

# LEFT ANNIHILATOR OF IDENTITIES WITH DERIVATION

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**Abstract** *The main motive of this paper is to study the commutativity of prime and semiprime rings. Let  $R$  be a prime ring,  $a \neq 0 \in R$  and  $I$  a non zero ideal of  $R$ . If  $d$  is a derivation on  $R$  satisfying the identities (i)  $a([d(x), d(y)]_m - [x, y]^n) = 0$ , (ii)  $a(d([x, y])^m - [x, y]_n) = 0$  for all  $x, y \in I$ , then  $R$  is a commutative ring.*

## 1 Introduction

Throughout this paper, unless specifically stated,  $R$  always denotes a prime ring with center  $Z(R)$  and with extended centroid  $C$ ,  $Q$  its two sided Martindale quotient ring. For any  $a, b \in R$ , a ring  $R$  is said to be prime if whenever  $aRb = 0$  implies  $a = 0$  or  $b = 0$  and is semiprime if for any  $a \in R$ ,  $aRa = 0$  implies  $a = 0$ . A mapping  $f$  is called an additive mapping on  $R$  if  $f(x + y) = f(x) + f(y)$  holds for all  $x, y \in R$ . By  $d$ , we mean a derivation of  $R$ . An additive mapping  $d : R \rightarrow R$  is called a derivation of  $R$ , if  $d(xy) = d(x)y + xd(y)$  holds for all  $x, y \in R$ . Let  $R$  be a ring and  $S \subseteq R$ . A mapping  $f : R \rightarrow R$  is called strong-commutativity preserving (scp) on  $S$ , if  $[f(x), f(y)] = [x, y]$  for all  $x, y \in S$ . Given  $x, y \in R$ , we set  $[x, y]_0 = x$ ,  $[x, y]_1 = [x, y] = xy - yx$  and  $[x, y]_k = [[x, y]_{k-1}, y]$  for  $k > 1$ .

The Engel type identity with derivation appeared first time in the well known paper of Posner [28]. Posner [28] proved that for a nonzero derivation  $d$  of  $R$ , if  $[d(x), x] \in Z(R)$  for all  $x \in R$ , then  $R$  is commutative. Daif and Bell [12] proved that if  $R$  is a semiprime ring and  $I$  is a two-sided ideal of  $R$  such that  $d([x, y]) = \pm[x, y]$  for all  $x, y \in I$ , then  $I$  is central ideal.

In [7], Ashraf and Rehman proved that if  $I$  is a nonzero ideal of  $R$  such that  $R$  satisfies any one of the following conditions: (i)  $d([x, y]) = [x, y]$ , (ii)  $d(xy + yx) = xy + yx$ , (iii)  $d(x)d(y) + d(y)d(x) = xy + yx$ ; for all  $x, y \in I$ , then  $R$  is commutative. In 2009, Argaç and Inceboz [6] proved the result by considering  $d(xy + yx)^n = xy + yx$  for all  $x, y \in I$ , where  $I$  is a nonzero ideal of  $R$  and  $n \geq 1$  fixed integer, and then obtained that  $R$  is commutative. On the other hand, Herstein [19] proved that if  $\text{char}(R) \neq 2$  and  $R$  admits a nonzero derivation  $d$  on  $R$  such that  $[d(x), d(y)] = 0$  for all  $x, y \in R$ , then  $R$  is commutative. For semiprime ring  $R$ , Bell and Daif [9] proved that the nonzero right ideal  $\rho$  of  $R$  is central, if  $[d(x), d(y)] = [x, y]$  for all  $x, y \in \rho$ . In [29], Sharma and Dhara proved that if  $\text{char}(R) \neq 2$  and  $d$  a nonzero derivation of  $R$  such that  $[[d(x), d(y)]_n, [y, x]_m] = 0$  for all  $x, y \in R$ , where  $m, n \geq 0$  are fixed integers, then  $R$  must be commutative. Further, number of algebraists study the structure of rings via derivations. For example, we refer the reader to ([5, 1, 2, 3, 4]), where further references can be found).

In [13], Filippis showed that if  $R$  is of characteristic different from 2 and  $I$  a nonzero ideal of  $R$  such that  $[[d(x), x], [d(y), y]] = 0$  for all  $x, y \in R$ , then  $R$  is commutative. Dhara proved the same conclusion in [17] when  $[[d(x), x]_n, [y, d(y)]_m]^t = 0$  for all  $x, y \in R$ , where  $n \geq 0, m \geq 0, t \geq 1$  are fixed integers.

There are many papers which study the identities involving derivations with left annihilator conditions. In [16], Dhara and Sharma studied the case when  $a[[d(x), x]_n, [y, d(y)]_m]^t = 0$  for all  $x, y \in R$ ,  $0 \neq a \in R$  fixed and proved that if  $d \neq 0$ , then prime ring  $R$  must be commutative.

In [15], Dhara et al. proved that if  $0 \neq a \in R$  such that  $a((d(xy + yx))^n - (xy + yx))^m = 0$  for all  $x, y \in I$ , where  $I$  is a nonzero ideal of  $R$  and  $m \geq 1, n \geq 1$  are fixed integers, then  $R$  must be commutative. Further, Huang [21] proved the following:

Let  $R$  be a prime ring with a derivation  $d$ ,  $I$  a nonzero ideal of  $R$  and  $m, n$  fixed positive integers. (i) If  $(d[x, y])^m = [x, y]_n$  for all  $x, y \in I$ , then  $R$  is commutative. (ii) If  $\text{char}(R) \neq 2$  and  $[d(x), d(y)]_m = [x, y]_n$  for all  $x, y \in I$ , then  $R$  is commutative.

Note that in the second result, it is assumed that  $\text{char}(R) \neq 2$ . In the present paper, our aim is to study the same situation of Huang [21] with left annihilator condition in a prime ring. In our result, the characteristic assumption is omitted. We prove that if  $R$  is a prime rings with a derivation  $d$ ,  $I$  a nonzero ideal of  $R$  and  $0 \neq a \in R$  such that (i)  $a((d[x, y])^m - [x, y]_n) = 0$  for all  $x, y \in I$ , or (ii)  $a([d(x), d(y)]_m - [x, y]_n) = 0$  for all  $x, y \in I$ , where  $m, n$  are fixed positive integers, then  $R$  must be commutative. Moreover, we also study the situations in semiprime rings.

It is well known that any derivation of  $R$  can be uniquely extended to a derivation of  $Q$ , and so any derivation of  $R$  can be defined on the whole of  $Q$ . Moreover  $Q$  is a prime ring as well as  $R$  and the extended centroid  $C$  of  $R$  coincides with the center of  $Q$ . We refer to [8, 26] for more details.

Denote by  $Q *_C C\{X, Y\}$  the free product of the  $C$ -algebra  $Q$  and  $C\{X, Y\}$ , the free  $C$ -algebra in noncommuting indeterminates  $X, Y$ .

We mention a very important result which will be used quite frequently as follows:

**Theorem 1.1.** [23, Kharchenko] Let  $R$  be a prime ring,  $d$  a nonzero derivation on  $R$  and  $I$  a nonzero ideal of  $R$ . If  $I$  satisfies the differential identity

$$f(r_1, r_2, \dots, r_n, d(r_1), d(r_2), \dots, d(r_n)) = 0$$

for any  $r_1, r_2, \dots, r_n \in I$  then any one of following holds:

- (i)  $I$  satisfies the generalized polynomial identity  $f(r_1, r_2, \dots, r_n, x_1, x_2, \dots, x_n) = 0$ ;
- (ii)  $d$  is  $Q$ -inner i.e., for some  $q \in Q$ ,  $d(x) = [q, x]$  and  $I$  satisfies the generalized polynomial identity  $f(r_1, r_2, \dots, r_n, [q, r_1], [q, r_2], \dots, [q, r_n]) = 0$ .

## 2 Main Results

**Theorem 2.1.** Let  $R$  be a prime ring,  $I$  a nonzero ideal of  $R$  and  $0 \neq a \in R$ . Suppose that  $d$  is a derivation of  $R$  and  $n \geq 1, m \geq 1$  fixed integers such that  $a([d(x), d(y)]_m - [x, y]_n) = 0$  for all  $x, y \in I$ . Then  $R$  is commutative.

*Proof.* If  $R$  is commutative, then we have our conclusion. So we assume that  $R$  is noncommutative and then we show a number of contradictions. If  $d = 0$ , then our hypothesis reduces to

$$a[x, y]^n = 0 \tag{2.1}$$

for all  $x, y \in I$ . By [11, Theorem 2], this generalized polynomial identity (GPI) is also satisfied by  $Q$  and hence by  $R$ . Let  $w = [x, y]^n$ . Then  $aw = 0$ . From (2.1) we can write  $a[p, wqa]^n = 0$  for all  $p, q \in R$ . Since  $aw = 0$ , it reduces to  $a(pwqa)^n = 0$ . This can be written as  $(wqap)^{n+1} = 0$  for all  $p, q \in R$ . By Levitzki's lemma [20, Lemma 1.1],  $wqa = 0$  for all  $q \in R$ . Since  $R$  is prime, either  $a = 0$  or  $w = 0$ . Since  $a \neq 0$ ,  $w = [x, y]^n = 0$  for all  $x, y \in R$ . This is a polynomial identity. By [24, lemma 1], there exists a field  $F$  such that  $R \subseteq M_m(F)$  with  $m > 1$  and  $R$  and  $M_m(F)$  satisfy the identity  $[x, y]^n = 0$ . But by choosing  $x = e_{12}$  and  $y = e_{21}$ , we get  $[x, y]^n = e_{11} + (-1)^n e_{22}$ , which is a contradiction.

Now we assume  $d \neq 0$ . Now we divide the proof in two parts:

**Case-1:** If  $d$  is not  $Q$ -inner, then by Kharchenko's Theorem [23] we have from the assumption that

$$a([u, v]_m - [x, y]^n) = 0 \tag{2.2}$$

for all  $x, y, u, v \in R$ . In particular, for  $u = 0$ , we have

$$a[x, y]^n = 0$$

for all  $x, y \in R$ . Then by same argument as before, we get  $a = 0$ , a contradiction.

**Case-2:** We assume the case when  $d$  is  $Q$ -inner derivation, for some  $b \in Q$  let  $d(x) = [b, x]$  for all  $x \in R$ . Since  $d \neq 0$ ,  $b \notin C$ . Set  $f(x, y) = a([b, x], [b, y])_m - [x, y]^n = 0$ . Then  $f(x, y)$  is a nontrivial generalized polynomial identity (GPI) for  $R$ . By Chuang [11, Theorem 2],  $f(x, y)$  is also a GPI for  $Q$ . Denote by  $F$  either the algebraic closure of  $C$  or  $C$  according as  $C$  is either infinite or finite, respectively. Then, by a standard argument (see for instance, [25, Proposition],  $f(x, y)$  is also a GPI for  $Q \otimes_C F$ . Since  $Q \otimes_C F$  is centrally closed prime  $F$ -algebra [18, Theorem 2.5 and 3.5], by replacing  $R, C$  with  $Q \otimes_C F$  and  $F$ , respectively, we may assume  $R$  is centrally closed and  $C$  is either finite or algebraically closed. By Martindale's Theorem [27],  $R$  is then a primitive ring having nonzero socle  $H$  with  $C$  as the associated division ring. Hence by Jacobson's Theorem [22, p.75]  $R$  is isomorphic to a dense ring of linear transformations of some vector space  $V$  over  $C$ , and  $H$  consists of the linear transformations in  $R$  of finite rank. If  $V$  is finite dimensional over  $C$  then the density of  $R$  on  $V$  implies that  $R \cong M_k(C)$ , where  $k = \dim_C V$ .

Since  $R$  is noncommutative,  $\dim_C V \geq 2$ .

We show that for any  $v \in V$ ,  $v$  and  $bv$  are linearly  $C$ -dependent. Suppose that  $v$  and  $bv$  are linearly independent for some  $v \in V$ . By density there exist  $x, y \in R$  such that

$$\begin{aligned} xv &= bv, & xbv &= b^2v, \\ yv &= 0, & ybv &= -v. \end{aligned}$$

Then  $[b, x], [b, y]_m v = 0$  and  $[x, y]^n v = v$ . Hence

$$0 = a([b, x], [b, y])_m - [x, y]^n v = av.$$

This implies that if  $av \neq 0$ , then  $v$  and  $bv$  are linearly  $C$ -dependent. Now suppose that  $av = 0$ . Since  $a \neq 0$ , there exists  $w \in V$  such that  $aw \neq 0$  and then  $a(v + w) = aw \neq 0$ . By the previous argument we have that  $w, bw$  are linearly  $C$ -dependent and  $(v + w), b(v + w)$  are also. Thus there exist  $\alpha, \beta \in C$  such that  $bw = w\alpha$  and  $b(v + w) = (v + w)\beta$ . Moreover,  $v$  and  $w$  are clearly  $C$ -independent and so by density there exist  $x, y \in R$  such that

$$\begin{aligned} xw &= 0, & xv &= v + w, \\ yw &= v + w, & yv &= v. \end{aligned}$$

Then  $[x, y]w = v + w$ ,  $[x, y]^2 w = w$ ,  $[b, x], [b, y]w = 0$ ,  $[b, x], [b, y]v = 0$  and hence by using  $av = 0$ , we get

$$0 = a([b, x], [b, y])_m - [x, y]^n w = -aw,$$

a contradiction. Hence for each  $v \in V$ ,  $bv = v\alpha_v$  for some  $\alpha_v \in C$ . It is very easy to prove that  $\alpha_v$  is independent of the choice of  $v \in V$ . Thus we can write  $bv = v\alpha$  for all  $v \in V$  and  $\alpha \in C$  fixed.

Now let  $r \in R, v \in V$ . Since  $bv = v\alpha$ ,

$$[b, r]v = (br)v - (rb)v = b(rv) - r(bv) = (rv)\alpha - r(v\alpha) = 0.$$

Thus  $[b, r]v = 0$  for all  $v \in V$  i.e.,  $[b, r]V = 0$ . Since  $[b, r]$  acts faithfully as a linear transformation on the vector space  $V$ ,  $[b, r] = 0$  for all  $r \in R$ . Therefore,  $b \in Z(R)$  implies  $d = 0$ , a contradiction.  $\square$

**Theorem 2.2.** *Let  $R$  be a prime ring and  $I$  a nonzero ideal of  $R$ . Suppose that  $d$  is a derivation of  $R$  and  $0 \neq a \in R$  such that  $a((d([x, y]))^m - [x, y]^n) = 0$  for all  $x, y \in I$ ,  $n \geq 1, m \geq 1$  fixed integers, then  $R$  is commutative.*

*Proof.* We assume that  $R$  is noncommutative and then we show a number of contradictions. If  $n = 1$ , then by [14, Corollary 2.5],  $R$  must be commutative, a contradiction. Hence assume  $n \geq 2$ .

First, we consider  $d = 0$ , then by our hypothesis we have for all  $x, y \in I$ ,

$$a[x, y]_n = 0. \quad (2.3)$$

By [11, Theorem 2], this GPI is also satisfied by  $Q$  and hence by  $R$ . Let  $v = [x, y]_n$ . Then  $av = 0$ . From (2.3) we can write  $a[t, v]_n = 0$  for all  $t \in R$ . Since  $av = 0$ , it reduces to  $atv^n = 0$  for all  $t \in R$ . Since  $R$  is prime and  $a \neq 0$ , we get  $v^n = ([x, y]_n)^n = 0$  for all  $x, y \in R$ . This is a polynomial identity and hence there exists a field  $F$  such that  $R \subseteq M_m(F)$  with  $m > 1$  and  $R$  and  $M_m(F)$  satisfy the same polynomial identity [24, lemma 1]. But by choosing  $x = e_{12} + e_{21}$  and  $y = e_{11}$ , we get  $[x, y]_n = e_{21} + (-1)^n e_{12}$  and hence  $0 = ([x, y]_n)^n = e_{11} + e_{22}$  or  $= \pm(e_{21} - e_{12})$ , which is a contradiction.

Next, we assume  $d \neq 0$ . In light of Kharchenko's Theorem [23], we divide the proof into two parts:

**Case-1:** Let  $d$  be  $Q$ -outer. Since  $I$  satisfies  $a((d([x, y]))^m - [x, y]_n) = 0$ , that is  $a((d(x), y) + [x, d(y)])^m - [x, y]_n) = 0$ , by Kharchenko's Theorem [23],  $I$  satisfies  $a([s, y] + [x, t])^m - [x, y]_n = 0$ . In particular, for  $s = t = 0$ ,  $I$  satisfies the blended component

$$a[x, y]_n = 0. \quad (2.4)$$

By same argument as before, it yields a contradiction.

**Case-2:** Next, we assume the case when  $d$  is  $Q$ -inner derivation, for some  $b \in Q$  let  $d(x) = [b, x]$  for all  $x \in R$ . Since  $d \neq 0$ ,  $b \notin C$ . Set  $f(x, y) = a([b, [x, y]]^m - [x, y]_n)$ . Then  $f(x, y)$  is a nontrivial generalized polynomial identity (GPI) for  $R$ . By Chuang [11, Theorem 2],  $f(x, y)$  is also a GPI for  $Q$ . Denote by  $F$  either the algebraic closure of  $C$  or  $C$  according as  $C$  is either infinite or finite, respectively. Then, by a standard argument (see for instance, [25, Proposition]),  $f(x, y)$  is also a GPI for  $Q \otimes_C F$ . Since  $Q \otimes_C F$  is centrally closed prime  $F$ -algebra [18, Theorem 2.5 and 3.5], by replacing  $R, C$  with  $Q \otimes_C F$  and  $F$ , respectively, we may assume  $R$  is centrally closed and  $C$  is either finite or algebraically closed. By Martindale's Theorem [27],  $R$  is then a primitive ring having nonzero socle  $H$  with  $C$  as the associated division ring. Hence by Jacobson's Theorem [22, p.75],  $R$  is isomorphic to a dense ring of linear transformations of some vector space  $V$  over  $C$ , and  $H$  consists of the linear transformations in  $R$  of finite rank. If  $V$  is finite dimensional over  $C$  then the density of  $R$  on  $V$  implies that  $R \cong M_k(C)$  where  $k = \dim_C V$ .

Since  $R$  is noncommutative,  $\dim_C V \geq 2$ .

We show that for any  $v \in V$ ,  $v$  and  $bv$  are linearly  $C$ -dependent. Suppose that  $v$  and  $bv$  are linearly independent for some  $v \in V$ . By density there exist  $x, y \in R$  such that

$$\begin{aligned} xv &= 0, & xbv &= v, \\ yv &= v, & ybv &= 0. \end{aligned}$$

Then  $([b, [x, y]])^m v = v$  and  $[x, y]_n v = 0$ . Hence

$$0 = a([b, [x, y]]^m - [x, y]_n)v = av.$$

This implies that if  $av \neq 0$ , then  $v$  and  $bv$  are linearly  $C$ -dependent. Now suppose that  $av = 0$ . Since  $a \neq 0$ , there exists  $w \in V$  such that  $aw \neq 0$  and then  $a(v + w) = aw \neq 0$ . By the previous argument we have that  $w, bw$  are linearly  $C$ -dependent and  $(v + w), b(v + w)$  are also. Thus there exist  $\alpha, \beta \in C$  such that  $bw = w\alpha$  and  $b(v + w) = (v + w)\beta$ . Moreover,  $v$  and  $w$  are clearly  $C$ -independent and so by density there exist  $x, y \in R$  such that

$$\begin{aligned} xw &= 0, & xv &= v + w, \\ yw &= v + w, & yv &= v. \end{aligned}$$

Then  $[x, y]w = v + w$ ,  $[x, y]_2w = -2v$ ,  $[x, y]_3w = 0$ ,  $[b, [x, y]]w = (v + w)(\beta - \alpha)$ ,  $[b, [x, y]]v = -(v + 2w)(\beta - \alpha)$  and  $[b, [x, y]]^2w = -w(\beta - \alpha)^2$ .

Hence for  $n \geq 2$ ,  $0 = a([b, [x, y]]^m - [x, y]_n)w = \pm aw(\beta - \alpha)^m$ . Since  $aw \neq 0$ ,  $\alpha = \beta$  and so  $bv = v\alpha$  contradicting the independent of  $v$  and  $bv$ . Hence for each  $v \in V$ ,  $bv = v\alpha_v$  for some  $\alpha_v \in C$ . It is very easy to prove that  $\alpha_v$  is independent of the choice of  $v \in V$ . Thus we can write  $bv = v\alpha$  for all  $v \in V$  and  $\alpha \in C$  fixed.

Now let  $r \in R$ ,  $v \in V$ . Since  $bv = v\alpha$ ,

$$[b, r]v = (br)v - (rb)v = b(rv) - r(bv) = (rv)\alpha - r(v\alpha) = 0.$$

Thus  $[b, r]v = 0$  for all  $v \in V$  i.e.,  $[b, r]V = 0$ . Since  $[b, r]$  acts faithfully as a linear transformation on the vector space  $V$ ,  $[b, r] = 0$  for all  $r \in R$ . Therefore  $b \in Z(R)$  implies  $d = 0$ , which is a contradiction.  $\square$

*Our next theorem is on semiprime rings. Let  $R$  be a semiprime ring and  $U$  be its left Utumi quotient ring. Then  $C = Z(U)$  is the extended centroid of  $R$  ([10, p-38]). Let  $M(C)$  be the set of all maximal ideals of  $C$ .*

**Theorem 2.3.** *Let  $R$  be a noncommutative semiprime ring,  $U$  the left Utumi quotient ring of  $R$ ,  $C = Z(U)$  the extended centroid of  $R$ ,  $d$  a derivation on  $R$  and  $0 \neq a \in R$ . If any one of the following holds:*

- (i)  $a([d(x), d(y)]_m - [x, y]^n) = 0$  for all  $x, y \in R$ ,
- (ii)  $a((d([x, y]))^m - [x, y]^n) = 0$  for all  $x, y \in R$ , where  $n \geq 1, m \geq 1$  fixed integers, then there exists central idempotent  $e \in U$  such that  $eU$  is commutative and  $(1 - e)a = 0$ .

*Proof.* First we consider the case  $a([d(x), d(y)]_m - [x, y]^n) = 0$  for all  $x, y \in R$ . Other case is similar. We known the fact that any derivation of a semiprime ring  $R$  can be uniquely extended to a derivation of its left Utumi quotient ring  $U$  and so any derivation of  $R$  can be defined on the whole of  $U$  [26, Lemma 2]. Moreover  $R$  and  $U$  satisfy the same GPIs as well as same differential identities. Thus

$$a([d(x), d(y)]_m - [x, y]^n) = 0$$

for all  $x, y \in U$ . Let  $M(C)$  be the set of all maximal ideals of  $C$  and  $P \in M(C)$ . Now by the standard theory of orthogonal completions for semiprime rings (see [26, p.31-32]), we have  $PU$  is a prime ideal of  $U$  invariant under all derivations of  $U$ . Moreover,  $\bigcap \{PU \mid P \in M(C)\} = 0$ . Set  $\bar{U} = U/PU$ . Then derivation  $d$  canonically induces a derivation  $\bar{d}$  on  $\bar{U}$  defined by  $\bar{d}(\bar{x}) = \bar{d}(x)$  for all  $x \in U$ . Therefore,

$$\bar{a}([\bar{d}(\bar{x}), \bar{d}(\bar{y})]_m - [\bar{x}, \bar{y}]^n) = 0$$

for all  $\bar{x}, \bar{y} \in \bar{U}$ . By the prime ring case of Theorem 2.1, we have either  $[\bar{U}, \bar{U}] = 0$  or  $\bar{a} = 0$ . In any case we have  $a[U, U] \subseteq PU$  for all  $P \in M(C)$ . Since  $\bigcap \{PU \mid P \in M(C)\} = 0$ ,  $a[U, U] = 0$ . By using the theory of orthogonal completion for semiprime rings (see [8, Chapter 3]), there exists central idempotent  $e \in U$  such that  $eU$  is commutative and  $(1 - e)a = 0$ . Hence the theorem is proved.  $\square$

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