# **Arithmetic Properties of Certain Partition Functions With Parity Restrictions**

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**Abstract** Andrews (2010) investigated the Rogers-Ramanujan-Gordon partitions of positive integers with some restrictions on even and odd parts, and introduced two partition functions,  $W_{r,s}(n)$  and  $\overline{W}_{r,s}(n)$ , where r and s are positive integers. Sang, Shi and Yee (2020) defined two Rogers-Ramanujan-Gordon type overpartition functions,  $U_{r,s}$  and  $\overline{U}_{r,s}$ , with similar parity restrictions on even and odd parts. In this paper, we give partition-interpretations of  $U_{r,s}$  and  $\overline{U}_{r,s}$  using the notion of colour partition of integers and prove some congruences for the partition functions  $W_{r,s}(n)$ ,  $\overline{W}_{r,s}(n)$ ,  $U_{r,s}(n)$  and  $\overline{U}_{r,s}(n)$  for some particular values of r and s.

#### 1 Introduction

For any complex numbers B and q, define

$$(B)_n := (B;q)_n := \prod_{k=0}^{n-1} (1 - Bq^k), \text{ for } n \ge 1$$

and

$$(B)_{\infty} := (B; q)_{\infty} := \prod_{k=0}^{\infty} (1 - Bq^k).$$

For brevity, we write

$$\prod_{i=0}^k (B_i;q)_{\infty} = (B_1,B_2,\ldots,B_k;q)_{\infty}$$

and  $g_t = (q^t; q^t)_{\infty}$  for any integer  $t \ge 1$ .

A partition of a positive integer n is a sequence of integers  $\delta_1 \geq \delta_2 \geq \delta_3 \geq \cdots \geq \delta_k \geq 1$  such that  $\sum_{j=1}^k \delta_j = n$ . The integers  $\delta_j$  are called parts or summands of the partition. If p(n) denotes the number of partitions of n, then its generating function satisfies the identity

$$\sum_{n=0}^{\infty} p(n)q^n = \frac{1}{g_1}.$$

A summand in a partition of n has t colours if there are t copies of each summand available and all of them are viewed as distinct objects. If a, b and t are positive integers, then the coefficient of  $q^n$  in the expansion of  $(q^a; q^b)^{-t}$  enumerates the number of partitions n where summands are congruent to a modulo b with each summand having t colours.

If the number of partitions of n with distinct even summands is denoted by ped(n), then

$$\sum_{n=0}^{\infty} ped(n)q^n = \frac{g_4}{g_1}.$$
(1.1)

Andrews et al. [3] proved that

$$ped\left(3^{2\alpha+1}n + \frac{17\cdot 3^{2\alpha} - 1}{8}\right) \equiv 0 \pmod{6}$$
 (1.2)

and 
$$ped\left(3^{2\alpha+2}n + \frac{19 \cdot 3^{2\alpha+1} - 1}{8}\right) \equiv 0 \pmod{6}$$
 (1.3)

for all  $\alpha \geq 1$  and  $n \geq 0$ . Next we recall the following theorem of Gordon (see [8]).

**Theorem 1.1.** For  $r \ge s \ge 1$ , the number of partitions of n of the form  $\xi_1 + \xi_2 + \cdots + \xi_k$  such that  $\xi_j \ge \xi_{j+1}$ ,  $\xi_j - \xi_{j+r-1} \ge 2$  and part 1 appears at most s-1 times is denoted by  $B_{r,s}(n)$ . Let  $A_{r,s}(n)$  represent the number of partitions of n into parts  $\ne 0, \pm s \pmod{2r+1}$ . Then for any  $n \ge 0$ ,  $A_{r,s}(n) = B_{r,s}(n)$ .

For  $r, s \ge 1$ , the Andrews-Gordon identity (see [1])

$$\sum_{\substack{k_1 > k_2 > \dots > k_{r-1} > 0}} \frac{q^{k_1^2 + k_2^2 + \dots + k_{r-1}^2 + k_s + \dots + k_{r-1}}}{(q)_{k_1 - k_2} \cdots (q)_{k_{r-2} - k_{r-1}}} = \frac{(q^s, q^{2r+1-s}, q^{2r+1}; q^{2r+1})_{\infty}}{(q)_{\infty}}$$
(1.4)

generalizes Theorem 1.1. In [2] Andrews established analogous results for the function  $W_{r,s}(n)$  (resp.  $\overline{W}_{r,s}(n)$ ) which counts the partitions enumerated by  $B_{r,s}(n)$  where even (resp. odd) parts occur an even number of times.

**Theorem 1.2.** ([13, p. 39, Entry 24] & [2]) For  $r \ge s \ge 1$  with  $r \equiv s \pmod{2}$ ,

$$\sum_{n\geq 0} W_{r,s}(n)q^n = \frac{(-q;q^2)_{\infty}\mathfrak{f}(-q^s, -q^{2r+2-s})}{(q^2;q^2)_{\infty}},$$
(1.5)

where f(x, y) [5, p. 34, 18.1] is given by

$$f(x,y) = \sum_{n=-\infty}^{\infty} x^{n(n+1)/2} y^{n(n-1)/2}.$$
(1.6)

If  $r \ge s \ge 2$  with r odd and s even, then

$$\sum_{n>0} \overline{W}_{r,s}(n)q^n = \frac{\mathfrak{f}(-q^s, -q^{2r+2-s})}{(-q; q^2)_{\infty}(q; q)_{\infty}},\tag{1.7}$$

An overpartition of a positive integer n is a partition of n such that the first occurrence of any part may be overlined. Let  $\overline{\lambda}(n)$  denote the number of overpartitions of n, then its generating function satisfies the identity

$$\sum_{n\geq 0} \overline{\lambda}(n)q^n = \frac{g_2}{g_1^2}.$$

Kursungöz [12] and Kim and Yee [13] studied the partition functions  $W_{r,s}(n)$  and  $\overline{W}_{r,s}(n)$  by considering different parities of r and s. Andrew [2] posted fifteen open problems, of which the eleventh was related to the overpartition of integers. Chen et al. [6] investigated the eleventh problem of Andrews and derived the overpartition analogies of Theorems 1.1 and (1.4).

For an overpartition  $\lambda$  and for any integer  $\ell$ , the numbers of occurrences of non-overlined and overlined parts of size  $\ell$  in  $\lambda$  are denoted by  $M_{\ell}(\lambda)$  and  $M_{\bar{\ell}}(\lambda)$ , respectively.

Sang et al. [14] proved the following results on restricted overpartition functions:

**Theorem 1.3.** [14] Suppose  $r \ge s \ge 1$ ,  $\ell$  is any integer, and denote by  $U_{r,s}(n)$  the number of overpartitions  $\lambda$  of n satisfying

- (i)  $M_1(\lambda) \leq s 1 + M_{\bar{1}}(\lambda)$ ;
- (ii)  $M_{2\ell-1}(\lambda) \geq M_{\overline{2\ell-1}}(\lambda)$ ;
- (iii)  $M_{2\ell}(\lambda) + M_{\overline{2\ell}}(\lambda) \equiv 0 \pmod{2}$ ;
- (iv)  $M_{\ell}(\lambda) + M_{\overline{\ell}}(\lambda) + M_{\ell+1}(\lambda) \le r 1 + M_{\overline{\ell+1}}(\lambda)$ . If  $r \equiv s \pmod{2}$ , then

$$\sum_{n \ge 0} U_{r,s}(n)q^n = \frac{(-q;q)_{\infty} \mathfrak{f}(-q^s, -q^{2r-s})}{(q^2; q^2)_{\infty}}.$$
(1.8)

**Theorem 1.4.** [14] Suppose  $r \geq s \geq 1$ ,  $\ell$  is any integer, and denote by  $\overline{U}_{r,s}(n)$  the number of overpartitions  $\lambda$  of n satisfying

(i)  $M_1(\lambda) \leq s - 1 + M_{\bar{1}}(\lambda)$ ;

(ii)  $M_{2\ell}(\lambda) \geq M_{\overline{2\ell}}(\lambda)$ ;

 $\begin{array}{l} (iii) \ M_{2\ell-1}(\lambda) + M_{\overline{2\ell-1}}(\lambda) \equiv 0 \pmod{2}; \\ (iv) \ M_{\ell}(\lambda) + M_{\overline{\ell}}(\lambda) + M_{\ell+1}(\lambda) \leq r - 1 + M_{\overline{\ell+1}}(\lambda). \end{array}$ 

If  $r \ge s \ge 2$  and s = even, then

$$\sum_{n>0} \overline{U}_{r,s}(n) q^n = \frac{(-q^2; q^2)_{\infty}^2 \mathfrak{f}(-q^s, -q^{2r-s})}{(q^2; q^2)_{\infty}}.$$
(1.9)

In this paper, we investigate some arithmetic properties of the partition functions  $W_{r,s}(n)$ ,  $\overline{W}_{r,s}(n), U_{r,s}(n)$  and  $\overline{U}_{r,s}(n)$ . We establish congruences modulo 3, 4, 6 and 12 for  $W_{5,3}(n)$  and  $\overline{W}_{3,2}(n)$ . For example, we prove for all  $\alpha \geq 1$  and  $n \geq 0$ ,

$$\begin{split} W_{5,3}\left(9^{\alpha}n + \frac{7\cdot 3^{2\alpha - 1} - 1}{4}\right) &\equiv 0 \pmod{3}, \\ \overline{W}_{3,2}\left(2\cdot 3^{2\alpha + 1}n + \frac{17\cdot 3^{2\alpha} - 1}{4}\right) &\equiv 0 \pmod{6}, \\ \overline{W}_{3,2}\left(2\cdot 3^{2\alpha + 2}n + \frac{19\cdot 3^{2\alpha + 1} - 1}{4}\right) &\equiv 0 \pmod{6}. \end{split}$$

In Sect. 3, we give colour partition interpretations of  $U_{r,s}(n)$  and  $\overline{U}_{r,s}(n)$  which are analogues of Theorem 1.1. In Sect. 4, we prove some particular and infinite families of congruences for the partition functions  $W_{5,3}(n)$ ,  $\overline{\overline{W}}_{3,2}(n)$  and  $\overline{\overline{W}}_{5,4}(n)$ , and in Sect. 5, we prove congruences for the partition functions  $U_{5,5}(n)$ ,  $\overline{U}_{3,2}(n)$ ,  $\overline{U}_{4,2}(n)$ , and  $\overline{U}_{6,2}(n)$ . In order to prove our results, we will employ some *q*-identities collected in Sect. 2.

### 2 Preliminaries

Four important special cases of (1.6) considered by Ramanujan satisfy the identities [5, p. 36, Entry 22 (i), (ii), (iii)]

$$\phi(q) := \mathfrak{f}(q, q) = \sum_{t = -\infty}^{\infty} q^{t^2} = \frac{g_2^5}{g_1^2 g_4^2},\tag{2.1}$$

$$\psi(q) := \mathfrak{f}(q, q^3) = \sum_{t=0}^{\infty} q^{t(t+1)/2} = \frac{g_2^2}{g_1},\tag{2.2}$$

$$f(-q) := \mathfrak{f}(-q, -q^2) = \sum_{t=-\infty}^{\infty} (-1)^t q^{t(3t+1)/2} = g_1$$
 (2.3)

and [5, p. 37, Entry 22 (iv)]

$$\chi(q) := (-q; q^2) = \frac{g_2^2}{g_1 g_4}.$$
(2.4)

One can use elementary q-operations to show that

$$\phi(-q) = \frac{g_1^2}{q_2}, \quad \chi(-q) = \frac{g_1}{q_2}, \quad \psi(-q) = \frac{g_1 g_4}{q_2}.$$
 (2.5)

We now collect some identities involving the theta-function f(x, y) defined in (1.6).

**Lemma 2.1.** [5, p. 35, Entry 19] We have

$$f(x,y) = (-x; xy)_{\infty} (-y; xy)_{\infty} (xy; xy)_{\infty}.$$
 (2.6)

**Lemma 2.2.** [7, Theorem 2.2] Suppose  $p \ge 5$  is any prime. Then we have

$$g_1 = \sum_{\substack{k = -(p-1)/2 \\ k \neq (\pm p-1)/6}}^{(p-1)/2} (-1)^k q^{(3k^2+k)/2} \mathfrak{f}\left(-q^{(3p^2+(6k+1)p)/2}, -q^{(3p^2-(6k+1)p)/2}\right) + (-1)^{(\pm p-1)/6} q^{(p^2-1)/24} g_{p^2},$$

where

$$\frac{\pm p - 1}{6} := \begin{cases} \frac{(p - 1)}{6}, & \text{if } p \equiv 1 \pmod{6} \\ \frac{(-p - 1)}{6}, & \text{if } p \equiv -1 \pmod{6}. \end{cases}$$

Furthermore, if

$$\frac{-(p-1)}{2} \le k \le \frac{(p-1)}{2} \text{ and } k \ne \frac{(\pm p-1)}{6}$$

then

$$\frac{3k^2+k}{2} \not\equiv \frac{p^2-1}{24} \pmod{p}.$$

**Lemma 2.3.** [7, Theorem 2.1] Suppose  $p \ge 3$  is any prime. Then we have

$$\psi(q) = \sum_{i=0}^{(p-3)/2} q^{(i^2+i)/2} \mathfrak{f}\left(q^{(p^2+(2i+1)p)/2}, q^{(p^2-(2i+1)p)/2}\right) + q^{(p^2-1)/8} \psi(q^{p^2}).$$

Furthermore,  $\frac{(i^2+i)}{2} \not\equiv \frac{(p^2-1)}{8} \pmod{p}$ , when  $0 \leq i \leq \frac{(p-3)}{2}$ .

**Lemma 2.4.** [5, p. 49, Cor. (ii)] We have

$$\psi(q) = \mathfrak{f}(q^3, q^6) + q\psi(q^9). \tag{2.7}$$

**Lemma 2.5.** [5, p. 51, Example (v)] We have

$$f(q, q^5) = \psi(-q^3)\chi(q). \tag{2.8}$$

**Lemma 2.6.** [11, Eqn. (3.2.7)] We have

$$\frac{1}{g_1} \equiv \frac{1}{g_5} \left( A_0 B_0 + (A_0 B_1 + A_1 B_0) + (A_1 B_1 + A_2 B_0) + A_2 B_1 \right) \pmod{5},$$

where

$$\begin{split} A_0 &= \sum_{m=-\infty}^{\infty} (-1)^m q^{5(15m^2+m)/2} + \sum_{k=-\infty}^{\infty} (-1)^k q^{5(15k^2+11k+2)/2}, \\ A_1 &= -q \sum_{m=-\infty}^{\infty} (-1)^m q^{25(3m^2+m)/2}, \\ A_2 &= -q^2 \bigg[ \sum_{m=-\infty}^{\infty} (-1)^{m+1} q^{5(15m^2+13m+2)/2} + \sum_{k=-\infty}^{\infty} (-1)^{k+1} q^{5(15k^2+23k+8)/2} \bigg], \\ B_0 &= \sum_{m=-\infty}^{\infty} (-1)^m q^{(25m^2-5m)/2}, \\ B_1 &= -3q \sum_{m=-\infty}^{\infty} (-1)^m q^{(25m^2-15m)/2}. \end{split}$$

Next lemma is a easy consequence of (1) and the binomial theorem.

**Lemma 2.7.** Suppose  $k \ge 1$ ,  $m \ge 1$  are any integer, and p is any prime. Then we have

$$g_{pm}^{p^{k-1}} \equiv g_m^{p^k} \pmod{p^k}. \tag{2.9}$$

Lemma 2.8. We have

$$\frac{1}{g_1^2} = \frac{g_8^5}{g_2^5 g_{16}^2} + 2q \frac{g_4^2 g_{16}^2}{g_2^5 g_8},\tag{2.10}$$

$$\frac{g_1^2}{g_2} = \frac{g_9^2}{g_{18}} - 2q \frac{g_3 g_{18}^2}{g_6 g_9},\tag{2.11}$$

$$\frac{g_2}{g_1^2} = \frac{g_6^4 g_9^6}{g_3^8 g_{18}^3} + 2q \frac{g_6^3 g_9^3}{g_3^7} + 4q^2 \frac{g_6^2 g_{18}^3}{g_3^6},\tag{2.12}$$

$$\frac{g_2^2}{q_1} = \frac{g_6 g_9^2}{q_3 q_{18}} + q \frac{g_{18}^2}{q_9},\tag{2.13}$$

$$\frac{g_3}{g_1} = \frac{g_4 g_6 g_{16} g_{24}^2}{g_2^2 g_8 g_{12} g_{48}} + q \frac{g_6 g_8^2 g_{48}}{g_2^2 g_{16} g_{24}},\tag{2.14}$$

$$\frac{g_4}{g_1} = \frac{g_{12}g_{18}^4}{g_3^3g_{36}^2} + q\frac{g_6^2g_9^3g_{36}}{g_3^4g_{18}^2} + 2q^2\frac{g_6g_{18}g_{36}}{g_3^3}. (2.15)$$

*Proof.* Using (2.1) and (2.2) in (1.9.4) of [11], we obtain

$$\frac{g_2^5}{g_1^2 g_4^2} = \frac{g_8^5}{g_4^2 g_{16}^2} + 2q \frac{g_{16}^2}{g_8}. (2.16)$$

Now (2.10) follows from (2.16). (2.11) and (2.13) follow from (14.3.2) and (14.3.3) of [11], respectively. (2.12) is from [9], (2.14) is from [15] and (2.15) is the Lemma 2.6 of [4].  $\Box$ 

## 3 Colour Partition Interpretations of $U_{r,s}(n)$ and $\overline{U}_{r,s}(n)$

In this section, we give colour partition interpretations of the partition functions  $U_{r,s}(n)$  and  $\overline{U}_{r,s}(n)$ .

**Theorem 3.1.** (a) Suppose r and s satisfy  $1 \le s < r$  and  $r \equiv s \pmod{2}$ . Then  $U_{r,s}(n)$  is equal to the number of partitions of n containing no summand congruent to 0, s or 2r - s modulo 2r.

(b) Suppose r and s are positive integers such that s is even and  $2 \le s < r$ . Then,  $\overline{U}_{r,s}(n)$  is equal to the number of partitions of n into summands congruent to 2 modulo 4 in two colours and even summands in a third color congruent to neither 0, s nor 2r - s modulo 2r.

*Proof.* Using (1.8) and (2.6), we obtain

$$\sum_{n\geq 0} U_{r,s}(n)q^n = \frac{(-q;q)_{\infty}(q^s;q^{2r})_{\infty}(q^{2r-s};q^{2r})_{\infty}(q^{2r};q^{2r})_{\infty}}{(q^2;q^2)_{\infty}} = \frac{(q^s;q^{2r})_{\infty}(q^{2r-s};q^{2r})_{\infty}(q^{2r};q^{2r})_{\infty}}{(q,q)_{\infty}},$$
(3.1)

from which our result (a) follows. Similarly, we can prove (b).

## 4 Congruences for $W_{r,s}(n)$ and $\overline{W}_{r,s}(n)$

In this section, we prove congruences for the partition functions  $W_{5,3}(n)$ ,  $\overline{W}_{3,2}(n)$  and  $\overline{W}_{5,4}(n)$ .

**Theorem 4.1.** Let  $p \equiv 3 \pmod{4}$  be prime,  $1 \le j \le p-1$  and  $\alpha$ ,  $\beta \ge 0$ . Then

$$\sum_{n\geq 0} W_{5,3} \left( 2 \cdot 9^{\alpha+1} p^{2\beta} n + \frac{5 \cdot 9^{\alpha+1} p^{2\beta} - 1}{4} \right) q^n \equiv \psi(q) \psi(q^4) \pmod{3}, \tag{4.1}$$

and for all  $n \ge 0$  we have

$$W_{5,3}\left(2\cdot 9^{\alpha+1}p^{2\beta+2}n+2\cdot 9^{\alpha+1}p^{2\beta+1}j+\frac{5\cdot 9^{\alpha+1}p^{2\beta+2}-1}{4}\right)\equiv 0\pmod{3}. \tag{4.2}$$

*Proof.* Setting r = 5 and s = 3 in (1.5), we obtain

$$\sum_{n>0} W_{5,3}(n)q^n = \frac{(-q;q^2)_{\infty} \mathfrak{f}(-q^3,-q^9)}{g_2}.$$
(4.3)

Simplifying (4.3) using (2.2), (2.4) and (2.5), we obtain

$$\sum_{n>0} W_{5,3}(n)q^n = \frac{g_2 g_3 g_{12}}{g_1 g_4 g_6}.$$
 (4.4)

Utilizing (2.14) in (4.4), we obtain

$$\sum_{n>0} W_{5,3}(n)q^n = \frac{g_{16}g_{24}^2}{g_2g_8g_{48}} + q\frac{g_8^2g_{12}g_{48}}{g_2g_4g_{16}g_{24}}.$$
(4.5)

Collecting the terms involving odd powers of q from both sides of (4.5) and simplifying, we obtain

$$\sum_{n>0} W_{5,3}(2n+1)q^n = \frac{g_4^2 g_6 g_{24}}{g_1 g_2 g_8 g_{12}}.$$
(4.6)

Utilizing (2.9) in (4.6) and then applying (2.2), we obtain

$$\sum_{n>0} W_{5,3}(2n+1)q^n \equiv \frac{g_2^2 g_8^2}{g_1 g_4} \equiv \psi(q)\psi(q^4) \pmod{3}. \tag{4.7}$$

Substituting (2.13) in (4.7) and simplifying, we get

$$\sum_{n\geq 0} W_{5,3}(2n+1)q^n \equiv \frac{g_6g_9^2g_{24}g_{36}^2}{g_3g_{12}g_{18}g_{72}} + q \frac{g_{18}^2g_{24}g_{36}^2}{g_9g_{12}g_{72}} + q^4 \frac{g_6g_9^2g_{72}^2}{g_3g_{18}g_{36}} + q^5 \frac{g_{18}^2g_{72}^2}{g_9g_{36}} \pmod{3}. \tag{4.8}$$

Collecting the terms involving powers of q that are congruent to 2 modulo 3 from both sides of (4.8) and simplifying the resulting equality yields

$$\sum_{n>0} W_{5,3}(6n+5)q^n \equiv q \frac{g_6^2 g_{24}^2}{g_3 g_{12}} \pmod{3}. \tag{4.9}$$

Collecting the terms involving powers of q that are congruent to 1 modulo 3 from both sides of (4.9) and simplifying the resulting equality and then applying (2.2) yields

$$\sum_{n>0} W_{5,3}(18n+11)q^n \equiv \frac{g_2^2 g_8^2}{g_1 g_4} \equiv \psi(q)\psi(q^4) \pmod{3}. \tag{4.10}$$

From (4.7) and (4.10), we see that

$$W_{5,3}(18n+11) \equiv W_{5,3}(2n+1) \pmod{3},$$
 (4.11)

and iterating (4.11) yields

$$W_{5,3}\left(2\cdot 9^{\alpha+1}n + \frac{5\cdot 9^{\alpha+1} - 1}{4}\right) \equiv W_{5,3}(2n+1) \pmod{3}, \quad \text{for all} \quad \alpha \ge 1. \tag{4.12}$$

By substituting (4.7) in (4.12), we obtain

$$\sum_{n\geq 0} W_{5,3} \left( 2 \cdot 9^{\alpha+1} n + \frac{5 \cdot 9^{\alpha+1} - 1}{4} \right) q^n \equiv \psi(q) \psi(q^4) \pmod{3}, \tag{4.13}$$

which is the  $\beta = 0$  case of (4.1). Now suppose that (4.1) holds for some  $\beta \ge 0$ . Lemma 2.3 then yields

$$\sum_{n\geq 0} W_{5,3} \left( 2 \cdot 9^{\alpha+1} p^{2\beta} n + \frac{5 \cdot 9^{\alpha+1} p^{2\beta} - 1}{4} \right) q^{n}$$

$$\equiv \left[ \sum_{m=0}^{(p-3)/2} q^{(m^{2}+m)/2} \mathfrak{f} \left( q^{(p^{2}+(2m+1)p)/2}, q^{(p^{2}-(2m+1)p)/2} \right) + q^{(p^{2}-1)/8} \psi(q^{p^{2}}) \right]$$

$$\times \left[ \sum_{k=0}^{(p-3)/2} q^{4(k^{2}+k)/2} \mathfrak{f} \left( q^{2(p^{2}+(2k+1)p)}, q^{2(p^{2}-(2k+1)p)} \right) + q^{(p^{2}-1)/2} \psi(q^{4p^{2}}) \right] \pmod{3}.$$
(4.14)

Next consider the congruence

$$\left(\frac{m^2+m}{2}\right)+4\left(\frac{k^2+k}{2}\right)\equiv 5\left(\frac{p^2-1}{8}\right)\pmod{p},\quad \text{for}\quad 0\leq k,m\leq p-1,\qquad (4.15)$$

which is equivalent to

$$(2m+1)^2 + (4k+2)^2 \equiv 0 \pmod{p}.$$
 (4.16)

Since  $\left(\frac{-1}{p}\right) = -1$ , the only solution of (4.16) is k = m = (p-1)/2. Therefore, collecting the terms involving powers of q that are congruent to  $5(p^2-1)/8$  modulo p from both sides of (4.14) and simplifying the resulting equality yields

$$\sum_{n\geq 0} W_{5,3} \left( 2 \cdot 9^{\alpha+1} p^{2\beta+1} n + \frac{5 \cdot 9^{\alpha+1} p^{2\beta+2} - 1}{4} \right) q^n \equiv \psi(q^p) \psi(q^{4p}) \pmod{3}. \tag{4.17}$$

Collecting the terms involving powers of q that are congruent to 0 modulo p from both sides of (4.17) and simplifying the resulting equality yields

$$\sum_{n\geq 0} W_{5,3} \left( 2 \cdot 9^{\alpha+1} p^{2\beta+2} n + \frac{5 \cdot 9^{\alpha+1} p^{2\beta+2} - 1}{4} \right) q^n \equiv \psi(q) \psi(q^4) \pmod{3}, \tag{4.18}$$

which is the  $\beta + 1$  case of (4.1). Finally, collecting the terms involving powers of q that are congruent to j modulo p from both sides of (4.17) yields (4.2).

**Corollary 4.2.** Nothing that the power of q in every term on the right hand side of (4.9) is congruent to 1 modulo 3 immediately yields the following:

$$W_{5,3}(18n+5) \equiv W_{5,3}(18n+17) \equiv 0 \pmod{3}$$
.

**Theorem 4.3.** For any integer  $\alpha \geq 1$ , we have

$$W_{5,3}\left(9^{\alpha}n + \frac{9^{\alpha} - 1}{4}\right) \equiv W_{5,3}(n) \pmod{3},\tag{4.19}$$

$$W_{5,3}\left(9^{\alpha}n + \frac{7\cdot 3^{2\alpha - 1} - 1}{4}\right) \equiv 0 \pmod{3},\tag{4.20}$$

$$W_{5,3}\left(9^{\alpha}n + \frac{11\cdot 3^{2\alpha - 1} - 1}{4}\right) \equiv 0 \pmod{3}.$$
 (4.21)

*Proof.* Simplifying (4.4) using (2.9) and employing (2.5), we obtain

$$\sum_{n>0} W_{5,3}(n)q^n \equiv \left(\frac{g_1g_4}{g_2}\right)^2 \equiv \psi^2(-q) \pmod{3}.$$
 (4.22)

Then (2.7) yields

$$\sum_{n>0} W_{5,3}(n)q^n \equiv \mathfrak{f}^2(-q^3, q^6) - 2q \,\mathfrak{f}(-q^3, q^6)\psi(-q^9) + q^2\psi^2(-q^9) \pmod{3}. \tag{4.23}$$

Collecting the terms involving powers of q that are congruent to 2 modulo 3 from both sides of (4.23) and simplifying the resulting equality yields

$$\sum_{n>0} W_{5,3}(3n+2)q^n \equiv \psi^2(-q^3) \pmod{3}. \tag{4.24}$$

Collecting the terms involving powers of q that are congruent to 0 modulo 3 from both sides of (4.24) and simplifying the resulting equality yields

$$\sum_{n\geq 0} W_{5,3}(9n+2)q^n \equiv \psi^2(-q) \pmod{3}. \tag{4.25}$$

Combining (4.22) and (4.25), we find

$$W_{5,3}(9n+2) \equiv W_{5,3}(n) \pmod{3},$$
 (4.26)

and iterating (4.26) yields (4.19). Next note that since the right hand side of (4.24) is a series in  $q^3$ , we have

$$W_{5,3}(9n+5) \equiv 0 \pmod{3}$$
 and  $W_{5,3}(9n+8) \equiv 0 \pmod{3}$ , (4.27)

which are the  $\alpha=1$  cases of (4.20) and (4.21). Finally, replacing n by 9n+5 and 9n+8 in (4.19) yields (4.20) and (4.21) for  $\alpha \geq 2$ .

**Theorem 4.4.** For any integers  $\alpha \geq 1$  and  $n \geq 0$ , we have

$$\overline{W}_{3,2}\left(2\cdot 9^{\alpha}n + \frac{9^{\alpha} - 1}{4}\right) \equiv \overline{W}_{3,2}(2n) \pmod{4},\tag{4.28}$$

$$\overline{W}_{3,2}\left(2\cdot 3^{2\alpha+1}n + \frac{17\cdot 3^{2\alpha} - 1}{4}\right) \equiv 0 \pmod{6},\tag{4.29}$$

$$\overline{W}_{3,2}\left(2\cdot 3^{2\alpha+2}n + \frac{19\cdot 3^{2\alpha+1} - 1}{4}\right) \equiv 0 \pmod{6}.$$
 (4.30)

*Proof.* Setting r = 3 and s = 2 in (1.7) and simplifying using (2.2) and (2.4) and then applying (2.5), we obtain

$$\sum_{n>0} \overline{W}_{3,2}(n)q^n = \frac{g_8}{g_2}.$$
(4.31)

Since the right hand side of (4.31) is a series in  $q^2$ , it follows that

$$\sum_{n>0} \overline{W}_{3,2}(2n)q^n = \frac{g_4}{g_1}. (4.32)$$

Next, using (2.15), we obtain

$$\sum_{n=0}^{\infty} \overline{W}_{3,2}(2n)q^n = \frac{g_{12}g_{18}^4}{g_3^3g_{36}^2} + q\frac{g_6^2g_9^3g_{36}}{g_3^4g_{18}^2} + 2q^2\frac{g_6g_{18}g_{36}}{g_3^3}.$$
 (4.33)

Collecting the terms involving powers of q that are congruent to 1 modulo 3 from both sides of (4.33) and simplifying the resulting equality yields

$$\sum_{n=0}^{\infty} \overline{W}_{3,2}(6n+2)q^n = \frac{g_2^2 g_3^3 g_{12}}{g_1^4 g_6^2} = \left(\frac{g_2}{g_1^2}\right)^2 \frac{g_3^3 g_{12}}{g_6^2}.$$
 (4.34)

Employing (2.12) then yields

$$\sum_{n=0}^{\infty} \overline{W}_{3,2}(6n+2)q^n = \frac{g_6^6 g_9^{12} g_{12}}{g_3^{13} g_{18}^6} + 4q \frac{g_6^5 g_9^9 g_{12}}{g_3^{12} g_{18}^3} + 12q^2 \frac{g_6^4 g_9^6 g_{12}}{g_3^{11}} + 16q^3 \frac{g_6^3 g_9^3 g_{12} g_{18}^3}{g_3^{10}} + 16q^4 \frac{g_6^2 g_{12} g_{18}^6}{g_9^3}.$$
(4.35)

Collecting the terms involving powers of q that are congruent to 0 modulo 3 from both sides of (4.35) and simplifying the resulting equality yields

$$\sum_{n=0}^{\infty} \overline{W}_{3,2}(18n+2)q^n \equiv \frac{g_2^6 g_3^{12} g_4}{g_1^{13} g_6^6} \pmod{16}. \tag{4.36}$$

Using (2.9), we obtain

$$\sum_{n=0}^{\infty} \overline{W}_{3,2}(18n+2)q^n \equiv \frac{g_4}{g_1} \pmod{4},\tag{4.37}$$

which by (4.32) yields

$$\overline{W}_{3,2}(18n+2) \equiv \overline{W}_{3,2}(2n) \pmod{4}.$$
 (4.38)

Iterating (4.38), we acquire (4.28).

Combining (1.1) and (4.32), we obtain

$$\overline{W}_{3,2}(2n) = ped(n). \tag{4.39}$$

Employing (1.2) and (1.3) in (4.39), we arrive at (4.29) and (4.30), respectively.

**Corollary 4.5.** For any integer  $n \ge 0$ , we have

$$\overline{W}_{3,2}(18n+8) \equiv 0 \pmod{4},$$
 (4.40)

$$\overline{W}_{3,2}(18n+14) \equiv 0 \pmod{12}.$$
 (4.41)

*Proof.* Collecting the terms involving powers of q that are congruent to 1 modulo 3 and congruent to 2 modulo 3 from both sides of (4.35), we complete the proof of (4.40) and (4.41), respectively.

**Theorem 4.6.** Suppose p is an odd prime such that  $\left(\frac{-3}{p}\right) = -1$ ,  $1 \le j \le p-1$  and  $\alpha \ge 0$ . Then

$$\sum_{n>0} \overline{W}_{5,4} \left( 4p^{2\alpha} n + \frac{13p^{2\alpha} - 1}{6} \right) q^n \equiv 2(-1)^{\alpha(\pm p - 1)/6} g_1 \psi(q^4) \pmod{8}, \tag{4.42}$$

and for all  $n \ge 0$  we have

$$\overline{W}_{5,4}\left(4p^{2\alpha+2}n + 4p^{2\alpha+1}j + \frac{13p^{2\alpha+2} - 1}{6}\right) \equiv 0 \pmod{8}. \tag{4.43}$$

*Proof.* Setting r = 5 and s = 4 in (1.7) and employing (2.3) and (2.4), we obtain

$$\sum_{n\geq 0} \overline{W}_{5,4}(n)q^n = \frac{\mathfrak{f}(-q^4, -q^8)}{(-q; q^2)_{\infty}(q; q)_{\infty}} = \frac{g_4^2}{g_2^2}.$$
 (4.44)

Since the right hand side of (4.44) is a series in  $q^2$ , it follows that

$$\sum_{n>0} \overline{W}_{5,4}(n)q^n = \frac{g_2^2}{g_1^2}.$$
(4.45)

Employing (2.10) then yields

$$\sum_{n\geq 0} \overline{W}_{5,4}(2n)q^n = \frac{g_5^8}{g_2^3 g_{16}^2} + 2q \frac{g_4^2 g_{16}^2}{g_2^3 g_8}.$$
 (4.46)

Collecting the terms involving odd powers of q from both sides of (4.46) and simplifying, we obtain

$$\sum_{n>0} \overline{W}_{5,4}(4n+2)q^n = 2\frac{g_2^2 g_8^2}{g_1^3 g_4}.$$
(4.47)

Employing (2.9) in (4.47) and then applying (2.2), we obtain

$$\sum_{n>0} \overline{W}_{5,4}(4n+2)q^n \equiv 2g_1\psi(q^4) \pmod{8},\tag{4.48}$$

which is the  $\alpha = 0$  case of (4.42). Now suppose that (4.42) holds for some  $\alpha \geq 0$ . Lemmas 2.2 and 2.3 then yields

$$\begin{split} \sum_{n=0}^{\infty} \overline{W}_{5,4} \left( 4p^{2\alpha}n + \frac{13p^{2\alpha} - 1}{6} \right) q^n \\ &\equiv 2(-1)^{\alpha(\pm p - 1)/6} \bigg[ \sum_{\substack{k = -(p - 1)/2 \\ k \neq (\pm p - 1)/6}}^{(p - 1)/2} (-1)^k q^{(3k^2 + k)/2} \mathfrak{f} \left( -q^{(3p^2 + (6k + 1)p)/2}, -q^{(3p^2 - (6k + 1)p)/2} \right) \\ &\qquad \qquad + (-1)^{(\pm p - 1)/6} q^{(p^2 - 1)/24} g_{p^2} \bigg] \\ &\times \bigg[ \sum_{m=0}^{(p - 3)/2} q^{4(m^2 + m)/2} \mathfrak{f} \left( q^{2(p^2 + (2m + 1)p)}, q^{2(p^2 - (2m + 1)p)} \right) \\ &\qquad \qquad + q^{(p^2 - 1)/2} \psi(q^{4p^2}) \bigg] \pmod{8}. \end{split} \tag{4.49}$$

Next, consider the congruence

$$\left(\frac{3k^2 + k}{2}\right) + 4\left(\frac{m^2 + m}{2}\right) \equiv 13\left(\frac{p^2 - 1}{24}\right) \pmod{p}, \quad \text{for} \quad 0 \le k, m \le p - 1, \quad (4.50)$$

which is equivalent to

$$(6k+1)^2 + 3(4m+2)^2 \equiv 0 \pmod{p}.$$
 (4.51)

Since  $\left(\frac{-3}{p}\right) = -1$ , the only solution of (4.51) is  $k = (\pm p - 1)/6$  and m = (p - 1)/2. Therefore, collecting the terms involving powers of q that are congruent to  $13(p^2 - 1)/24$  modulo p from both sides of (4.49) and simplifying the resulting equality yields

$$\sum_{n=0}^{\infty} \overline{W}_{5,4} \left( 4p^{2\alpha+1}n + \frac{13p^{2\alpha+2} - 1}{6} \right) q^n \equiv 2(-1)^{(\alpha+1)(\pm p - 1)/6} g_p \psi(q^{4p}) \pmod{8}. \tag{4.52}$$

Collecting the terms involving powers of q that are congruent to 0 modulo p from both sides of (4.52) and simplifying the resulting equality yields

$$\sum_{n=0}^{\infty} \overline{W}_{5,4} \left( 4p^{2\alpha+2}n + \frac{13p^{2\alpha+2}-1}{6} \right) q^n \equiv 2(-1)^{(\alpha+1)(\pm p-1)/6} g_1 \psi(q^4) \pmod{8}, \tag{4.53}$$

which is the  $\alpha + 1$  case of (4.42). Finally, collecting the terms involving powers of q that are congruent to j modulo p from both sides of (4.52) yields (4.43).

## 5 Congruences for $U_{r,s}(n)$ and $\overline{U}_{r,s}(n)$

**Theorem 5.1.** For any integer  $n \ge 0$ , we have

$$U_{5.5}(5n+4) \equiv 0 \pmod{5}$$
.

*Proof.* Setting r = s = 5 in (1.8) and using (2.1) and Lemma 2.6, we obtain

$$\sum_{n>0} U_{5,5}(n)q^n \equiv \frac{\phi(-q^5)}{g_5} \left( A_0 B_0 + (A_0 B_1 + A_1 B_0) + (A_1 B_1 + A_2 B_0) + A_2 B_1 \right) \pmod{5}. \tag{5.1}$$

Our result follows by observing that the series on the right hand side of (5.1) has no term whose exponent is congruent to 4 modulo 5.

**Remark 5.2.** Setting r = 3 and s = 2 in (1.9) and simplifying (2.3), we obtain

$$\sum_{n>0} \overline{U}_{3,2}(n)q^n = \frac{(-q^2; q^2)_{\infty}^2 f(-q^2, -q^4)}{(q^2; q^2)_{\infty}} = \frac{1}{(q^2; q^4)_{\infty}^2} = \frac{g_4^2}{g_2^2},\tag{5.2}$$

where we also used the well-known result,

$$(q;q^2)^{-1}_{\infty} = (-q;q)_{\infty}.$$
 (5.3)

Combining (4.44) and (5.2), we find

$$\overline{U}_{3,2}(n) = \overline{W}_{5,4}(n). \tag{5.4}$$

As a consequence,  $\overline{U}_{3,2}(n)$  satisfies the congruences given in Theorem 4.6.

**Theorem 5.3.** Suppose p is an odd prime such that  $\left(\frac{-6}{p}\right) = -1$ ,  $1 \le j \le p-1$  and  $\alpha \ge 0$ . Then

$$\sum_{n>0} \overline{U}_{4,2} \left( 8p^{2\alpha} n + \frac{7p^{2\alpha} - 1}{3} \right) q^n \equiv 2(-1)^{\alpha(\pm p - 1)/6} g_1 \psi(q^2) \pmod{8}, \tag{5.5}$$

and for all n > 0 we have

$$\overline{U}_{4,2}\left(8p^{2\alpha+2}n + 8p^{2\alpha+1}j + \frac{7p^{2\alpha+2} - 1}{3}\right) \equiv 0 \pmod{8}.$$
 (5.6)

*Proof.* Setting r = 4 and s = 2 in (1.9), we have

$$\sum_{n>0} \overline{U}_{4,2}(n)q^n = \frac{(-q^2; q^2)_{\infty}^2 \mathfrak{f}(-q^2, -q^6)}{(q^2; q^2)_{\infty}} = \frac{(-q^2; q^2)_{\infty}^2 \mathfrak{f}(-q^2, -q^6)}{g_2}.$$
 (5.7)

Simplifying (5.7) using (5.3), (2.2) and (2.5), we obtain

$$\sum_{n>0} \overline{U}_{4,2}(n)q^n = \frac{\psi(-q^2)}{(q^2; q^4)^2 g_2} = \frac{g_4 g_8}{g_2^2}.$$
 (5.8)

Collecting the terms involving even powers of q from (5.8) and then applying (2.10) in the resulting equation, we obtain

$$\sum_{n>0} \overline{U}_{4,2}(2n)q^n = \frac{g_4 g_5^5}{g_2^4 g_{16}^2} + 2q \frac{g_4^3 g_{16}^2}{g_2^4 g_8}.$$
 (5.9)

Collecting the terms involving odd powers of q from both sides of (5.9) and simplifying, we obtain

$$\sum_{n>0} \overline{U}_{4,2}(4n+2)q^n = 2\frac{g_2^3 g_8^2}{g_1^4 g_4}.$$
 (5.10)

Using (2.9) in (5.10), we obtain

$$\sum_{n \ge 0} \overline{U}_{4,2}(4n+2)q^n \equiv 2\frac{g_2 g_8^2}{g_4} \pmod{8}.$$
 (5.11)

Collecting the terms involving powers of q that are congruent to 0 modulo 2 from both sides of (5.11) and simplifying the resulting equality and then applying (2.2) yields

$$\sum_{n>0} \overline{U}_{4,2}(8n+2)q^n \equiv 2g_1\psi(q^2) \pmod{8},\tag{5.12}$$

which is the  $\alpha = 0$  case of (5.5). As one can now proceed via the same argument used in our proof of Theorem 4.6, we omit the remaining details.

**Theorem 5.4.** Suppose p is an odd prime such that  $\left(\frac{-2}{p}\right) = -1$ ,  $1 \le j \le p-1$  and  $\alpha \ge 0$ . Then

$$\sum_{n \ge 0} \overline{U}_{6,2} \left( 54p^{2\alpha} n + \frac{99p^{2\alpha} - 3}{4} \right) q^n \equiv 2g_2 \psi(q^3) \pmod{4}, \tag{5.13}$$

$$\sum_{n\geq 0} \overline{U}_{6,2} \left( 6p^{2\alpha} n + \frac{11p^{2\alpha} - 3}{4} \right) q^n \equiv 2(-1)^{\alpha(\pm p - 1)/6} g_2 \psi(q^3) \pmod{8}, \tag{5.14}$$

and for all  $n \geq 0$ , we have

$$\overline{U}_{6,2}\left(54p^{2\alpha+2}n + 54p^{2\alpha+1}j + \frac{99p^{2\alpha+2} - 3}{4}\right) \equiv 0 \pmod{4},\tag{5.15}$$

$$\overline{U}_{6,2}\left(6p^{2\alpha+2}n + 6p^{2\alpha+1}j + \frac{11p^{2\alpha+2} - 3}{4}\right) \equiv 0 \pmod{8}.$$
 (5.16)

*Proof.* Setting r = 6, s = 2 in (1.9), we obtain

$$\sum_{n>0} \overline{U}_{6,2}(n)q^n = \frac{(-q^2; q^2)_{\infty}^2 f(-q^2, -q^{10})}{g_2}.$$
 (5.17)

Simplifying (5.17) using (5.3) and (2.8), we obtain

$$\sum_{n>0} \overline{U}_{6,2}(n)q^n = \frac{\psi(q^6)\chi(-q^2)}{(q^2; q^4)_{\infty}^2}.$$
(5.18)

Collecting the terms involving powers of q that are congruent to 0 modulo 2 from both sides of (5.18) and simplifying the resulting equality and then applying (2.2) and (2.5) yields

$$\sum_{n\geq 0} \overline{U}_{6,2}(2n)q^n = \frac{\psi(q^3)\chi(-q)}{(q;q^2)_{\infty}^2 g_1} = \frac{g_2 g_6^2}{g_1^2 g_3}.$$
 (5.19)

Employing (2.12) then yields

$$\sum_{n\geq 0} \overline{U}_{6,2}(2n)q^n = \frac{g_6^6 g_9^6}{g_3^9 g_{18}^3} + 2q \frac{g_6^5 g_9^3}{g_8^8} + 4q^2 \frac{g_6^4 g_{18}^3}{g_3^7}.$$
 (5.20)

Collecting the terms involving powers of q that are congruent to 0 modulo 3 from both sides of (5.20) and simplifying the resulting equality yields

$$\sum_{n>0} \overline{U}_{6,2}(6n)q^n = \frac{g_2^6 g_3^6}{g_1^9 g_3^3}.$$
 (5.21)

Employing (2.9) in (5.21), we obtain

$$\sum_{n>0} \overline{U}_{6,2}(6n)q^n \equiv \frac{g_2^2 g_3^6}{g_1 g_6^3} \pmod{8}. \tag{5.22}$$

Next, using (2.13) we obtain

$$\sum_{n>0} \overline{U}_{6,2}(6n)q^n \equiv \frac{g_3^5 g_9^2}{g_6^2 g_{18}} + q \frac{g_3^6 g_{18}^2}{g_6^3 g_9} \pmod{8}.$$
 (5.23)

Collecting the terms involving powers of q that are congruent to 1 modulo 3 from both sides of (5.23) and simplifying the resulting equality yields

$$\sum_{n>0} \overline{U}_{6,2}(18n+6)q^n \equiv \frac{g_1^6 g_6^2}{g_2^3 g_3} \pmod{8}. \tag{5.24}$$

Using (2.9) in (5.24), we obtain

$$\sum_{n>0} \overline{U}_{6,2}(18n+6)q^n \equiv \frac{g_1^2 g_6^2}{g_2 g_3} \pmod{4}.$$
 (5.25)

Substituting (2.11) in (5.25), we obtain

$$\sum_{n>0} \overline{U}_{6,2}(18n+6)q^n \equiv \frac{g_6^2 g_9^2}{g_3 g_{18}} - 2q \frac{g_6 g_{18}^2}{g_9} \pmod{4}. \tag{5.26}$$

Collecting the terms involving powers of q that are congruent to 1 modulo 3 from both sides of (5.26) and simplifying the resulting equality and then applying (2.2) yields

$$\sum_{n>0} \overline{U}_{6,2}(54n+24)q^n \equiv 2g_2\psi(q^3) \pmod{4},\tag{5.27}$$

which is the  $\alpha=0$  case of (5.13). As one can now proceed via the same argument used in our proof of Theorem 4.6, we omit the remaining details. Collecting the terms involving powers of q that are congruent to 1 modulo 3 from both sides of (5.20) and simplifying the resulting equality yields

$$\sum_{n>0} \overline{U}_{6,2}(6n+2)q^n = 2\frac{g_2^5 g_3^3}{g_1^8} = 2\frac{g_2 g_2^4 g_3^4}{g_1^8 g_3}.$$
 (5.28)

Employing (2.9) in (5.28) and then applying (2.2), we obtain

$$\sum_{n>0} \overline{U}_{6,2}(6n+2)q^n \equiv 2g_2\psi(q^3) \pmod{8},\tag{5.29}$$

which is the  $\alpha = 0$  case of (5.14). As one can now proceed via the same argument used in our proof of Theorem 4.6, we omit the remaining details.

**Corollary 5.5.** For any integer  $n \geq 0$ ,

$$\overline{U}_{6,2}(54n+24) \equiv \overline{U}_{6,2}(6n+2) \pmod{4},$$
 (5.30)

$$\overline{U}_{6,2}(18n+12) \equiv 0 \pmod{8}. \tag{5.31}$$

*Proof.* Combining (5.27) and (5.29), we arrive at (5.30). Collecting the terms involving powers of q that are congruent to 2 modulo 3 from both sides of (5.23), we complete the proof of (5.31).

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