# On some classes of modules related to chain conditions

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Abstract We discuss some variants of ascending and descending chain conditions (see [2], [3] and [8]) analogously. We introduce the idea of nem-Noetherian and nem-Artinian modules and rings. A right *R*-module *M* is said to be nem-Noetherian (nem-Artinian) if for every ascending (descending) chain  $M_1 \leq M_2 \leq M_3 \leq \ldots (M_1 \geq M_2 \geq M_3 \geq \ldots)$  of non-essential submodules of *M*, there exists an index *n* such that  $M_{i+1}$  embeds in  $M_i$  ( $M_i$  embeds in  $M_{i+1}$ ), for every  $i \geq n$ . We characterize these modules such that *M* is nem-Artinian (nem-Noetherian) if and only if every non-essential submodule of *M* is mono-Artinian (mono-Noetherian). Also, we study several properties of these modules.

#### **1** Introduction

Let R denote a ring (associative with identity) and M a unitary right R-module. Noether and Artin studied the ascending chain conditions (ACC) and the descending chain conditions (DCC) on ideals in a ring (submodules in a module). Many authors realized the importance of these concepts and generalized them in various ways. In 2016, Facchini and Nazemian studied the modules with chain conditions upto isomorphism and discussed Artinian dimensions and isoradical of modules in [6] and [5]. They call these modules by iso-Noetherian and iso-Artinian modules, respectively. Also, several characterizations and properties of these concepts have been investigated by them. Dastanpour et.al. [4] studied modules with epimorphism on any chain of submodules. They generalize the notion of iso-Noetherian and iso-Artinian modules and call them as epi-ACC and epi-DCC. In [8], we discuss some properties of iso-Noetherian and iso-Artinian rings and modules. We have studied the classes of mono-Noetherian and mono-Artinian modules in [2]. These notions are dual to that of epi-ACC and epi-DCC on submodules. We have studied some new variants of chain conditions.

Recall [7], two rings R, S are said to be Morita equivalent if there exists a category equivalence  $F: M_R \to M_S$ . A ring theoretic property P is said to be *Morita invariant* if, whenever R has the property P, so does every  $S \approx R$ . Recall, a submodule N of an R-module M is said to be *closed* if N has no proper essential extension in M and a submodule L of a module M is said to be essential submodule if  $L \cap K \neq 0$ , for every nonzero submodule K of M, otherwise L is non-essential. An R-module U is *uniform* provided  $U \neq 0$  and  $V \cap W \neq 0$  for all nonzero submodules V, W of U. Recall [7], an R-module M has finite *uniform dimension* (also known as Goldie dimension) if there is an essential submodule L of M that is a finite direct sum of uniform submodules.

In [3], we generalized the notion of iso-Noetherian (iso-Artinian) modules to nei-Noetherian (nei-Artinian) and ei-Noetherian (ei-Artinian) modules. In these notions, we consider the chain of non-essential and essential submodules, respectively. In the present work, we define the notions of nem-Noetherian (em-Noetherian) and nem-Artinian (em-Artinian) modules analogous to Definition 2.3 and Definition 2.4. We provide some characterizations and study many new properties of these classes of modules and rings. For undefined terms and notions, we refer [1] and [7].

### 2 Definitions and Examples

**Definition 2.1.** (See [6]) An *R*-module *M* is iso-Artinian if for every descending chain  $M_1 \ge M_2 \ge M_3 \ge \ldots$  of submodules of *M*, there exists an index *n* such that  $M_n$  is isomorphic to  $M_i$  for every  $i \ge n$ . Dually, a module *M* is iso-Noetherian if for every ascending chain  $M_1 \le M_2 \le M_3 \le \ldots$  of submodules of *M*, there exists an index *n* such that  $M_n$  is isomorphic to  $M_i$  for every  $i \ge n$ . A ring *R* is said to be right iso-Noetherian (right iso-Artinian) if the right module  $R_R$  is iso-Noetherian (iso-Artinian).

**Definition 2.2.** [2, Definition 3.1] A right *R*-module *M* is *mono-Artinian* if for every descending chain  $M_1 \ge M_2 \ge M_3 \ge \ldots$  of submodules of *M*, there exists  $n \in \mathbb{N}$  such that  $M_i$  embeds in  $M_{i+1}$ , for all  $i \ge n$ . A ring *R* is right mono-Artinian if the right *R*-module *R* is mono-Artinian. A ring *R* is said to be mono-Artinian if it is both left as well as right mono-Artinian. Similarly, we can define mono-Noetherian modules and rings.

**Definition 2.3.** [3, Definition 2.1] A right *R*-module *M* is said to be nei-Noetherian (nei-Artinian) if for every ascending (descending) chain  $M_1 \leq M_2 \leq M_3 \leq \ldots (M_1 \geq M_2 \geq M_3 \geq \ldots)$  of non-essential submodules of *M*, there exists an index *n* such that  $M_i$  is isomorphic to  $M_n$ , for every  $i \geq n$ .

**Definition 2.4.** [3, Definition 3.1] A right *R*-module *M* is said to be ei-Noetherian (ei-Artinian) if for every ascending (descending) chain  $M_1 \leq M_2 \leq M_3 \leq \ldots (M_1 \geq M_2 \geq M_3 \geq \ldots)$  of essential submodules of *M*, there exists an index *n* such that  $M_i$  is isomorphic to  $M_n$ , for every  $i \geq n$ .

**Definition 2.5.** A right *R*-module *M* is said to be nem-Noetherian (nem-Artinian) if for every ascending (descending) chain  $M_1 \leq M_2 \leq M_3 \leq \ldots (M_1 \geq M_2 \geq M_3 \geq \ldots)$  of non-essential submodules of *M*, there exists an index *n* such that  $M_{i+1}$  embeds in  $M_i$  ( $M_i$  embeds in  $M_{i+1}$ ), for every  $i \geq n$ .

**Definition 2.6.** A right *R*-module *M* is said to be em-Noetherian (em-Artinian) if for every ascending (descending) chain  $M_1 \leq M_2 \leq M_3 \leq \ldots (M_1 \geq M_2 \geq M_3 \geq \ldots)$  of essential submodules of *M*, there exists an index *n* such that  $M_{i+1}$  embeds in  $M_i$  ( $M_i$  embeds in  $M_{i+1}$ ), for every  $i \geq n$ .

We observe the following implications, however the converse is not true in all cases.

In order to sustain our assertion, we provide some examples and counter examples.

- **Example 2.7.** (i) Every mono-Noetherian (mono-Artinian) module is em-Noetherian (em-Artinian). But a  $\mathbb{Z}$ -module  $M = \bigoplus_{p_i \in \mathbb{P}} \mathbb{Z}_{p_i}$ , where  $\mathbb{P}$  is the set of prime integers is em-Noetherian (em-Artinian) but not mono-Noetherian (mono-Artinian). Also, it is not a nem-Noetherian (nem-Artinian) module.
- (ii) Every mono-Noetherian (mono-Artinian) module is nem-Noetherian (nem-Artinian). However, every uniform module is nem-Noetherian (nem-Artinian) but it is not necessarily mono-Noetherian (mono-Artinian).
- (iii) Semisimple modules are em-Noetherian (em-Artinian) but need not be iso-Noetherian (iso-Artinian).
- (iv) A uniform module is mono-Noetherian if and only if it is em-Noetherian.

## 3 nem-Noetherian and nem-Artinian modules

In [3, Theorem 2.4 and Theorem 2.5], we have characterized nei-Artinian and nei-Noetherian modules. In the following result, we characterize nem-Artinian (nem-Noetherian) modules analogous to that.

**Theorem 3.1.** The following are equivalent for an *R*-module *M*:

- (i) M is nem-Artinian (nem-Noetherian).
- (ii) Every non-essential submodule of M is mono-Artinian (mono-Noetherian).

(iii) Every proper closed submodule of M is mono-Artinian (mono-Noetherian).

*Proof.* (1)  $\Rightarrow$  (2). Let N be a non-essential submodule of M. Let  $N_1 \ge N_2 \ge N_3 \ge \ldots$  be a descending chain of submodules of N. Since N is a non-essential submodule of M, each  $N_i$  is non-essential in M. So, there exists  $k \in \mathbb{N}$  such that  $N_i$  embeds in  $N_{i+1}$ , for all  $i \ge k$ . Thus, N is mono-Artinian.

 $(2) \Rightarrow (3)$ . It follows by the fact that every proper closed submodule of M is always non-essential.

 $(3) \Rightarrow (2)$ . Let  $N_1$  be a non-essential submodule of M. If  $N_1$  is a closed submodule of M, then we are done. If not, there exists a proper essential extension N of  $N_1$  such that N is a proper closed submodule of M. If N is not proper then  $N_1$  becomes essential in M. Consider a descending chain  $N_1 \ge N_2 \ge \ldots$  of submodules of  $N_1$ . Then we have a descending chain  $N \ge N_1 \ge N_2 \ge \ldots$  of submodules of N. Since N is mono-Artinian, there exists  $n \in \mathbb{N}$  such that  $N_i$  embeds in  $N_{i+1}$ , for all  $i \ge n$ . Therefore,  $N_1$  becomes mono-Artinian.

 $(2) \Rightarrow (1)$ . Let  $M_1 \ge M_2 \ge \ldots$  be a descending chain of non-essential submodules of M. Since  $M_1$  is non-essential, it follows by the assumption that  $M_1$  is mono-Artinian. Therefore, there exists  $n \in \mathbb{N}$  such that  $M_i$  embeds in  $M_{i+1}$ , for all  $i \ge n$ . Thus, M is nem-Artinian.  $\Box$ 

The proof of the following two results are on the same line to [2, Proposition 3.6], however we give the proof of one for completeness.

**Proposition 3.2.** Let  $R = \prod_{i=1}^{n} R_i$ . Then R is right em-Artinian (em-Noetherian) ring if and only if each  $R_i$  is a right em-Artinian (em-Noetherian) ring.

*Proof.* Let  $R_1, R_2, \ldots, R_n$  be right em-Artinian rings and  $R = R_1 \times R_2 \times \ldots \times R_n$ . Let  $I_1 \ge I_2 \ge I_3 \ge \ldots$  be a descending chain of essential right ideals of R. For each  $j \in \mathbb{N}$ ,  $I_j$  is of the form  $I_j = A_{j1} \times A_{j2} \times \ldots \times A_{jn}$ , where each  $A_{jk}$  is an essential right ideal of  $R_j$ . Since for each  $k \in \{1, 2, 3, \ldots, n\}$ ,  $R_k$  is right em-Artinian, there exists  $m \in \mathbb{N}$  such that for each  $j \ge m$  there is a monomorphism, say  $\psi_{jk} : A_{jk} \to A_{(j+1)k}$ . For each  $j \ge m$ , we define a map  $\psi_j : I_j \to I_{j+1}$  by  $\psi_j(a_{j1}, a_{j2}, \ldots, a_{jn}) = (\psi_{j1}(a_{j1}), \psi_{j2}(a_{j2}), \ldots, \psi_{jn}(a_{jn}))$ , for every  $(a_{j1}, a_{j2}, \ldots, a_{jn}) \in I_j$ . Since each  $\psi_{jk}$  is a monomorphism,  $\psi_j$  is a monomorphism. Hence R is right em-Artinian. The converse is obvious. In case of right em-Noetherian, if we consider ascending chain of right ideals then the proof is on the same line.

**Proposition 3.3.** Let  $R = \prod_{i=1}^{n} R_i$ , then R is em-Artinian (em-Noetherian) ring if and only if each  $R_i$  is an em-Artinian (em-Noetherian) ring.

We are unable to show that whether the above results hold true in case of the direct sum of modules. In the following, we raise some open problems and further give partial answers to them.

**Question 3.4.** (i) Is the direct sum of em-Artinian (em-Noetherian) modules em-Artinian (em-Noetherian)?

(ii) Is the direct sum of nem-Artinian (nem-Noetherian) modules nem-Artinian (nem-Noetherian)?

**Proposition 3.5.** Let  $M = \bigoplus_{i=1}^{n} M_i$  be a direct sum of em-Noetherian (em-Artinian) modules  $M_i$ . If M is distributive, then M is em-Noetherian (em-Artinian).

*Proof.* We prove in case of ei-Noetherian. Let  $L_1 \leq L_2 \leq ...$  be an ascending chain of essential submodules of M. For each  $j \in \{1, 2, ..., n\}$ ,  $L_i \cap M_j$  are essential submodules of  $M_j$ ,  $\forall i$ . Thus, we have an ascending chain  $L_1 \cap M_j \leq L_2 \cap M_j \leq ...$  of essential submodules of  $M_j$ . Since  $M_j$  is ei-Noetherian, there exists a positive integer  $n_j \in \mathbb{N}$  such that  $L_{n_j} \cap M_j \cong L_k \cap M_j$ , for all  $k \geq n_j$ . Let  $n = max\{n_1, n_2, ..., n_n\}$ . Then  $L_n \cap M_j \cong L_k \cap M_j$ , for all  $k \geq n$ . Let  $f_{kj} : L_{k+1} \cap M_j \to L_k \cap M_j$  be isomorphism, for all j. Since M is distributive,  $L_i = \bigoplus_{j=1}^n (L_i \cap M_j)$ , for all i. Now the map  $f = \sum_{j=1}^n f_{kj} : L_{k+1} \to L_k$  is an isomorphism.

In the following, we generalize [6, Proposition 5.1] and its proof in case of nem-Noetherian module.

**Theorem 3.6.** If M is a nem-Noetherian R-module, then  $u.dim(M) < \infty$ .

*Proof.* Let *M* be a nem-Noetherian *R*-module. If possible, suppose uniform dimension of *M* is not finite. Let  $\bigoplus_{i=1}^{\infty} M_i$  be a submodule of *M*, where each  $M_i$  is nonzero submodule of *M*. Let  $0 \neq a_i \in M_i$ , for each *i*. Then,  $\bigoplus_{i=1}^{\infty} a_i R$  is a nem-Noetherian *R*-module. Consider the ascending chain  $a_1 R \leq a_1 R \oplus a_2 R \leq a_1 R \oplus a_2 R \oplus a_3 R \leq \ldots$  of non-essential submodules of *M*. Then, there exists  $n_1 \geq 1$  such that  $a_1 R \oplus a_2 R \oplus \ldots \oplus a_{n_1} R \oplus a_{n_1+1} R$  embeds in  $a_1 R \oplus a_2 R \oplus \ldots \oplus a_{n_1} R$ . This implies that for some  $1 \leq j \leq n_1$ ,  $a_j R$  is not Noetherian. For, if all  $a_i R, 1 \leq i \leq n_1$  is Noetherian, then  $u.dim(a_1 R \oplus a_2 R \oplus \ldots \oplus a_{n_1} R) \neq u.dim(a_1 R \oplus a_2 R \oplus \ldots \oplus a_{n_1} R \otimes a_{n_1+1} R)$ , which is not possible. Set  $b_1 = a_j$ . Similarly,  $\bigoplus_{i=n_1+1}^{\infty} a_i R$  is nem-Noetherian, so that there exists  $j \geq n_1 + 1$  such that  $a_j R$  is not Noetherian. Set  $b_2 = a_j$ . Continuing in this manner, we find a sequence  $b_1, b_2, \ldots$  such that  $\bigoplus_{i=1}^{\infty} b_i R$  is nem-Noetherian and each  $b_i R$  is not Noetherian. For each  $i \geq 1$ , let  $K_i$  be a non-finitely generated submodule of  $b_i R$ . Consider the ascending chain  $K_1 \leq b_1 R \oplus K_2 \leq b_1 R \oplus b_2 R \oplus \ldots \oplus a_R \oplus K_n + 1 \cong b_1 R \oplus b_2 R \oplus \ldots \oplus a_n R \oplus b_{n+1} R$ . This implies that  $K_{n+1}$  is finitely generated, which is a contradiction.

Corollary 3.7. Every mono-Noetherian module has finite Goldie dimension.

**Proposition 3.8.** Being nem-Noetherian, nem-Artinian, em-Noetherian, em-Artinian, nei-Noetherian and ei-Noetherian modules are a Morita invariant property.

Recall [9], a nonzero *R*-module *M* is called *compressible* if for each nonzero submodule *N* of *M* there exists a monomorphism  $f : M \to N$ .

Lemma 3.9. Every nem-Artinian module contains a compressible module.

*Proof.* Let M be a nem-Artinian module and  $M_1 \ge M_2 \ge M_3 \ge \ldots$  be a descending chain of non-essential submodules of M. Then, there exists  $n \in \mathbb{N}$  such that  $M_i \cong M_n$ , for all  $i \ge n$ . Clearly,  $M_n$  is non-essential and by Theorem 3.1,  $M_n$  is mono-Artinian. Therefore,  $M_n$  contains a compressible submodule.

Recall, an ideal I of R is *semiprime* if  $A^2 \subseteq I$  implies  $A \subseteq I$  for any ideal A of R. A ring R is semiprime if (0) is a semiprime ideal of R.

Proposition 3.10. The following are equivalent for a right nem-Artinian ring R:

- (i) R is semiprime.
- (ii) The intersection of the annihilator of compressible right *R*-modules is zero.

*Proof.* (1)  $\Rightarrow$  (2). Let *I* be the intersection of the annihilator of all the compressible right *R*-modules. Suppose I = 0. Let *J* be an ideal of *R* such that  $J^2 = 0$ . Then MJ = 0, for all compressible right *R*-modules *M*. For otherwise, if  $MJ \neq 0$  for some compressible right *R*-module *M*, then *M* embedds in *MJ* implies that  $MJ^2 = MJ = 0$ , which is a contradiction. Thus,  $J \leq I = 0$  i.e. J = 0. Therefore, *R* is semiprime.

 $(2) \Rightarrow (1)$ . Let R be a semiprime ring. If possible, suppose  $I \neq 0$ , then I is nem-Artinian R-module and hence by Lemma 3.9, it contains an compressible right ideal C of R. Therefore,  $C^2 = C.C = 0$  because C is compressible and  $C \subseteq I$ . Since R is semiprime, therefore C = 0, a contradiction. Thus, I = 0.

It is always interesting to determine the endomorphism ring of a module or ring. We raise this problem for the following classes of modules and rings.

**Question 3.11.** How to determine the endomorphism rings of em-Noetherian, nem-Noetherian, em-Artinian, nem-Artinian rings and modules.

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