z}[x]$ of degree m_i , whose reductions are irreducible over \mathbb{F}_p . We fix one of these polynomials $\phi(x) = \phi_i(x)$.

Let $F(x) = a_0(x) + a_1(x)\phi(x) + \dots + a_l(x)\phi(x)^l$ be the ϕ -expansion of F(x), $q_i(x)$ the quotient of the Euclidean division of F(x) by $\phi^i(x)$. Then $q_1(x), \dots, q_l(x)$ are obtained along the computation of the coefficients of the ϕ -expansion of F(x):

$$F(x) = \phi(x)q_1(x) + a_0(x),$$

$$q_1(x) = \phi(x)q_2(x) + a_1(x),$$

$$\vdots \qquad \vdots$$

$$q_l(x) = \phi(x) \cdot 0 + a_l(x) = a_l(x)$$

Let $r_i(x)$ be the residue of the Euclidean division of F(x) by $\phi(x)^i$. Thus, for every i = 1, ..., l, we have:

$$F(x) = r_i(x) + q_i(x)\phi(x)^i,$$

$$r_i(x) = a_0(x) + a_1(x)\phi(x) + \dots + a_{i-1}(x)\phi(x)^{i-1},$$

$$q_i(x) = a_i(x) + a_{i+1}(x)\phi(x) + \dots + a_l(x)\phi(x)^{l-i}.$$

Let $N_{\phi_i}^+(F) = S_1 + \cdots + S_{t_i}$, with $l_i = l(N_{\phi_i}^+(F))$. For every integer abscissa $j = 0, \ldots, l_i$, let $y_{ij} \in \mathbb{Q}$ be the ordinate of the point $N \in N_{\phi_i}^+(F)$ of abscissa j. Then we have the following theorem:

Theorem 3.3. ([8, Theorem 2.7]) If F(x) is p-regular, then the family $\{q_{ij}(\alpha)\alpha^k/p^{\lfloor y_{ij} \rfloor}, 1 \le i \le t, 1 \le j \le l_i, 0 \le k \le m_i\}$ is a p-integral basis of \mathbb{Z}_K .

In what follows, we obtain

$$\nu_p(\operatorname{ind}(\alpha)) \ge \sum_{i=1}^t \operatorname{ind}_{\phi_i}(F) = \sum_{i=1}^t \left(m_i \cdot \sum_{j=1}^{l_i} \lfloor y_{ij} \rfloor \right).$$

Our method is based on calculating a q-integral basis for every rational prime q dividing $\Delta(F)$. Once the q-integral bases are calculated for every rational prime q dividing $\Delta(F)$, the following theorem allows to recover an integral basis of \mathbb{Z}_K from the q-integral bases (see for instance [1, Theorem 1.3.6]) by applying: $\nu_q(\operatorname{ind}(\alpha)) = \sum_{i=1}^t \operatorname{ind}_{\phi_i}(F)$ if and only if $\operatorname{ind}_2(F) = 0$ for every $i = 1, \ldots, t$.

Theorem 3.4. ([1, Theorem 1.3.6])

Let $K = \mathbb{Q}(\alpha)$ be the number field generated by α a root of a monic ireductible polynomial $F(x) \in \mathbb{Z}[x]$ of degree n. Let p_1, p_2, \ldots, p_s be the distinct rational prime integers dividing $(\mathbb{Z}_K : \mathbb{Z}[\alpha])$ and

$$\left\{1, \frac{x_{r0}^{(1)} + \alpha}{p_r^{k_{r1}}}, \frac{x_{r0}^{(2)} + x_{r1}^{(2)}\alpha + \alpha^2}{p_r^{k_{r2}}}, \dots, \frac{x_{r0}^{(n-1)} + x_{r1}^{(n-1)}\alpha + \dots + x_{r\ n-2}^{(n-1)}\alpha^{n-2} + \alpha^{n-1}}{p_r^{k_{r\ n-1}}}\right\}$$

a p_r -integral basis of K for every r = 1, 2, ..., s. Define the integers $X_i^{(j)}$ (i = 1, 2, ..., j - 1; j = 1, 2, ..., n - 1) by

$$X_i^{(j)} \equiv x_{ri}^{(j)} \pmod{p_r^{k_{rj}}}$$
 $(r = 1, 2, ..., s)_{ri}$

and let

$$T_j = \prod_{r=1}^{s} p_r^{k_{rj}}$$
 $(j = 1, 2, \dots, n-1).$

Then

$$\left\{1, \frac{X_0^{(1)} + \alpha}{T_1}, \frac{X_0^{(2)} + X_1^{(2)}\alpha + \alpha^2}{T_2}, \dots, \frac{X_0^{(n-1)} + X_1^{(n-1)}\alpha + \dots + X_{n-2}^{(n-1)}\alpha^{n-2} + \alpha^{n-1}}{T_{n-1}}\right\}$$

is an integral basis of \mathbb{Z}_K .

Recall that the requirement, F(x) is *p*-regular is only a sufficient condition to have equality in theorem of index of Ore and not necessarily.

If a factor of F(x) provided by Hensel's lemma and refined by Newton polygon (in the context of Ore program) is not irreducible over \mathbb{Q}_p , then in order to complete the factorization of F(x) in $\mathbb{Q}_p[x]$, Guardia, Montes, and Nart introduced the notion of higher order Newton polygon [12]. They showed, thanks to a theorem of index [12, Theorem 4.18], that after a finite number of iterations, the process provides all monic irreducible factors of F(x), all prime ideals of \mathbb{Z}_K lying above a rational prime p, the index $(\mathbb{Z}_K : \mathbb{Z}[\alpha])$, and the absolute discriminant of K. We recall some fundamental techniques of Newton polygon of high order. For more details, we refer to [12] and [13]. A type of order r - 1 is a data $\mathbf{t} = (g_1(x), -\lambda_1, g_2(x), -\lambda_2, \dots, g_{r-1}(x), -\lambda_{r-1}, \psi_{r-1}(x))$, where every $g_i(x)$ is a monic polynomial in $\mathbb{Z}_p[x]$, $\lambda_i \in \mathbb{Q}^+$ and $\psi_{r-1}(y)$ is a polynomial over a finite field of p^H elements, with $H = \prod_{i=1}^{r-2} f_i$ and $f_i = \deg(\psi_i(x))$, satisfying the following recursive properties:

- (0) \mathbb{F}_0 is the finite field of *p* elements.
- (1) $g_1(x)$ is irreducible modulo $p, \psi_0(y) \in \mathbb{F}_0[y]$ ($\mathbb{F}_0 = \mathbb{F}_p$) is the polynomial obtained by reducing $g_1(x)$ modulo p, and $\mathbb{F}_1 = \mathbb{F}_0[y]/(\psi_0(y))$.
- (2) For every i = 1, ..., r 1, the Newton polygon of i^{th} order, $N_i(g_{i+1}(x))$, has a single side of slope $-\lambda_i$.
- (3) For every i = 1, ..., r-1, the residual polynomial of i^{th} order, $R_i(g_{i+1})(y) = \psi_i(y) \in \mathbb{F}_i[y]$ is a monic irreducible polynomial in $\mathbb{F}_i[y]$, and $\mathbb{F}_{i+1} = \mathbb{F}_i[y]/(\psi_i(y))$.
- (4) For every i = 1,...,r − 1, g_{i+1}(x) has minimal degree among all monic polynomials in Z_p[x] satisfying (2) and (3).
- (5) $\psi_{r-1}(y) \in \mathbb{F}_{r-1}[y]$ is a monic irreducible polynomial, $\psi_{r-1}(y) \neq y$, and $\mathbb{F}_r = \mathbb{F}_{r-1}[y]/(\psi_{r-1}(y))$.

Thus, $\mathbb{F}_0 \subset \mathbb{F}_1 \subset \cdots \subset \mathbb{F}_r$ is a tower of finite fields, here the field \mathbb{F}_i should not be confused with the finite field of *i* elements. For every $i = 1, \ldots, r - 1$, the residual polynomial of the *i*th order, $R_i(g_{i+1})(y)$ is an irreducible polynomial in $\mathbb{F}_i[y]$, and by the theorem of the product in order i, the polynomial $g_i(x)$ is irreducible in $\mathbb{Z}_p[x]$. Let $\omega_0 = [\nu_p, x, 0]$ be the Gauss's extension of ν_p to $\mathbb{Q}_p(x)$. According to MacLane's terminology ([21]), g_{i+1} is a key polynomial of ω_i , and it induces a valuation on $\mathbb{Q}_p(x)$, denoted by $\omega_{i+1} = e_i[\omega_i, g_i, \lambda_i]$, where $\lambda_i = h_i/e_i$, e_i and h_i are positive coprime integers. The valuation ω_{i+1} is called the augmented valuation of ω_i with respect to g_i and λ_i and defined over $\mathbb{Q}_p[x]$ as

$$\omega_{i+1}(F(x)) = \min\left\{e_i\omega_i(a_j^i(x)) + j(e_i\omega_i(g_i) + h_i), \ j = 0, \dots, n_i\right\},\tag{3.1}$$

where $F(x) = \sum_{j=0}^{n_i} a_j^i(x) g_i^j(x)$ is the g_i -expansion of F(x). According to the terminology

in [12], the valuation ω_r is called the r^{th} -order valuation associated to the data t. For every order $r \ge 1$, the g_r -Newton polygon of F(x), with respect to the valuation ω_r is the lower boundary convex envelope of the set of points $\{(i, \mu_i), i = 0, \dots, n_r\}$ in the Euclidean plane, where $\mu_i = \omega_r(a_i^r(x)g_r^i(x)))$. The relevant theorems from Montes-Guardia-Nart's work are theorem of the product, theorem of the polygon and theorem of the residual polynomial in high order Newton polygon (see [12, Theorems 2.26, 3.1, 3.7]).

4 Proofs of main results

Proof. (of Theorem 2.1.)

Since $\Delta(F) = \pm p^{rp^r} \cdot a^{p^r-1}$ is the discriminant of F(x) and thanks to the formula linking $\Delta(F)$, d_K , and $\operatorname{ind}(\alpha)$, we need to calculate a q-integral basis of \mathbb{Z}_K for every rational prime q such that q = p or q divides a.

- (i) If q divides a and $q \neq p$, then $F(x) \equiv x^{p^r} \pmod{q}$. Let $\phi = x$. Then $N_{\phi}(F) = S_1$ has a single side of slope $-\lambda_1 = \frac{-\nu_q(a)}{p^r}$, length $l = p^r$, and $\operatorname{ind}_1(F) = \operatorname{ind}_{\phi}(F) = \sum_{i=1}^{p^r-1} \lfloor i \frac{i\nu_q(a)}{p^r} \rfloor$. By [12, Theorem 4.18], $\nu_q((\mathbb{Z}_K : \mathbb{Z}[\alpha])) = \operatorname{ind}_1(F)$ if and only if $\operatorname{ind}_2(F) = 0$, where $\operatorname{ind}_2(F)$ is the index of the second order of Newton polygon.
 - a. If $gcd(p, \nu_q(a)) = 1$, then $d(S_1) = 1$; that is $R_{\lambda_1}(F)(y)$ is irreducible, and so by Theorem 3.3, $\nu_q(ind(\alpha)) = ind_{\phi}(F) = \sum_{j=0}^{p^r-1} \lfloor y_j \rfloor = \sum_{j=1}^{p^r-1} \lfloor \frac{(p^r-j)\nu_q(a)}{p^r} \rfloor$.
 - b. If p divides $\nu_q(a)$, then let $t = \nu_p(\nu_q(a))$, $e = p^{r-t}$, and $d(S_1) = p^t$ is the degree of S_1 . Thus $R_{\lambda_1}(F)(y) = y^{p^t} - a_q \in \mathbb{F}_{\phi}[y]$, where $a_q \equiv \frac{a}{q^{\nu_q(a)}} \pmod{(q, \phi(x))}$. As $p \neq q$, $R_{\lambda_1}(F)(y)$ is separable over \mathbb{F}_{ϕ} , and so by Theorem 3.3, $\nu_q(\operatorname{ind}(\alpha)) = \operatorname{ind}_{\phi}(F) = \sum_{j=1}^{p^r-1} \lfloor y_j \rfloor = \sum_{j=1}^{p^r-1} \lfloor \frac{(p^r-j)\nu_q(a)}{p^r} \rfloor$.

In both cases, F(x) is q-regular. By Theorem 3.3, $\left(\frac{q_j(\alpha)}{q^{\lfloor y_j \rfloor}}, j = 1, \dots, p^r\right)$ is a q-integral basis of \mathbb{Z}_K , where $\lfloor y_j \rfloor = \lfloor \frac{p^r - j}{p^r} \nu_q(a) \rfloor$ for every $j = 1, \dots, p^r$ and $\lfloor y \rfloor$ is the integral part of y; the greatest integer b satisfying $b \leq y$. Thus

$$\left(1, \frac{\alpha}{q^{\lfloor \frac{\nu_q(a)}{p^r} \rfloor}}, \frac{\alpha^2}{q^{\lfloor \frac{2\nu_q(a)}{p^r} \rfloor}}, \dots, \frac{\alpha^{p^r-1}}{q^{\lfloor \frac{(p^r-1)\nu_q(a)}{p^r} \rfloor}}\right) \text{ is a } q\text{-integral basis of } \mathbb{Z}_K.$$

(ii) If q = p, then we have the following cases:

a. If p divides a, then $F(x) \equiv x^{p^r} \pmod{p}$. Let $\phi = x$. Then $N_{\phi}(F) = S_1$ joining $(0, \nu_p(a))$ and $(p^r, 0)$. Thus $\nu_p((\mathbb{Z}_K : \mathbb{Z}[\alpha])) \ge \operatorname{ind}_{\phi}(F)$. More precisely, if p divides a and p does not divide $\nu_p(a)$, then $d(S_1) = 1$, and so its attached residual polynomial is irreducible. Therefore $\nu_p((\mathbb{Z}_K : \mathbb{Z}[\alpha])) = \operatorname{ind}_{\phi}(F)$ and

$$\left(1, \frac{\alpha}{p^{\lfloor \frac{\nu_p(a)}{p^r} \rfloor}}, \frac{\alpha^2}{p^{\lfloor \frac{2\nu_p(a)}{p^r} \rfloor}}, \dots, \frac{\alpha^{p^r-1}}{p^{\lfloor \frac{(p^r-1)\nu_p(a)}{p^r} \rfloor}}\right)$$

is a *p*-integral basis of \mathbb{Z}_K .

If p divides $\nu_p(a)$, then by Remark 4.1, $\nu_p((\mathbb{Z}_K : \mathbb{Z}[\alpha])) > \operatorname{ind}_{\phi}(F)$ and

$$\left(1, \frac{\alpha}{p^{\lfloor \frac{\nu_p(a)}{p^r} \rfloor}}, \frac{\alpha^2}{p^{\lfloor \frac{2\nu_p(a)}{p^r} \rfloor}}, \dots, \frac{\alpha^{p^r-1}}{p^{\lfloor \frac{(p^r-1)\nu_p(a)}{p^r} \rfloor}}\right)$$

is a \mathbb{Z} -free set of \mathbb{Z}_K .

b. If p does not divide a, then $F(x) \equiv x^{p^r} - a \pmod{p} \equiv (x - a)^{p^r} \pmod{p}$. Let $\phi = x - a$. Then

$$F(x) = (x - a + a)^{p^{r}} - a$$

= $\sum_{k=0}^{p^{r}} {p^{r}}_{k} a^{k} \phi^{p^{r}-k} - a$
= $\phi^{p^{r}} + {p^{r}}_{1} a \phi^{p^{r}-1} + \dots + {p^{r}}_{n^{r}-1} a^{p^{r}-1} \phi + a^{p^{r}} - a$ (4.1)

If $\nu_p(a^{p-1}-1) = 1$, then $N_{\phi}(F) = S_1$ has a single side of height 1. Hence, by Corollary 3.2, $\nu_p(\operatorname{ind}(\alpha)) = 0$.

We conclude, using Theorem 2.1, that $\left(1, \alpha, \frac{\alpha^2}{C_2}, \dots, \frac{\alpha^{p^r-1}}{C_{p^r-1}}\right)$ is a \mathbb{Z} -integral basis of \mathbb{Z}_K if and only if p divides a and p does not divide $\nu_p(a)$ or p does not divide a and $\nu_p(a^{p-1}-1) = 1$.

Remark 4.1. If p divides $\nu_p(a)$, then a natural question is "**under which conditions we get** $\nu_p(\operatorname{ind}(\alpha)) = \operatorname{ind}_{\phi}(F)$, **and so** \mathcal{B}_1 **is an integral basis of** \mathbb{Z}_K ? The answer is negative, that means if p divides $\nu_p(a)$, then $\operatorname{ind}_2(F) \ge 1$. For this reason, let $\nu_p(a) = bp^s$, with $\nu_p(b) = 0$ and s < r. Thus $a = p^{bp^s} \cdot A$ such that p does not divide A. Then $F(x) = x^{p^r} - p^{bp^s} A \equiv x^{p^r} \pmod{p}$. Let $\phi(x) = x$. Then $N_{\phi}(F) = S_1$ joining $(0, bp^s)$ and $(p^r, 0)$ (see Figure 1), with slope $-\lambda_1 = \frac{-b}{p^{r-s}}$. Its attached residual polynomial is $R_{\lambda_1}(F)(y) = y^{p^s} - A = (y - A)^{p^s} \in \mathbb{F}_{\phi}[y]$. In this case, we have to use second order Newton polygon.



Figure 1. $N_{\phi}(F)$

Let us take the example s = 1. Let $\mathbf{t} = (x, \lambda_1, \psi_1)$, with $\psi_1 = y - A$. We have also $f_1 = m_1 = 1$ and $e_1 = p^{r-1}$ are the data of first order Newton polygon. Let $\omega_2 = p^{r-1}[\nu_p, \phi, b/p^{r-1}]$ be the valuation of second order Newton polygon and $g_2 = x^{p^{r-1}} - p^b A$ the key polynomial of ω_2 , where $[\nu_p, \phi, b/p^{r-1}]$ is the augmented valuation of ν_p with respect to ϕ and $\lambda_1 = b/p^{r-1}$. Let

$$F(x) = g_2^p + \sum_{k=1}^{p-1} {p \choose k} (p^b A)^{p-k} g_2^k + p^{bp} (A^p - A)$$

be the g_2 -expansion of F(x). By (3.1), we have $\omega_2(x) = 1$, $\omega_2(g_2) = bp^{r-1}$, $\omega_2(\binom{p}{k}g_2^k(p^bA)^{p-k}) = bp^r + p^{r-1}$, and $\omega_2(p^{bp}(A^p - A)) \ge bp^r + p^{r-1}$. Hence, according to $\nu_p(A^p - A) = 1$ or $\nu_p(A^p - A) \ge 2$, the Newton polygon of second order is given by the following figure (Figure 2):

In both cases $\operatorname{ind}_2(F) \ge m_1 \cdot f_1 \cdot \operatorname{ind}(N_2(F))$ and $\operatorname{ind}(N_2(F)) \ge 1$ because its length is $p^{r-1} \ge 2$ and its height is greater than $e_1 \ge 2$.

Proof. (of Theorem 2.2)

In order to prove this theorem we need to calculate a *p*-integral basis when *p* does not divide *a* and $\nu_p(a^{p-1}-1) \ge 2$, and then collect it with the other *q*-integral bases when $q \neq p$ divides *a*, found in the proof of Theorem 2.1.



Figure 2. $N_2(F)$

p does not divide a implies that $F(x) \equiv x^{p^r} - a \pmod{p} \equiv (x - a)^{p^r} \pmod{p}$. Let $\phi = x - a$ as the lift to $\mathbb{Z}[x]$ to the irreducible factor of F(x) modulo p. Then

$$F(x) = (x - a + a)^{p^{r}} - a,$$

= $\sum_{k=0}^{p^{r}} {p^{r} \choose k} a^{k} \phi^{p^{r}-k} - a,$
= $\phi^{p^{r}} + {p^{r} \choose 1} a \phi^{p^{r}-1} + \dots + {p^{r} \choose p^{r}-1} a^{p^{r}-1} \phi + a^{p^{r}} - a$

Let $v = \nu_p(a^{p-1}-1)$, then the number of sides of the Newton polygon depends on v. Two cases arise:

(i) If v ≥ r + 2, then N⁺_φ(F) = S₁ + ··· + S_{r+1} has r + 1 sides joining (0, v), (1, r), (p, r − 1), ..., (p^{r−1}, 1), and (p^r, 0). Thus every side has degree 1 (see Figure 3, v ≥ r + 2). So, for every i = 1, ..., r + 1, the residual polynomial R_{λi}(F)(y) attached to S_i is irreducible over F_φ as it is of degree 1. Hence F(x) is p-regular, and by Theorem 3.3,

$$\left(\frac{q_i(\alpha)}{p^{\lfloor y_i \rfloor}}, 1 \le i \le p^r\right),\,$$

is a *p*-integral basis of \mathbb{Z}_K , with $\lfloor y_i \rfloor = r - t_i - 1$, where $t_i \in \{0, \ldots, r-1\}$ is the smallest positive integer such that $i - p^{t_i+1} \leq 0$ for every $i = 0, \ldots, p^r$. Since $q_{p^r}(x) \in \mathbb{Z}$ and $\lfloor y_{p^r} \rfloor = 0$, then

$$\left(1, \frac{q_i(\alpha)}{p^{\lfloor y_i \rfloor}}, 1 \le i \le p^r - 1\right),$$

is a *p*-integral basis of \mathbb{Z}_K .

Likewise, let p_1, \ldots, p_s be the distinct rational primes whose dividing a. So, for every $j = 1, \ldots, s$

$$\left(1, \frac{\alpha}{p_j^{\lfloor \frac{t_j}{p^r} \rfloor}}, \frac{\alpha^2}{p_j^{\lfloor \frac{2t_j}{p^r} \rfloor}}, \dots, \frac{\alpha^{p^r-1}}{p_j^{\lfloor \frac{(p^r-1)t_j}{p^r} \rfloor}}\right) \text{ is a } p_j\text{-integral basis of } \mathbb{Z}_K.$$

By Theorem 3.4, we get that

$$\left(1, \frac{q_i(\alpha)}{p^{\lfloor y_i \rfloor} C_{p^r-i}}, 1 \le i \le p^r - 1\right),$$

is a \mathbb{Z} -basis of K, with $q_i(\alpha)$ and $\lfloor y_i \rfloor$ are defined above.

- (ii) If $v \le r+1$, then two cases arise.
 - a. If $p \neq 2$, then $N_{\phi}(F) = S_1 + \cdots + S_v$ has v sides joining (0, v), $(p^{r-v+1}, v 1), \ldots, (p^{r-1}, 1)$, and $(p^r, 0)$. Thus every side has degree 1 (see Figure 3, $v \leq r+1$). So, for every $i = 1, \ldots, v, R_{\lambda_i}(F)(y)$ is irreducible over \mathbb{F}_{ϕ} as it is of degree 1.

b. If p = 2, $N_{\phi}(F) = S_1 + \cdots + S_{v-1}$ has v - 1 sides joining (0, v), $(p^{r-v+2}, v - 2)$, $(p^{r-v+3}, v - 3), \ldots, (p^{r-1}, 1)$, and $(p^r, 0)$ with $d(S_i) = 1$ for every $i = 2, \ldots v$, and the residual polynomial attached to S_1 is $R_{\lambda_1}(F)(y) = y^2 + y + 1$ which is irreducible over \mathbb{F}_{ϕ} .

In both cases F(x) is *p*-regular, and by Theorem 3.3,

$$\begin{pmatrix} 1, \frac{q_i(\alpha)}{p^{\lfloor y_i \rfloor}}, 1 \le i \le p^r - 1 \end{pmatrix} \text{ is a } p\text{-integral basis of } \mathbb{Z}_K, \\ \text{with } \lfloor y_i \rfloor = \begin{cases} v - 1 & \text{if } i \le p^{r-v+1}, \\ r - t_i - 1 & \text{if } i \ge p^{r-v+1}, \end{cases}$$

where $t_i \in \{0, ..., r-1\}$ is the smallest positive integer such that $i - p^{t_i+1} \le 0$ for every $i = 0, ..., p^r$. Using the same process, we get that

$$\left(1, \frac{q_i(\alpha)}{p^{\lfloor y_i \rfloor} C_{p^r - i}}, 1 \le i \le p^r - 1\right),$$

is a \mathbb{Z} -basis of K, with the $q_i(\alpha)$ and the $\lfloor y_i \rfloor$ are defined above.



Figure 3. $N_{\phi}^+(F)$

Proof. (of Corollary 2.3)

According to the bases given in Theorems 2.1 and 2.2, we conclude that $\mathbb{Z}[\alpha]$ is the ring of integers of K if and only if $\nu_p(a^p - a) = 1$ and $C_i = 1$ for every $i = 2, ..., p^r - 1$, which means that $\nu_p(a^p - a) = 1$ and a is square-free.

The index of a number field K is defined by

$$i(K) = \gcd\{(\mathbb{Z}_K : \mathbb{Z}[\theta]) \mid K = \mathbb{Q}(\theta) \text{ and } \theta \in \mathbb{Z}_K\}.$$

A rational prime p dividing i(K) is called a prime common index divisor of K. If \mathbb{Z}_K has a power integral basis, then i(K) = 1. Therefore a field having a prime common index divisor is not monogenic.

For the proof of Theorem 2.2, we need the following lemma, which characterizes the rational primes dividing i(K).

Lemma 4.2. ([27, Theorem 2.2])

Let p be a rational prime and K a number field. For every positive integer f, let \mathcal{P}_f be the number of distinct prime ideals of \mathbb{Z}_K lying above p with residue degree f and \mathcal{N}_f the number of monic irreducible polynomials of $\mathbb{F}_p[x]$ of degree f. Then p divides the index i(K) if and only if $\mathcal{P}_f > \mathcal{N}_f$ for some positive integer f.

Remark 4.3. In order to prove Theorem 2.4 we do not need to determine the factorization of $p\mathbb{Z}_K$ explicitly. But according to Lemma 4.2, we need only to show that $\mathcal{P}_f > \mathcal{N}_f$ for an adequate positive integer f. So in practice the second point of Theorem 3.1, could replaced by the following: if $l_i = 1$ or $d_{ij} = 1$ or $a_{ijk} = 1$ for some (i, j, k) according to notation of Theorem 3.1, then ψ_{ijk} provides a prime ideal \mathfrak{p}_{ijk} of \mathbb{Z}_K lying above p with residue degree $f_{ijk} = m_i \cdot t_{ijk}$, where $t_{ijk} = \deg(\psi_{ijk})$ and $p\mathbb{Z}_K = \mathfrak{p}_{ijk}^{e_ij}I$, where the factorization of the ideal I can be derived from the other factors of each residual polynomial of F(x).

Proof. (of Theorem 2.4).

Let $v = \nu_p(a^p - a)$ and recall that $F(x) = \phi^{p^r} \pmod{p}$, where $\phi = x - a$. By the above ϕ -expansion (4.1) of F(x), $N_{\phi}^+(F)$ is the lower boundary convex envelope of the set of points $\{(0,v)\} \cup \{(p^r, r - j), 0 \le j \le r\}$ in the Euclidean plane. More precisely, if $v \ge r + 2$, then $N_{\phi}^+(F)$ is the polygon joining the points $\{(0,v), (1,r), (p,r-1)..., (p^r,0)\}$ and if $v \le r + 1$, then $N_{\phi}^+(F)$ is the polygon joining the points $\{(0,v), (p^{r-v+1}, v-1)..., (p^r,0)\}$.

- (i) If p is an odd rational prime, then N⁺_φ(F) = S₁+···+S_g has g sides of degree 1 each, with g ≥ min{v, r + 1} ≥ p + 1. So, R_{λi}(F)(y) is irreducible over 𝔽_φ for every i = 1,...,g. Then F(x) is p-regular and by Theorem 3.1, there are at least p + 1 distinct prime ideals of ℤ_K lying above p with residue degree 1 each ideal factor. As there are just p monic irreducible polynomials of degree 1 over 𝔽_p, by Lemma 4.2, p divides i(K). Hence K is not monogenic.
- (ii) If p = 2, r = 2, and v ≥ 4, then N⁺_φ(F) = S₁ + S₂ + S₃ has 3 sides of degree one each. So, R_{λi}(F)(y) is irreducible over 𝔽_φ for every i = 1, 2, 3. Hence there are three distinct prime ideals of ℤ_K lying above 2 with residue degree 1 each ideal factor. As it is known, there are just two monic irreducible polynomials of degree 1 over 𝔽₂, by Lemma 4.2, 2 divides i(K). Hence K is not monogenic.
- (iii) If $p = 2, r \ge 3$, and $v \ge 5$, then $N_{\phi}^+(F) = S_1 + \cdots + S_g$ has at least g 1 sides of degree 1 each, with $g \ge \min\{v, r + 1\} \ge 4$. So, there are at least $g 1 \ge 3$ prime ideals of \mathbb{Z}_K lying above 2 with residue degree 1 each ideal factor. By the same reason, 2 divides i(K) and so K is not monogenic.

5 Examples

Let $K = \mathbb{Q}(\alpha)$ be a number field generated by a root α of a monic irreducible polynomial $F(x) = x^{p^r} - a \in \mathbb{Z}[x]$, where p is a rational prime and r a positive integer.

- (i) For r = 1, Theorems 2.1 and 2.2 generalize the results given in [18].
- (ii) For *a* is square-free, Theorems 2.1 and 2.2 generalize the results given in [26].
- (iii) For a is a square-free integer, then Theorem 2.4 generalizes the results given in [3].
- (iv) For p = 3 and a square-free integer, Theorem 2.4 generalizes the results given in [4].
- (v) For p = 2 and r = 3, the main Theorems generalize the results given in [7].
- (vi) For p = 2, r = 3 and a a square-free integer, Corollary 2.3 and Theorem 2.4 generalize the results given in [14].
- (vii) For p = 2 and a square-free integer, our Corollary 2.3 and Theorem 2.4, show that the results given in [16] hold.
- (viii) For p = 7 and a = 15, we have $\nu_5(a^6 1) = 1$ and a is square-free, then by Corollary 2.3, $(1, \alpha, \dots, \alpha^{7^r-1})$ is an integral basis of \mathbb{Z}_K for every positive integer r. Hence K is monogenic.
 - (ix) For p = 5, r = 2, and a = 150, we have $\nu_5(a) = 2$, which is coprime with 5. By Theorem 2.1, $\left(1, \alpha, \dots, \alpha^{12}, \frac{\alpha^{13}}{5}, \frac{\alpha^{14}}{5}, \dots, \frac{\alpha^{24}}{5}\right)$ is an integral basis of K.

(x) For p = 3, r = 2, and a = 80, we have $\nu_3(a^2 - 1) = 4$ and $\nu_2(a) = 4$, then by Theorem 2.2,

$$\left(1, \alpha, \alpha^{2}, \frac{q_{6}(\alpha)}{2}, \frac{q_{5}(\alpha)}{2}, \frac{q_{4}(\alpha)}{4}, \frac{q_{3}(\alpha)}{12}, \frac{q_{2}(\alpha)}{24}, \frac{q_{1}(\alpha)}{72}\right)$$

is an integral basis of \mathbb{Z}_K , where $q_6(\alpha) = \alpha^3 - 6\alpha^2 + 21\alpha - 56$, $q_5(\alpha) = \alpha^4 - 5\alpha^3 + 15\alpha^2 - 35\alpha + 70$, $q_4(\alpha) = \alpha^5 - 4\alpha^4 + 10\alpha^3 - 202\alpha^2 + 35\alpha - 56$, $q_3(\alpha) = \alpha^6 - 3\alpha^5 + \alpha^4 - 10\alpha^3 + 15\alpha^2 - 21\alpha + 28$, $q_2(\alpha) = \alpha^7 - 2\alpha^6 + 3\alpha^5 - 4\alpha^4 + 5\alpha^3 - 6\alpha^2 + 7\alpha - 8$, and $q_1(\alpha) = \sum_{i=0}^8 (-1)^i \alpha^i$.

- (xi) If p = 2, r = 4, and a = 1800, we have $\nu_2(a) = 3$, which is coprime with 2. By Theorem 2.1, $\left(1, \alpha, \dots, \alpha^5, \frac{\alpha^6}{2}, \frac{\alpha^7}{2}, \frac{\alpha^8}{30}, \frac{\alpha^9}{30}, \frac{\alpha^{10}}{30}, \frac{\alpha^{11}}{60}, \dots, \frac{\alpha^{15}}{60}\right)$ is an integral basis of \mathbb{Z}_K .
- (xii) If p = 2, r = 7, and a = 1050625, we have $r \ge 3$ and $\nu_2(a 1) = 11 \ge 5$, then by Theorem 2.4, K is not monogenic.
- (xiii) If p = 5, r = 8, and a = 11602921876, we have $r \ge 6$ and $\nu_5(a^4 1) = 6$, then by Theorem 2.4, K is not monogenic.
- (xiv) If p = 11, r = 20, and a = 6044929680708, we have $r \ge 11$ and $\nu_{11}(a^{10} 1) = 12 > 11$, then by Theorem 2.4, K is not monogenic.

Competing interests

There are non-financial competing interests to report.

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Author information

Omar Kchit, Faculty of Sciences Dhar El Mahraz, P.O. Box 1874 Atlas-Fes, Sidi Mohamed ben Abdellah University, Morocco.

E-mail: omar.kchit@usmba.ac.ma, orcid: 0000.0002.0844.5034

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